

**Department of Inspections and Appeals  
Health Facilities Division  
Citation**

<b>Number</b> FC#6791	<b>Amended Citation – Fine amount reduced by 35% To \$325.00 on May 31, 2018. Pursuant to Iowa Code Section 135.43A</b>	<b>Report date</b> April 23, 2018		
<b>Facility name</b> Village Northwest Unlimited		<b>Survey dates: February 28-April 10 2018</b>		
<b>Facility address</b> 330 Village Circle				
<b>City</b> Sheldon, Iowa 51201	<b>MW</b>			
<b>Rule or Code Section</b>	<b>Nature of Violation</b>	<b>Class</b>	<b>Fine Amount</b>	<b>Correction Date</b>
<b>W-153</b>	<b>The facility must ensure that all allegations of mistreatment, neglect or abuse, as well as injuries of unknown source, are reported immediately to the administrator or to other officials in accordance with State law through established procedures. Guidance §483.420(d)(2)</b>	<b>II</b>	<b>\$500</b>	<b>Upon Receipt</b>
<b>64.33(1)</b>	<b>481—64.33(135C) Allegations of dependent adult abuse. 64.33(1) Allegations of dependent adult abuse. Allegations of dependent adult abuse shall be reported and investigated pursuant to Iowa Code chapter 235E and 481—Chapter 52. (I, II, III)</b>			
<b>52.2(2)a</b>	<b>52.2(2) Reporting suspected dependent adult abuse in facilities or programs. a. If a staff member or employee is required to make a report pursuant to this rule, the staff member or employee shall immediately notify the person in charge or the person's designated agent who shall then notify the department within 24 hours of such notification or the next business day.</b>			
<b>235E.2(3)a</b>	<b>235E.2 Dependent adult abuse reports in facilities and programs. 3.a. If a staff member or employee is required to make a report pursuant to this section, the staff member or employee shall immediately notify the person in charge or the person's designated agent who shall then notify</b>			

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Administrator

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	<p>the department within twenty-four hours of such notification.</p> <p><b>DESCRIPTION:</b></p> <p>Based on interviews and record review, facility staff failed to immediately report allegation of abuse/mistreatment. As a result, allegations of abuse/mistreatment were not reported to the Department of Inspections and Appeals in a timely manner. This affected 1 of 1 client identified during the course of the investigation of 73924-M.</p> <p>Finding follows:</p> <p>Record review revealed the facility's investigation into allegations of abuse/mistreatment of Client #1. According to the investigation, on 1/23/18 Residential Leader A, reported she read a text message from Residential Skills Trainer (RST) A which alleged RST C hit Client #1 the day prior (1/22/18). The facility investigated the allegation. During the course of the investigation, RST A reported on 1/18/18 she requested assistance from other staff when Client #1 began to aggress towards her while in the restroom. RST C responded to assist, followed by RST B. Client #1 continued to aggress towards RST A and RST C reacted by hitting and grabbing Client #1. RST A described RST C described the hit as a slap to Client #1's forearm. When asked why the incident had not been reported, RST A had no response.</p> <p>During the course of the facility's investigation, RST B also reported RST C slapped Client #1.</p>			

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	<p>When asked why she did not report the incident, RST C had no response.</p> <p>Record review of the facility's policy regarding Abuse and Neglect documented any person witnessing a possible act of abuse is mandated by law and Village (Northwest Unlimited) policy to report it "immediately" to both a supervisor and to the Iowa Department of Inspections and Appeals. For reporting purposes, "immediately" means as soon as possible, but not to exceed 24 hours after discovery of the incident. Any mandatory reporters (all Village Northwest Unlimited employees) who knowingly and willingly fail to report SUSPECTED abuse may be guilty of a simple misdemeanor (30 days in jail and /or \$500 fine) and terminated from their employment at Village Northwest Unlimited.</p> <p>When interviewed on 2/28/18 at 11:30 p.m., Director of ICF/ID Services and Program Director confirmed staff failed to report the allegation of abuse/neglect as required by facility policy. She stated RST A sent a text to her supervisor on 1/19/18; however, the supervisor did not get the message until 1/23/18. She further stated RST B did not report the incident at all until interviewed as part of the facility investigation. She stated according to the policy RST A and RST B both should have called the on call supervisor. She confirmed both RST A and RST B were trained to report immediately and to report any and all allegations of abuse. She further reported as soon as the facility became aware of the allegation of abuse they reported it to the Department. RSTs A, B, and C were all terminated by the facility for the</p>			

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	incident on 1/18/18.  <b>FACILITY RESPONSE:</b>			

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