

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 5415		Date: 10/19/21			
Facility Name: First Resources Corp		Survey Dates: 8/16/21 to 8/18/21			
Facility Address/City/State/Zip 19248 Maple Ave Keosauqua, IA 52565					
		LK/DD	survey		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date	

57.11(3)	<p><u>481—57.11(135C) Personnel.</u></p> <p><u>57.11(3) Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse. The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2014 Iowa Acts, chapter 1040, and rule 481-50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I,II, III)</u></p> <p>DESCRIPTION:</p> <p>Based on interview and record review, the facility failed to comply with requirements related to employee background checks found in Iowa Administrative Code 481- Chapter 50(9)3 for 1 of 2 employees hired in the past 9 months (Staff E). Findings include:</p> <p>A review of personnel files revealed the facility failed to ensure background checks were completed prior to hire as required by Iowa Administrative Code 481- Chapter 50(9)3. The Human Resources Coordinator confirmed this finding. See deficiency under 50.9(3)</p>	II	\$500.00	Upon receipt
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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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50.9(3)	<p><u>481-50.9(135C) Criminal, dependent adult abuse, and child abuse record checks.</u></p> <p>50.9(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</p> <p>b. Conducting a background check. The facility may access the single contact repository (SING) to perform the required background check. If the SING is used, the facility shall submit the person's maiden name, if applicable, with the background check request. If the SING is not used, the facility must obtain a criminal history check from the department of public safety and a check of the child and dependent adult abuse registries from the department of human services</p> <p>DESCRIPTION:</p> <p>Based on interview and record review, the facility failed to conduct a criminal background check for 1 of 2 employees reviewed hired within the past 9 months (Staff E). Findings follow:</p> <p>Record review on 8/18/21 revealed Staff E was hired on 11/30/20. A review of his personnel record revealed the facility had not obtained a criminal record check.</p>			
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	<p>The Human Resources Coordinator confirmed this finding on 8/18/21 at 10:50 AM.</p> <p>FACILITY RESPONSE:</p>			
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Facility Administrator

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