Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7,	Date: October 12, 2017				
		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemb				
Facility Address/City/State/Zip 217 Maple Avenue							
Nevada,IA. 50201		HL	#70935-I				
Rule or Code Natu Section		re of Violation	Class	Fine Amount	Correction date		

64.60	481-64.60(135C) Federal regulations adopted - conditions of participation. Regulations in 42 CFR Part 483, Subpart D, and Sections 410 to 480 effective October 3, 1988, are adopted by reference and incorporated as part of these rules. A copy of these regulations is available on request from the Health Facilities Division, Department of Inspections and Appeals, Lucas State Office Building, Des Moines, Iowa 50319. Classification of violations is I, II, and III, determined by the division using the provision in 481-Chapter 56, Fining and Citations," to enforce a fine to cite a facility. This rule is intended to implement Iowa Code Section 135C.2(3).	I	\$7000.00	Upon Receipt
W158	483.430 FACILITY STAFFING The facility must ensure that specific facility staffing requirements are met. DESCRIPTION Based on interviews and record reviews, the facility failed to maintain minimal compliance with Condition of Participation (CoP) Facility Staffing. The facility failed to implement a staff training system to adequately manage client behavioral needs. A finding of Immediate Jeopardy (IJ) clients' health and safety			

Page 1 of 34

Facility Administrator

Date

Citation Number: 6673		to \$4,550.00 on November 7,				r 12, 2017
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemb		5, 2017	
Facility Address 217 Maple Ave Nevada,IA. 502						
Nevada,iA. 302		HL	#70935-	.l		
Rule or Code Section	Natur	e of Violation	Class	Fine A	mount	Correction date
	was declared on 9/20/1	7, which was removed on				
	9/21/17.	7, WHIGH WAS TETHOVER OF				
	Cross reference W159: Based on interview record reviews, Qualified Intellectual Disab Professional (QIDP) failed to adequately demonitor individual program plans as necessensure client safety. Cross reference W191: Based on observatinterviews and record review, the facility fair provide adequate staff training to ensure strompetency with correct and consistent implementation of individual program plans					
W159	483.430.(a) QIDP					
the facility Qualified Inte (QIDP) failed to adequal client services and devel safety concerns. This a the investigation of #709 follow: 1. Record review of facility		•				
	revealed Client #1 left the facility without staff knowledge on the evening of 9/10/17. Staff failed to realize Client #1 was missing until a neighbor woman came to the door around 8:25 p.m. to let them know					

Page 2 of 34

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Section 135C.43A	Survey Septem	O		r 12, 2017
217 Maple Ave						
Nevada,IA. 502	201	HL	#70935	-l		
Rule or Code Section	Natur	e of Violation	Class	Fine Amo	ount	Correction date
	facility. Direct Support Associat assisted Client #1 to be p.m., but had not check Client #1 returned to the According to the Gener by DSA A on 9/10/17, s and had gone to assist assisted another client. house without staff know the facility and told ther clients. A facility nurse a morning and found no p. When interviewed on 9/10/12 with the facility and told ther clients. A facility nurse a morning and found no p. When interviewed on 9/10/12 with the facility nurse and 8:15 p.m. on 9/10/12 with the facility. Client for the facility. Client west of the facility. Client toward the side of the renarrow in that area, with She said it was a regular Neighbor pulled over an realized the client had scame over to her vehicle to get in, thinking it was	al Event Report (GER) written he had put Client #1 to bed other clients. DSA B also She said Client #1 left the wledge. A neighbor came to n she had found one of their assessed Client #1 the next ohysical injuries. (20/17 at 9:30 a.m. the across the street from the eft her house between 8:00 17 and headed west on Maple said it was dark and she n her vehicle, about two blocks out #1 was walking in the street, boad. The road is somewhat n no shoulder and no parking.				

Page **3** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,			Date: October 12, 2017			
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemb	Dates: ber 20-25,	2017			
217 Maple Ave								
Nevada,IA. 502	201	HL	#70935-I					
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date		
	client was unable to spelived at the group home home. She called the poshowed up, he stayed whack to the group home person accompanied he client wore a shirt, pant. Neighbor said it was a I The Neighbor reported Maple Avenue, about two Maple Avenue is a two posted speed limit sign, was found is about two. A Story County Sheriff's they received a phone of person with special nees sheriff's office report, ar 8:20 p.m., while the word going to the group home was returned to the faciliary. According to the state of conditions in the Nevad p.m. and 8:30 p.m. on 9 temperature of approximal Client #1 had a Personal	the client, but determined the eak. She assumed the client across the street from her clice and when the officer with the client and she walked to inform the staff. A staff er back to the vehicle. The staff and was barefoot. The little cool outside at the time. She discovered Client #1 on two blocks west of the facility. It learns the location where Client #1 blocks from a 4-lane highway. Soffice event report indicated call at 8:17 p.m. regarding a ds found. According to the most officer was with the client by man who found the client was the to alert the staff. The client lity by 8:30 p.m. Ilimatologist the weather at lower and a lower properties and a lower properties. The client lity of the lower properties and a lower properties and a lower properties. The client lity by 8:30 p.m.						

Page **4** of **34**

		Fine amount reduced by 35% to \$4,550.00 on November 7,					
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemi	Dates: ber 20-25	, 2017		
Facility Address 217 Maple Ave Nevada,IA. 502							
itovada,iA. ooz		HL	#70935-	-l			
Rule or Code Section	Natur	e of Violation	Class	Fine An	nount	Correction date	
	checks from 10:00 p.m. Additional interviews an facility had knowledge of elopements from a prior repeated attempts to go current facility; however programming to address staff present with seven facility at time of the incomplete of the incompl	and record reviews revealed the of Client #1's history of a facility and of Client #1's outside without staff at the and the facility failed to develop as the behavior. There were two a clients at the Maple Avenue ident. The diagnoses including: oility, Lennox-Gausaut and spina bifida. Client #1 functional communication. In the pendently, but wore a posey ansteadiness and a history of oilited to the facility on 3/23/17, one to an uncontrolled seizure a visual monitor that relayed a to a small monitor in the living aff to monitor Client #1 when in 19 revealed Client #1's onal Assessment (CFA), dated the CFA addressed behavior					

Page **5** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,	on November 7,			
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemb	Dates: per 20-25,	2017	
217 Maple Ave						
Nevada,IA. 502	201	HL	#70935-	·l		
Rule or Code Section	Natur	e of Violation	Class	Fine Am	ount	Correction date
	following areas: uses si uses traffic lights, and of When asked on 9/20/17 meaning of "Total," the need total staff assistant would try to elope, if not said Client #1 would also use sidewalks, crossward cautious with strangers. When interviewed on 9/10/17 she had worked for the year, in the Des Moines Maple Ave home for ab 3-4 times as of 9/10/17 client in the bathroom at left to go pass medicated didn't know DSA C left. The bathroom and then then assisted Client #1 client get into bed and shim/her. DSA A then as Afterwards, DSA A sat if another client while DSD bed. A woman came to said she located a client came to the living room when DSA A learned Discovered to the said she as a series of the living room when DSA A learned Discovered to the living room when	at 1:20 p.m. to explain the QIDP said Client #1 would use not to elope. The client to total staff assistance. She so need total staff assistance to lks and traffic lights and to be				

Page **6** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,	er 7,			
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemb	Dates: per 20-25,	2017	
217 Maple Ave						
Nevada,IA. 502	201	HL	#70935-	ı		
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	she was sure all of the of they began helping the not hear the door chime client shower at one point the chime in the bathrood. A said she prior to the intold/trained that one state front area of the house, staff usually stayed in the shead been trained/to sure a staff person stay house, DSA A said she conversation with the Haaday or two of the incident, but said the HI person needed to be in did not know Client #1 he shead observed the chis/her own. Staff then In DSA A said she did not Client #1 to bed, but estimated p.m. (she had estimated p.m. when questioned be incident). When asked checked on Client #1 af said she should have che two hours. She knew o	ity of all the clients. DSA A said exit doors were closed when clients to bed. She said she did a sound, but she helped with a int and it was difficult to hear om with the water running. DSA incident she had not been off person needed to stay in the but she had noticed that one he front area. When asked if old since the incident to make hed in the front area of the had not. She recalled a phone abilitation Manager (HM) within the front area. DSA A said she had a history of elopement, but dient would go outside on brought the client back inside. I recall what time she assisted timated maybe around 7:30 do between 7:40 p.m. to 8:00 by the facility within a day of the how often she should have fiter he/she went to bed, DSA A necked the client about every for the video monitor in the living not looked at it prior to the g to the door.				

Page **7** of **34**

		_				
Citation Numb	er:	Fine amount reduced by 35% to \$4,550.00 on November 7,		Date: October 12, 2017		
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemi	Dates: ber 20-25,	2017	
Facility Address 217 Maple Ave Nevada,IA. 502						
1107444,1711	-0.	HL	#70935-	-I		
Rule or Code Section	Natur	e of Violation	Class	Fine Am	ount	Correction date
	During a follow up inten	view on 4/20/17 at 1:50 p.m.				
	DSA A said she didn't k communication book. W way for Client #1 to con	whew on 4/20/17 at 1.50 p.m. know of Client #1 having a When asked if there was any nmunicate that he/she wanted aid she didn't know of anything.				
	said he worked the PM DSA A and DSA C. DSA p.m. to pass medication finished passing medication by the DSA C left. He was medication room and the administering Client #2' treatment. DSA A assist finishing the medication #2 to bed. The client us time. DSA B was in Client in eighbor woman came the living room and DSA missing. DSA A went wo DSA B said he did not how then he was in Client and there was a pumping client's room, which might the door chime. DSA B assigned to Client #1, the clients. DSA B said	n pass, DSA B assisted Client sed a Hoyer lift and took some				

Page **8** of **34**

Citation Number: 6673 Facility Name:		Fine amount reduced by 35% to \$4,550.00 on November 7,		C	Date: October 12, 2017			
Mosaic 217 Mapl	e Avenue	2017 pursuant to Iowa Code Section 135C.43A	Survey Septem	Dates: ber 20-25,	2017			
Facility Address	-							
Nevada,IA. 5020	1	HL	#70935·	-I				
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date		
# v til iii e n a tu tu tu h n v h c # til a E E c a rua V c h	thad a history of elop would try to go outside thought Client #1 was in neident. Once in bed, severy one to two hours. In nonitor for Client #1, but and had not looked at it old prior to the incident to stay in the front command been told/trained sino. He recalled a phone when they talked about him that one staff person to the elopeme time DSA C left, but he and did not recall with communication book. He can be said he was not communication book. In the least one of the exit of equest to go outside. If when interviewed on 9/confirmed she worked frome, with DSA A and	B said he did not know Client bement, but knew the client without staff. DSA B said he in bed at the time of the staff should check on Client #1. DSA B knew of the video ut had been busy with Client #2 to DSA B said he had not been to that one staff person needed mon area. When asked if he ince the incident, DSA B said to conversation with the HM the incident, but she didn't tell on needed to stay in the said the last time he saw Client in the was probably around the was busy passing medication certainty. Tryiew on 4/20/17 at 2:00 p.m. aware Client #1 had a He said there was a switch by doors to activate a verbal DSA B said the switch didn't and Client #1 never used it. 1/19/17 at 4:35 p.m. DSA C PM shift at the Maple Ave DSA B on 9/10/17. She was a ne and had worked there for						

Page **9** of **34**

		_						
Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,						
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemi	Dates: ber 20-25, 2	2017			
Facility Addre 217 Maple Ave Nevada,IA. 502								
ive vada,iA. 50		HL	#70935-	-1				
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date		
	history of eloping at hist. Ave home, Client #1 wo and try to go outside. Stollowed him/her. The remonitor Client #1 very one staff person stayed times, to monitor the arc said Client #1 typically with 9:00 p.m. Once in bed, Sometimes he/she got lit was not typical for Client was not typical for Client going to bed. DSA C sa home around 7:30 p.m. another house. Client # table having a snack with the house around 9:30 elopement incident. DS bed staff should check the vital with Client #1 as the regular client #1 as the regular client #1 had been mor seizure medication. She open an exit door and to	ras aware Client #1 had a /her prior agency. At the Maple buld often open an exit door Staff were always present and egular Maple Ave staff knew to closely. They also made sure in the front common area at all ea and the exit doors. DSA C went to bed between 8:30 p.m. Client #1 usually stayed in bed. back up after an hour or so, but ent #1 to get right back up after aid she left the Maple Avenue to go pass medication at in was sitting at the kitchen hen she left. She returned to p.m. and learned of the A C said after Client #1 went to on him/her every 2 hours and video monitor every hour. /19/17 at 4:15 p.m. DSA E ar full-time staff person at the noted the two staff present at on 9/10/17 were not as familiar gular staff. DSA E reported re active since a reduction in the estimated Client #1 would ry to go outside about 2-3 times monitored the client. The exit						

Page **10** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to lowa Code	Survey I		per 12, 2017		
	ss/City/State/Zip	Section 135C.43A	Septem	Dei 20-23, 2017			
Nevada,IA. 502	201	HL	#70935-	I			
Rule or Code Section	Natur	e of Violation	Class	Fine Amount	Correction date		
	bedroom and the client past. The regular staff k stay in the front area to not aware of any other eloped from the Maple client had a history of e living site. DSA E said (between 7:45 p.m. and stayed in bed, but not a #1 went to bed, staff sh but should check more DSA E noted that Clien Observation on 9/19/17 four exit doors of the hot the doors were opened musical sound of eight chime did not continue door chime worked only completely shut and the were located at the fron opened to the living root the dining room and lead (north), a side door off of the parking lot (east) are also led to the patio are bedroom was located a	ng was next to Client #1's had gone out that door in the knew that a staff person should monitor. DSA E said she was time Client #1 had actually Ave home, but was aware the lopements from his/her prior Client #1 usually went to bed 9:00 p.m. He/She usually always. DSA E said after Client ould check him/her every hour, often on the video monitor. It #1 could move quickly. If revealed door chimes on the ome, which sounded each time anotes and then stopped. The if the door remained open. The prior of the house (south), which ome in opened. The exit doors at of the house (south), which ome is to a pation and backyard of a sensory room that led to had a bedroom wing door that the end of the bedroom linoom wing exit door. The main linoom wing exit door. The main					

Page **11** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code	Oct			
Mosaic 217 Ma	aple Avenue	Section 135C.43A	Septemi	ber 20-25, 20)17	
217 Maple Ave						
Nevada,IA. 502	201	HL	#70935-	-1		
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correctio date			
		use was an open area that a, dining room and kitchen.				
	3:45 p.m. to 4:00 p.m. Of time pacing/walking are monitoring. The back of backyard was wide open outside the open door, wore a gait belt, but appeared by the Direct Support Suppation door was often left. When interviewed on 9/ Support Supervisor (DS history of elopement from She said she was not a #1 had from the Maple was common for Client doors and go outside on saw the client and follow Client #1 had been more seizure medication. She sometimes left open, but The doors should all be bed. The DSS reported present at the time of the training them. She said	9/19/17 from approximately Client #1 spent most of his/her bund, with staff closely loor that led to the patio and in. At one point, Client #1 went with staff following. Client #1 beared steady while walking. Servisor (DSS) said the back it open during nice weather. 19/17 at 4:00 p.m. the Direct SS) reported Client #1 had a sim his/her previous placement. Ware of any elopements Client Ave home prior to 9/10/17. It #1 to open one of the exit in his/her own, but staff always wed him/her. The DSS noted the active since a decrease in the said the patio door was suit only if staff were present. It closed when clients went to it she thought the two staff the incident had been trained on the home, but did not recall the facility had been short to staff from the Des Moines.				

Page **12** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Section 135C.43A	Date: October 12, 2017 Dates: ber 20-25, 2017			
	ess/City/State/Zip	0601011 1330.43A	- Coptom	501 20 20, 20		
Nevada,IA. 50	201	HL	#70935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			
	around 8:00 p.m. Since DSS reported she traine person in the front come could more easily moni common area. The DS incident staff had been common area. Additional record review Support Associate (DS, QIDP, Associate Directed Manager. In the email Experience of the common area of the staff were been and staff began looking came in and said Client the sidewalk before she asked in the email about alarm for the bedroom of the email in Client the sidewalk before she asked in the email about alarm for the bedroom of the email in Client the sidewalk before she asked in the email about alarm for the bedroom of the email in Client the sidewalk before she asked in the email about alarm for the bedroom of the email in Client the sidewalk before she asked in the email in Client the sidewalk before she asked in the email in Client the sidewalk before she asked in the email in Client the sidewalk before she asked in the email in Client the sidewalk before she asked in the email in Client the sidewalk before she asked in the email in Client the sidewalk before she asked in the email about alarm for the bedroom the sidewalk before she asked in the email about alarm for the bedroom the sidewalk before she asked in the email about alarm for the bedroom the sidewalk before she asked in the email about alarm for the bedroom the sidewalk before she asked in the email to she asked in the email	w revealed there no General ted on or around 4/16/17 noting s no response noted on the				

Page **13** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,		r 12, 2017			
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A		Survey Dates: September 20-25, 2017			
Facility Address 217 Maple Ave Nevada,IA. 502							
Nevaua,iA. 302	.01	HL	#70935-I				
Rule or Code Section	Natur	e of Violation	Class	Fine Amo	ount	Correction date	
	had Client #1 in their signals was not required. The Country the incident. When interest a.m. DSA D said she dian interview on 9/22/17 recalled the incident. Solooked outside and saw patio, just leaving the beinmediately went outside before she got to him/had team meeting consist facility nurse, the Program of the QIDP was held concerns regarding Client #1 had door without staff acknowindicated the team discount the exit door, fencing staff do a head count expounded. When interviewed with the was unable to find Record review revealed.	ting of two managers, the am Coordinator (at that time) on 4/19/17 to discuss ent #1's frequent falls. Item by the QIDP, the team also wing gone out the bedroom wing owledgement. The notes ussed the possibility of "sirens" of in the backyard and having overy time the door chimes ewed on 9/20/17 at 3:10 p.m. any of these suggestions, the					

Page **14** of **34**

Citation Number: 6673 Facility Name:		Fine amount reduced by 35% to \$4,550.00 on November 7,	Survey	r 12, 2017		
Mosaic 217 M		2017 pursuant to Iowa Code Section 135C.43A		ber 20-25,	2017	
Facility Addre	ess/City/State/Zip enue					
Nevada,IA. 50	201	HL	#70935-I			
Rule or				Fine Am	ount	Correction
Code Section	Natur	e of Violation	Class			date
	1		1	1		
	Coordinator and other sereveal any indication of #1's elopement attempt address the maladaptive did note the door chime hand wrote a note on the Plan that read, "possible door," but this was not of hand wrote a note on the Plan under the BSP (Bethat read, "Do not need plan to address the elope a need. The facility didepriority need and development and development and the plan to address the elope and development and development and development and the plan to address the elope and development and development and development and the plan to address the elope and development and development and development and development and development and development and the plan and the pla	dian, the facility nurse, Program staff. Documentation failed to discussion regarding Client is or discussion of a plan to be behavior. The Lifestyle Plan is on the exit doors. The QIDP in working copy of the Lifestyle is different door alarm on back on the final plan. The QIDP in working copy of the Lifestyle is different door alarm on back on the final plan. The QIDP in working copy of the Lifestyle is different door alarm on back on the final plan. The QIDP in working copy of the Lifestyle is different attempts or identify it as identify communication as a open a program for Client #1 to some a program for Client #1 to some a program for Client #1 to some a program did it pictures. The program did is teaching Client #1 to request it is exposed to ask Client #1 to anted to do by using the dication book. According to the land a general level of Staff provide hourly visual require further supports. I alone in my room throughout it in with me every 20-30. If am engaged in an activity and upports. In the summer I like to e outside. I like to go for walks				

Page **15** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to lowa Code	Date: October 12, 2017 Dates: ober 20-25, 2017			
Facility Addre	ss/City/State/Zip enue	Section 135C.43A	Septem	Dei 20-23,	2017	
Nevada,IA. 502	201	HL	#70935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	Lifestyle Plan also noted not understand the differance of the properties of the pro	SAF said the client didn't like where it was located, DSAF at she thought it might be at the 1/19/17 at 3:00 p.m. the QIDP not previously eloped from this #1 had a history of elopement ment. Client #1 also had a at the exit doors at this facility ying him/her, but staff had seen lirected him/her or gone outside dents of attempts to leave the e not being he QIDP said since the 1/2/10/17 she worked on				

Page **16** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Section 135C.43A	Date: October 12, 2 Survey Dates: September 20-25, 2017			r 12, 2017
Facility Addre	ss/City/State/Zip	Occion 1000.40A				
217 Maple Ave Nevada,IA. 50						
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Rule or Code	Natur	e of Violation	Class	Fine Am	nount	Correction date
Section	Natur	e or violation	Class			uate
	•		ı	1		
	fill-in staff from Des Molfor the past month or so working that shift left to another house. When of level of supervision should be around 7:45 p.m., the overnight shift, the source Client #1 approximately QIDP noted a video monomous showed Client #1's bed disorder, so the staff concent of the exit doors had doon should have alerted the incident on 9/10/17, the team held a meeting on and possible solutions. reminded/retrained to homomous area of the homomous better monitoring of the unable to produce door at the time of the interviol on 9/20/19 around 9:00 email from the HM state B by phone on 9/11/17 make sure to have one front area. In the email DSA C and DSA D by processing the sure to have one front area.	ble Ave home. The staff were ines and worked at the house of the regular staff person go pass medications at questioned what Client #1's build have been if he/she went to the QIDP said since it was not staff should have checked on a vevery 20-30 minutes. The onitor in the living room that the did have checked the monitor. It chimes when opened, which is staff. Since the elopement of Pl8/17 to discuss the incident of She said staff were being that a staff stay in the front of the at all times, which ensured the exit doors. The QIDP was a mentation of the staff training itew. Of a.m., the QIDP produced an ead she trained DSA A and DSA to ensure client safety and staff person monitoring the the HM said she also trained of the DSS with dates are the produced and the the HM said she also trained of the DSS with dates				

Page **17** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Survey Dates					
	<u> </u>	Section 135C.43A	Septemi	per 20-25, 2017			
217 Maple Ave							
Nevada,IA. 502	201	HL	#70935-	·I			
Rule or	T			Fine Amount	Correction		
Code Section	Natur	e of Violation	Class	Fille Alliouni	date		
				I			
	of various staff to remin to be in the central com QIDP provided the minumeeting held on 9/18/17. The team determined a would be the best option implementation was not email to the QIDP on Frread, "All staff are going regarding (Client #1's) of chimes, knowing client there is one person up to 9/20/17 at 9:00 a.m. the 9/15/17 email from 19/18/17. During a follow up intenting the QIDP confirmed she history of elopement at waiver site. She was all indicated elopement wateam meeting on 4/20/17 Client #1's falls, but also client going out the exit wrote the notes at that is suggestions on how to behavior. Regarding an	ted. The HM also sent an riday, 9/15/17 at 7:32 p.m. that g to have to be trained elopement. Responding to door whereabouts, and ensuring front." When interviewed on e QIDP said she had not seen the HM until Monday morning, view on 9/20/17 at 3:10 p.m. e was aware Client #1 had a his/her prior placement, a lso aware Client #1's CFA as a concern. She attended the 17, which was held to discuss o included discussion of the door. The QIDP said she meeting, including the manage the elopement					

Page **18** of **34**

Citation Number: 6673 Facility Name:		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code		Date: October 12, 2017 Survey Dates:			
	ss/City/State/Zip	Section 135C.43A	Septemi	ber 20-2	5, 2017		
217 Maple Ave Nevada,IA. 502		HL	#70935-	935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date				
	chimes sound. The QIDP also confirmed DSA D dated 4/16/17 to Client #1 going out the busy. The QIDP acknow the email. When asked were not discussed at Con 4/20/17, the QIDP state client's falls. Client time. She acknowledge no BSP was needed. It was an error. At the only needed if the client medication. At the time QIDP confirmed Client place for elopement be writing it. Since the elowhen Client #1 was fout the facility had not imple had not increased the lection, and had just received the place of the place of the graph of the grap	when they heard the door ed she received the email from be express concern regarding exit door while other staff were wledged she did not respond to why the elopement attempts Client #1's 30-day team meeting aid they were more focused on #1 had fallen a lot around that d she wrote a note indicating The QIDP said she realized now the time she thought BSPs were took behavior modifying of the interview on 9/20/17, the #1 did not yet have a BSP in cause the QIDP struggled with pement incident on 9/10/17, and in the street by a neighbor, the ently started training staff to be ently started training staff to					

Page **19** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,		Date: Octob	Date: October 12, 2017		
Facility Nam Mosaic 217	e: Maple Avenue	2017 pursuant to Iowa Code Section 135C.43A	Survey Septem	Dates: ber 20-25, 2017			
Facility Addi 217 Maple Av Nevada,IA. 5							
Nevaua,iA. 5	0201	HL	#70935				
Rule or Code Section	Natur	re of Violation	Class	Fine Amount	Correction date		
	a.m. regarding initial tra and DSA B, the QIDP s documented they read plans. The QIDP said s receive "site training" to and the clients, but that not documented until ve not know if DSA A or D training. The QIDP was that DSA A had signed #1's ISP on 8/10/17. T DSA B regarding Client acknowledged reading program on 9/11/17, the acknowledged reading program on 7/18/17. H	Client #1's communication e day after the incident. DSA B Client #1's communication					
W194	techniques necessary	FRAINING PROGRAM demonstrate the skills and y to implement the individual ch client for whom they are					
	the facility failed to prov	, interviews and record review, vide adequate staff training to by with correct and consistent					

Page **20** of **34**

Facility Administrator

Date

		<u>_</u>		_		
Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,			Date: Octobe	r 12, 2017
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemb		5, 2017	
Facility Address 217 Maple Ave Nevada,IA. 502						
		HL	#70935-	·I		
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	affected 1 of 1 client inv (Client #1). Finding foll See W 159 for additional incident. Record review of facility Client #1 left the facility evening of 9/10/17. Sta was missing until a neig around 8:25 p.m. to let Client #1 about two blow Support Associate (DS/ Client #1 to bed between had not checked on the returned to the facility wand record reviews reve of Client #1's history of and of Client #1's repeat without staff at the current no program to address	al information regarding the y records on 9/19/17 revealed without staff knowledge on the aff failed to realize Client #1 ghbor woman came to the door them know she had found cks from the facility. Direct A) A estimated she assisted en 7:30 p.m. and 8:00 p.m. and c client since then. Client #1 with staff. Additional interviews ealed the facility had knowledge elopements from a prior facility ated attempts to go outside ent facility, but had developed the behavior. There were two a clients at the Maple Avenue				
	by DSA A on 9/10/17, s and had gone to assist assisting another client.	al Event Report (GER) written the had put Client #1 to bed other clients. DSA B was also . She said Client #1 left the wledge. A neighbor came to				

Page **21** of **34**

		_				
Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,		Date: October 12, 2017		
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	pursuant to Iowa Code Survey Date			
Facility Addre 217 Maple Ave Nevada,IA. 502						
ito vada,iA. oo.		HL	#70935	-l		
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	clients. A facility nurse a morning and found no provide the facility nurse a morning and found no provide the parking lot (east) are also led to the patio are severe Intellectual Disa Syndrome/Seizure Disc #1 was non-verbal with He/She ambulated indebelt due to occasional ufalls. Client #1 was admifrom another agency. It disorder, Client #1 had video of the client's bed room. Staff could then Observation on 9/19/17 four exit doors of the hot the doors were opened musical sound of eight chime did not continue door chime worked only completely shut and the were located at the front opened to the living root the dining room and lead (north), a side door off of the parking lot (east) an also led to the patio are	old with a diagnosis including ability, Lennox-Gausaut order and Spina Bifida. Client out functional communication. Expendently, but wore a posey insteadiness and a history of nitted to the facility on 3/23/17, Due to an uncontrolled seizure a visual monitor that relayed a to a small monitor in the living monitor Client #1 when in bed. If revealed door chimes on the ome, which sounded whenever the door chimes made a notes and then stopped. The lift the door remained open. The				

Page **22** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,			Date: Octobe	r 12, 2017
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey I Septemi	Dates: per 20-25	, 2017	
Facility Address 217 Maple Ave Nevada,IA. 502						
,		HL	#70935-	-1		
Rule or Code Section	Natur	e of Violation	Class	Fine An	nount	Correction date
	common area of the ho included the living room During observations on 3:45 p.m. to 4:00 p.m. On time pacing/walking are monitoring. The back of backyard was wide opeone point, with staff followed belt, but appeared stead Support Supervisor (DS was often left open during the comprehensive Function 4/14/17. A section of the issues. Under the cate notifying others/elopes, checked. The box for "Tuses sidewalks, uses of and cautious with strangat 1:20 p.m. to explain the Qualified Intellectual Dissaid Client #1 would ne elope. The client would assistance. She said Commonwealth of the common transport of the client would assistance. She said Common transport of the client would assistance. She said Common transport of the client would assistance. She said Common transport of the client would assistance. She said Common transport of the client would assistance. She said Common transport of the client would assistance.	loor that led to the patio and en, so Client #1 went outside at owing. Client #1 wore a gait dy while walking. The Direct SS) said the back patio door ng nice weather. 19 revealed Client #1's onal Assessment (CFA), dated the CFA addressed behavior gory of "Leaves without" the box for "Total" was fotal" was also checked for rosswalks, uses traffic lights, gers. When asked on 9/20/17 the meaning of "Total," the sability Professional (QIDP) the dotal staff assistance not to be try to elope if not for total staff client #1 would also need total staff esidewalks, crosswalks and				

Page **23** of **34**

Citation Numb		to \$4,550.00 on November 7,		r 12, 2017		
Facility Name Mosaic 217 M		2017 pursuant to Iowa Code Section 135C.43A	Survey Dates: September 20-25, 2017			
217 Maple Ave						
Nevada,IA. 50	201	HL	#70935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	facility nurse, the Programd the QIDP was held concerns regarding Clie According to notes writt discussed Client #1 have door without staff acknowindicated the team discussed on the exit door, fencing staff do a head count expended. When intervir regarding follow up on a QIDP said she thought headcount whenever the She was unable to find. The 30-day Lifestyle Pla 4/20/17 attended by the the facility nurse, Programmer The Lifestyle Plan noted doors. The facility did in priority need and development of the programmer of the communication book with not specifically address to go outside. Staff were indicate what he/she was pictures in the communicate #1	ent #1's frequent falls. Iten by the QIDP, the team also ving gone out the bedroom wing owledgement. The notes ussed the possibility of "sirens" in the backyard and having very time the door chimes ewed on 9/20/17 at 3:10 p.m. any of these suggestions, the staff were told to do a ley heard a door chime sound. documentation of this training. In meeting was held on a QIDP, Client #1's guardian, am Coordinator and other staff. It door chimes on the exit dentify communication as a oped a program for Client #1 to				

Page **24** of **34**

Citation Numl 6673 Facility Name Mosaic 217 M	:	Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Section 135C.43A				
217 Maple Ave						
Nevada,IA. 50	201	HL	#70935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	sleeping well and do not often like to spend time the day. Staff will check minutes to ensure that do not require further state spend much of my time but need staff assistant Lifestyle Plan also note not understand the differ a friend. I need assistant relationships with other verbal assistance to accept the control of the schedule of of the	rnight hours to ensure I am of require further supports. I alone in my room throughout in with me every 20-30. If am engaged in an activity and upports. In the summer I like to coutside. I like to go for walks be outside of the home." The d, "I am a social person and do be rence between a stranger and ince to maintain appropriate is." and "I need physical and does my community safely." All Schedule dated 03/2017. The community safely in the community is and staff should provide hourly into 6:00 a.m. All 19/17 at 4:00 p.m. the Direct is sometimes left open if it is should all be closed when the DSS said she thought the two is of the incident had been the community had been short is said Client #1 typically went to				

Page **25** of **34**

Citation Numb	oer:	Fine amount reduced by 35% to \$4,550.00 on November 7,			r 12, 2017	
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey Dates: September 20-25, 2017			
217 Maple Ave						
Nevada,IA. 50	201	HL	#70935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	incident, she had been staff person in the front Staff could more easily the common area. The incident staff had been common area. When interviewed on 9/ reported she worked for one year, in the Des Mc Maple Ave home for ab 3-4 times as of 9/10/17 incident she had not be person needed to stay i but she noticed one sta area. When asked if she the incident to make sur front area of the house, recalled a phone conve Manager (HM) within a discuss the elopement in not tell her a staff perso area. DSA A said she of history of elopement, but outside on his/her own. back inside. DSA A said she had assisted Client maybe around 7:30 p.m.	he said since the elopement training staff there must be one common area at all times. monitor all the exit doors from DSS said even prior to the told to keep one staff in the 20/17 at 9:55 a.m. DSA A the Mosaic agency for about sines area. She filled in at the out one month approximately DSA A said she prior to the en told/trained that one staff in the front area of the house, if usually stayed in the front a had been trained/told since a staff person stayed in the DSA A said she had not. She reation with the Habilitation day or two of the incident to incident, but said the HM did in needed to be in the front did not know Client #1 had a sut observed the client would go Staff then brought the client did she did not recall what time #1 to bed, but estimated between when questioned by the facility				

Page **26** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to lowa Code	Oct			
	·	Section 135C.43A	September 20-25, 2017			
217 Maple Ave	ss/City/State/Zip enue					
Nevada,IA. 50	201	HL	#70935-I			
	1					
Rule or Code Section	Natur	e of Violation	Class	Fine Amou	unt Correction date	
	she should have checked went to bed, DSA A said client about every 2 hou monitor in the living roo at it prior to the neighbouring a follow up interned based on the prior of the neighbouring a follow up interned a said she didn't know the communication book. Where way for Client #1 to conduct on the properties of the	ent). When asked how often ed on Client #1 after he/she d she should have checked the urs. She knew of the video m, but said she had not looked or woman coming to the door. View on 4/20/17 at 1:50 p.m. mow of Client #1 having a When asked if there was any municate he/she wanted to go e didn't know of anything. 1/20/17 at 10:45 a.m. DSA B shift on 9/10/17, along with the AB said no staff person was the staff shared responsibility for the filled in at the Maple Ave and estimated he worked there detected he did not know Client #1 ent, but he was aware the staff without staff. DSA B said as in bed at the time of the Client #1 was in bed, staff er every 1-2 hours. DSA B tor for Client #1, but had been had not looked at it. DSA B sold prior to the incident that one stay in the front common area. The provided the standard of the common area. The provided the standard since the stay in the front common area.				

Page **27** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,		r 12, 2017		
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey Dates: September 20-25, 2017			
Facility Addre 217 Maple Ave Nevada,IA. 502						
Nevada,iA. 302	201	HL	#70935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	incident, but she didn't in needed to stay in the collast time he saw Client in probably around the time passing medication and During a follow-up interest on the said he was not communication book. Heast one of the exit door to go outside. DSA B swork properly and Client When interviewed on 9/confirmed she worked in home, with DSA A and full time staff at the home five years. She was aware eloping at his/her prior a home, Client #1 would be to go outside. Staff were him/her. The regular Marchian Client #1 very closely. The person stayed in the from monitor the area and the #1 typically went to be donce in bed, Client #1 to the same content when the same and the properties of the same content when the same and the properties of the same content with the same and the same content when the same content with the same and the same content with the same content when the same content with the same content when the same content with the same content when the same content with the sa	IM. when they talked about the tell him that one staff person ommon area. DSA B said the #1 prior to the elopement was he DSA C left, but he was busy did not recall with certainty. Inview on 4/20/17 at 2:00 p.m. aware of Client #1 having a le said there was a switch by at lors to activate a verbal request aid the switch didn't always at #1 never used it. Inview on 4/20/17 at 2:00 p.m. aware of Client #1 having a le said there was a switch by at lors to activate a verbal request aid the switch didn't always at #1 never used it. Inview on 4/20/17 at 2:00 p.m. DSA C PM shift at the Maple Ave DSA B on 9/10/17. She was a ne and worked there for about are Client #1 had a history of agency. At the Maple Ave often open an exit door and try re always present and followed aple Ave staff knew to monitor They also made sure one staff ont common area at all times, to be exit doors. DSA C said Client I between 8:30 p.m. 9:00 p.m.				

Page 28 of 34

Citation Number: 6673 Facility Name:		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code	Date: October 12, 2017 Survey Dates:			
Mosaic 217 Ma		Section 135C.43A		ber 20-25, 2017		
Facility Addre 217 Maple Ave Nevada,IA. 50						
Nevaua,iA. 30	201	HL	#70935-I			
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			
	going to bed. DSA C sa home around 7:30 p.m. another house. Client # table having a snack what the house around 9:30 elopement incident. DS bed staff should check the way when interviewed on 90 stated she was a regular Maple Ave home. She is the time of the incident	ent #1 to get right back up after aid she left the Maple Avenue to go pass medication at 11 was sitting at the kitchen hen she left. She returned to p.m. and learned of the A C said after Client #1 went to on him/her every 2 hours and rideo monitor every hour. 19/17 at 4:15 p.m. DSA E ar full-time staff person at the noted the two staff present at on 9/10/17 were not as familiar gular staff. DSA E said Client				
	#1 had been more active medication. She estimate exit door and try to go of shift. Staff closely monitored the bedroom wing was and the client had gone regular staff knew that a front area to monitor. Do of any other time Client the Maple Ave home, be history of elopements from DSA E said Client #1 us 7:45 p.m. and 9:00 p.m. bed, but not always. DS	re since a reduction in seizure ted Client #1 would open an outside about 2-3 times per tored the client. The exit door in next to Client #1's bedroom out that door in the past. The a staff person should stay in the SA E said she was not aware #1 had actually eloped from out she aware the client had a som his/her prior living site. Sually went to bed between the/She usually stayed in SA E said after Client #1 went to him/her every hour, but should				

Page **29** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Section 135C.43A		Date: October 12, 2017 Survey Dates: September 20-25, 2017				
Facility Addre	ss/City/State/Zip enue	Coolin 1999-1974						
Nevada,IA. 50	201	HL	#70935-I					
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date		
	when interviewed on 90 she was a regular full-ti home. When asked ab book, DSA F said the coasked where it was local know, but she thought it. When interviewed on 90 said Client #1 had not possible from his/her prior place history of trying to go on without staff accompany the client and either redwith the client. The incident on 90 send to complete the with the client. The incident on 90 send to complete the with the client. The incident on 90 send to complete the with the client. The incident on 90 send to complete the with the client. The incident on 90 send to complete the with the client on 90 send to send the worked work of the incident on 90 send to go pass med when questioned what	/21/17 at 8:55 a.m. DSA F said me staff at the Maple Ave out Client #1's communication lient didn't like to use it. When ated, DSA F said she didn't the might be at the facility office. /19/17 at 3:00 p.m. the QIDP previously eloped from this #1 had a history of elopement ment. Client #1 also had a put the exit doors at this facility lying him/her, but staff had seen directed him/her or gone outside dents of attempts to leave the e not being						

Page **30** of **34**

Citation Number: 6673 Facility Name: Mosaic 217 Maple Avenue		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Section 135C.43A September 2		Dates:			
	ess/City/State/Zip	0001011 10001-1071					
Nevada,IA. 50	201	HL	#70935-I				
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date	
	the staff should have be approximately every 20 there was a video moni showed Client #1's bed disorder, so the staff co The exit doors had doo should have alerted the incident on 9/10/17, the team had held a meetin incident and possible so being reminded/retraine front common area of the ensured better monitori was unable to produce training at the time of the On 9/20/19 around 9:00 email from the HM that DSA B by phone on 9/1 make sure to have one front area. In the email DSA C and DSA D by provided a training sheer ranging from 9/12/17 to of various staff to remin to be in the central com QIDP also had the minumeeting held on 9/18/1	ce it was not the overnight shift, een checking on Client #1 -30 minutes. The QIDP noted tor in the living room that , due to the client's seizure uld have checked the monitor. It chimes when opened, which e staff. Since the elopement of QIDP said the management of gon 9/18/17 to discuss the colutions. She said staff were end to have one staff stay in the ne home at all times, which and of the exit doors. The QIDP documentation of the staff of the interview. 10 a.m., the QIDP produced an stated she trained DSA A and 1/17 to ensure client safety and staff person monitoring the the HM said she also trained of the home on 9/1/17. The QIDP also set written by the DSS with dates 9/19/17 documenting training d staff that at one staff needed amon area at all times. The outes from the management of to discuss the elopement. Wander Guard type of system					

Page **31** of **34**

Citation Number: 6673		Fine amount reduced by 35% to \$4,550.00 on November 7,					
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey Dates: September 20-25, 2017				
Facility Address 217 Maple Ave Nevada,IA. 502							
Nevaua,iA. 302	201	HL	#70935-I				
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date	
	email to the QIDP on Fread, "All staff are going regarding (Client #1's) echimes, knowing client there is one person up 9/20/17 at 9:00 a.m. the 9/15/17 email from 9/18/17. When interviewed on 9/2 a.m. regarding initial trained DSA B, the QIDP secure documented they had reprogram plans. The QI supposed to receive "si with the home and the chad not been not documented the QIDP did not know received the site training documentation DSA As Client #1's ISP on 8/10/10/10/10/10/10/10/10/10/10/10/10/10/	ted. The HM also sent an riday, 9/15/17 at 7:32 p.m. that g to have to be trained elopement. Responding to door whereabouts, and ensuring front." When interviewed on a QIDP said she had not seen the HM until Monday morning, 121/17 at approximately 10:30 lining on Client #1 for DSA A tated they should have lead Client #1's ISP and DP said staff were also the training to familiarize them clients; but that type of training mented until very recently, so if DSA A or DSA B had g. The QIDP was able to locate signed off on acknowledging 17. There was no B regarding Client #1's ISP. leading Client					

Page **32** of **34**

Citation Numb		Fine amount reduced by 35% to \$4,550.00 on November 7,		r 12, 2017		
Facility Name: Mosaic 217 Ma		2017 pursuant to Iowa Code Section 135C.43A	Survey Dates: September 20-25, 2017			
217 Maple Ave						
Nevada,IA. 502	201	HL	#70935-	·I		
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date			Correction date
	FACILITY RESPONSE	:				

Page **33** of **34**

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

Citation Numb 6673 Facility Name: Mosaic 217 Ma		Fine amount reduced by 35% to \$4,550.00 on November 7, 2017 pursuant to Iowa Code Section 135C.43A	Survey I		per 12, 2017
Facility Address 217 Maple Ave Nevada,IA. 502	ss/City/State/Zip nue 201				
Nevada,iA. 002		HL	#70935-I		
Rule or Code Section	Natur	e of Violation	Class Fine Amount Correction date		

Page	34	Λf	34