

**Department of Inspections and Appeals  
Health Facilities Division  
Citation**

<b>Number</b> FC#6792	<b>Amended Citation – Fine amount reduced by 35% to \$325.00 on May 07, 2018. Pursuant to Iowa Coed Section 135C.43A</b>	<b>Report date</b> April 23, 2018		
<b>Facility name</b> Mosaic – 319 Country Club Drive			<b>Survey dates: March 26-April 4 2018</b>	
<b>Facility address</b> 319 Country Club Drive				
<b>City</b> Belmond, Iowa 50421		<b>MW</b>		
<b>Rule or Code Section</b>	<b>Nature of Violation</b>	<b>Class</b>	<b>Fine Amount</b>	<b>Correction Date</b>
W153  64.33(1)  52.2(2)a  235E.2(3)a	<p><b>The facility must ensure that all allegations of mistreatment, neglect or abuse, as well as injuries of unknown source, are reported immediately to the administrator or to other officials in accordance with State law through established procedures. Guidance §483.420(d)(2)</b></p> <p><b>481—64.33(135C) Allegations of dependent adult abuse.</b> 64.33(1) <i>Allegations of dependent adult abuse.</i> Allegations of dependent adult abuse shall be reported and investigated pursuant to Iowa Code chapter 235E and 481—Chapter 52. (I, II, III)</p> <p><b>52.2(2) Reporting suspected dependent adult abuse in facilities or programs.</b> a. If a staff member or employee is required to make a report pursuant to this rule, the staff member or employee shall immediately notify the person in charge or the person’s designated agent who shall then notify the department within 24 hours of such notification or the next business day.</p> <p><b>235E.2 Dependent adult abuse reports in facilities and programs. 3.a.</b> If a staff member or employee is required to make a report pursuant to this section, the staff member or employee shall immediately notify the person in charge or the person’s designated agent who shall then notify the department within twenty-four hours of such</p>	II	\$500	Upon Receipt

**If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2015).**

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Administrator

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	<p>notification.</p> <p><b>DESCRIPTION:</b></p> <p>Based on interviews and record review, the facility failed to ensure staff immediately reported potential abuse to according to their policy. This affected 1 of 1 clients (Client #1) during the investigation of incident #74609-I. Findings follow:</p> <p>Record review on 3/26/18 revealed a facility investigation form initiated on 3/13/18. The document revealed Direct Support Associate (DSA) A reported an allegation of abuse on 3/13/18. The form indicated the alleged incident occurred on 3/12/18.</p> <p>Record review on 3/26/18 revealed the facility Mandatory Reporter: Abuse and /or Neglect of a Dependent Adult policy. According to the policy, "Any individual suspecting or witnessing abuse and/or neglect as identified in Code of Iowa 232.68 must IMMEDIATELY: intervene to ensure the safety of the person supported and; separate the accused individual from further physical contact with people supported and notify the Associate Director/Designee."</p> <p>When interviewed on 3/28/18 at 11:05 a.m. the Program Manager (PM) confirmed she conducted an investigation due to an allegation of potential abuse toward Client #1 during personal cares on 3/12/18. The PM recalled DSA A said she failed to report the potential abuse immediately because</p>			

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	<p>she was new to the facility and felt uncomfortable training the Certified Nurse's Aide; the staff alleged to be abusive to Client #1.</p> <p>When interviewed on 3/29/18 at 7:30 a.m. DSA A recalled she assisted the Certified Nurse's Aide (CNA) to change Client #1's adult incontinence brief on the evening of 3/12/18. She noted she left the bedroom to get a pad from another room and heard a "smack." She confirmed she sent her supervisor a text message to inquire if they could meet the next day. She stated she failed to report the incident when it occurred because she was "flustered" due to working alone with an untrained staff all night.</p> <p>When interviewed on 3/29/18 at 8:20 a.m. DSA B confirmed she came into work early on 3/12/18. She said she helped DSA A reposition Client #1 in his/her bed then left the room to get more wipes. DSA B recalled she heard a "smack" sound coming from Client #1's bedroom prior to re-entering the room. DSA B acknowledged she failed to report the incident because she thought DSA A informed a supervisor.</p> <p>When interviewed on 4/3/18 at 9:50 a.m. the Direct Support Supervisor (DSS) confirmed she received a text message from DSA A on 3/12/18. She presented the text message and noted DSA A only asked if she could meet with the DSS sometime on 3/13/18. The text message lacked any report of suspected abuse by the CNA.</p>				

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	<p>When interviewed on 4/3/18 at 11:05 a.m. the DSM (Director Support Manager) confirmed she was unaware of the incident on 3/12/18 until 3/13/18. She recalled the DSS told her about the incident as soon as DSA A reported it.</p> <p><b>FACILITY RESPONSE:</b></p>				

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