

**Department of Inspections and Appeals
Health Facilities Division
Citation**

Number 5776					Report date June 27, 2022
Facility name Corydon Specialty Care		Survey dates May 23, 2022- June 16, 2022			
Facility address 745 East South Street					
City Corydon, IA 50060		MW			
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction Date	
58.43(135C)	<p>481—58.43(135C) Resident abuse prohibited. Each resident shall receive kind and considerate care at all times and shall be free from mental, physical, sexual, and verbal abuse, exploitation, neglect, and physical injury. Each resident shall be free from chemical and physical restraints except as follows: when authorized in writing by a physician for a specified period of time; when necessary in an emergency to protect the resident from injury to the resident or to others, in which case restraints may be authorized by designated professional personnel who promptly report the action taken to the physician; and in the case of an intellectually disabled individual when ordered in writing by a physician and authorized by a designated qualified intellectual disabilities professional for use during behavior modification sessions. Mechanical supports used in normative situations to achieve proper body position and balance shall not be considered to be a restraint. (II)</p> <p>DESCRIPTION:</p> <p>Based on clinical record review, staff interviews, and facility investigation, the facility failed to ensure each resident received kind and considerate care and to be free from physical restraints. A concern was identified for Resident #1 who was tied with a sheet while in wheelchair to keep restrained for staff convenience. The facility reported census was 59.</p>	II	\$500.00 Held in Suspension	Upon Receipt	

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	<p>Findings include:</p> <p>According to Resident #1's Minimum Data Set (MDS) assessment with assessment reference date of 10/28/21, Resident #1 had a Brief Interview for Mental Status score of 5 indicating a severely impaired cognitive status. Resident #1 required extensive assistance with transfers, mobility, dressing, toilet use and personal hygiene needs. Resident #1's diagnosis included Non-Alzheimer's dementia, cerebrovascular accident (stroke) with aphasia and hemiplegia.</p> <p>Review of care plan notes Resident #1 is at risk for falls. There were no interventions addressing restlessness or using physical restraints.</p> <p>According to the facility's Dependent Adult Abuse Protocols:</p> <p>1) Abuse includes the willful act to unreasonably confine a resident. 2) Mandatory Reporting Abuse Investigation Procedure includes:</p> <p style="padding-left: 20px;">a) Allegations are to be reported immediately up the chain of command. b) The charge nurse shall separate the suspected abuser from the resident.</p>			

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	<p>c) The facility shall collect any supporting documentation relative to the incident.</p> <p>d) During the investigation, the facility shall suspend or terminate the accused staff.</p> <p>f) After the facility completes their investigation, the staff member accused may return to work if the nursing facilities investigation did not find founded abuse.</p> <p>e) If returned to the facility, continue to separate the staff member from the victim until there is no investigation determined or the department complete the investigation and is unfounded.</p> <p>According to Staff C's statement from 12/11/21, Staff C indicated that evening, Resident #1 was restless and not ready for bed, so they brought her back to the dining room and informed the nurses, Staff A and Staff B. Staff C stated she was in and out of the dining room and at one point saw Staff A and Staff B standing next to Resident #1, saying they were not going to get their work done and asking for a gait belt then a bed sheet. Staff C left the dining room for a few minutes and upon returning, witnessed both Staff A and Staff B at Resident #1's wheelchair with a bed sheet. Staff C could not see what they were doing, but after she left the area and returned a few minutes later, Resident #1 was observed in her wheelchair with a sheet crossed under her legs and tied to the chair arms,</p>			

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	<p>restraining her from getting up. Staff C immediately informed another CNA who contacted the DON and initiated an investigation. The CNA was instructed to untie the sheet and then took Resident #1 to room and the resident was no longer restless and was assisted to bed.</p> <p>According to Staff P's statement from 12/12/2022, Staff P indicated after Staff C reported that the nurses had taken a bed sheet and tied the resident to wheelchair in the dining room. Staff P went and observed Resident #1 and could see the resident struggling against the white bed sheet that wrapped around chest and it was also wrapped legs. Staff C and Staff were instructed to untie the resident. Staff C struggled to untie the bed sheet especially how it was woven around the resident.</p> <p>According to Staff A's statement from 12/12/21, Staff A indicated Resident #1 had been agitated and restless that evening and they placed a sheet over her to provide comfort. When informed the bed sheet was tied to the chair arms, Staff A did not confirm or deny she was involved with tying the bed sheet. On 12/15/21 when asked if she was involved with restraining Resident #1, Staff A stated I helped with getting a blanket on her, but I didn't tie it on. When asked why they were doing this, Staff A stated it was to keep</p>			

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	<p>her from sliding out of her chair. Even with the dycem and one way glide, she was still sliding out of the chair".</p> <p>According to Staff B's statement from 12/11/21, Staff B indicated she was involved with putting a sheet over Resident #1 because she had been disrobing and the sheet may have gotten tangled up because she had been restless and moving a lot. On 12/12/21 Staff B was informed of being suspended and responded by saying "her hands were not restrained".</p> <p>When told the sheet had been tied to the wheelchair arms, Staff B did not respond to this but asked if she would be able to come back to finish her scheduled shifts.</p> <p>Observation of a photo taken at the time Resident #1 was tied in the wheelchair showed a bed sheet wrapped between the resident's legs and around the legs. The the ends of the bed sheet was tied to the back rest of the wheelchair.</p> <p>In an interview on 5/23/22 at 3:55 p.m. the Director of Nursing (DON) stated on the evening of 12/11/21 she received a call informing her there was an allegation of abuse involving Resident #1. The DON was informed witnesses saw Resident #1 restrained in her wheelchair with a bed sheet. The DON initiated an investigation and spoke with those present</p>			

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	<p>that evening. During the course of her investigation, two nurses, Staff A and Staff B, were identified as the alleged perpetrators. The two nurses were suspended pending the outcome of the facility investigation. Both Staff A and Staff B admitted to placing the bed sheet over Resident #1 and both Staff A and Staff B denied tying the bed sheet to the wheelchair. The DON stated following her investigation, she concluded Resident #1 had been restrained in her wheelchair with a bed sheet tied to the wheelchair arms and that it was abuse, however was hesitant to identify whether it was Staff A, Staff B or both involved as the perpetrator. The DON stated the investigation was discussed with their corporate management team and the team determined Staff A could return to work under conditions which provided better supervision. Staff B was an agency nurse and her contract was up and not renewed.</p> <p>In an interview on 5/24/22 at 2:50 p.m. The Administrator stated on 12/11/21 she was contacted by her DON and informed of an allegation of abuse involving Resident #1 and two nurses. It was alleged that Resident #1 had been restrained in her wheelchair using a bed sheet. The DON interviewed staff present that evening and upon finishing the interviews, she discussed the incident with their Human Resource management team. It was determined</p>			

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	<p>Staff A could return to work with conditions and Staff B was believed to be the perpetrator. The Administrator was asked what evidence they had to support that conclusion and she responded Staff A was not cruel and was suitable to return to work. The Administrator was asked if a bed sheet was used to restrain a resident in her wheelchair for the convenience of staff, would she consider that abuse. The Administrator stated yes. The Administrator was asked if she was aware of a picture taken by a witness that evening, showing the resident restrained in her wheelchair. The Administrator stated she was aware Staff C had taken a picture, but had not seen it. Staff C was instructed to delete the photo. The Administrator stated she did not keep the photo as evidence.</p> <p>According to the facilities investigative summary, an allegation that Resident #1 was restrained in her wheelchair with a bed sheet was made on the evening of 12/11/21 at 8:45 p.m. The DON contacted the facility to ensure Resident #1 was not restrained. She spoke with Staff A, who acted unaware of whether Resident #1 was restrained or not. Then Staff B called the DON stating Resident #1 had not been restrained. Staff B stated Resident #1 had been restless and disrobing and a sheet was placed over her for this reason. The following day</p>			

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	<p>additional statements were collected. Staff C stated she had been the one who noticed Resident #1 restrained with a bed sheet and untied the sheet from the wheelchair. The DON had Staff A and Staff B suspended pending the investigation. The summary indicated both Staff A and Staff B would be reeducated regarding care and treatment of residents. Written offense were added to the Staff A and Staff B employment file. Reeducation for all staff regarding the use of restraints and what constitutes a restraint. The summary implies Staff A and Staff B are suspected perpetrators, but does not provide a conclusion to their investigation.</p> <p>According to an email addressed to the facility Administrator from the Regional Director of Human Resources dated 12/16/21, it was determined Staff A would be removed from suspension under the following conditions: *Staff A will move to day shifts where she will receive more supervision. *Staff A will not work in the memory care unit at this time until approved by the Administrator. *Additional Relias courses on resident abuse will be assigned to Staff A to be completed by 1/4/22. *EAP will be highly encouraged.</p>			

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	<p>*The Administrator and Regional Director of HR will have weekly conversations with Staff A.</p> <p>*The Administrator and DON will visually check on Staff A throughout her shift for 30 days.</p> <p>*The Administrator will check with residents in Staff A's care twice weekly for 30 days.</p> <p>FACILITY RESPONSE:</p>				

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58.28(3)	<p>58.28(3) Resident safety.</p> <p><i>e.</i> Each resident shall receive adequate supervision to protect against hazards from self, others, or elements in the environment. (I, II, III)</p> <p>DESCRIPTION:</p> <p>Based on record review and staff interviews, the facility failed to provide adequate supervision of a resident who was at high risk for falls and who was getting up frequently unassisted while in the dining room and failed to properly supervise residents who were physically aggressive towards other residents for 3 of 4 incidents reviewed. (Residents #2, #6, #9, #4 & #5) The facility reported census was 59.</p> <p>Findings include:</p> <p>According to Resident #6's Minimum Data Set (MDS) assessment with assessment reference date of 10/5/21, Resident #6 had a brief interview for mental status (BIMS) score of 5 indicating a severely impaired cognitive status. Resident #6 required extensive assistance with bed mobility, transfers, dressing, toilet use and personal hygiene needs. Resident #6's diagnosis included Alzheimer's, Non Alzheimer's dementia, congestive heart failure, renal insufficiency and chronic obstructive pulmonary disease.</p>	I	<p>\$8750.00</p> <p>Held in Suspension</p>	<p>Upon Receipt</p>

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	<p>Resident #6's plan of care indicated a focus area on risk for falls with interventions which included making sure Resident #6 was wearing proper footwear, provided a safe environment without clutter, provided grippy strips at bedside and in front of recliner, provided dycem for her recliner wheelchair and place a black mat at bedside to decrease risk for injury.</p> <p>According to incident reports from 7/1/21 through 11/27/21, Resident #6 had 17 recorded falls. 5 falls occurred in November alone. The incident report dated 11/27/21 at 4:20 p.m. indicated it was near dinner time and the dining room was noisy with several visitors entering the building and using the doorbell. Resident #6 had got up unassisted on several occasions to answer the doorbell and was redirected back to her chair each time. At 4:20 p.m. Resident #6 was discovered on the floor. Resident #6 had a hematoma above her right eye and her left hip appeared out of socket. Resident #6 screamed in pain when touched. The physician was notified and Resident #6 was sent out to the emergency room for evaluation.</p> <p>According to the Emergency Room Note: Assessment dated 11/27/21, Resident #6 sustained an acute fracture of the pubic ramus (pelvis) and was also diagnosed with pulmonary edema, possible bacterial pneumonia, end stage Alzheimer's disease and end stage lung disease. The family decision was made for Resident #6 to return to the facility with a focus on comfort care. The emergency room physician did not expect Resident #6 to thrive much</p>			

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	<p>longer at the care center given this new fracture. Resident #6 eventually expired on 12/5/21.</p> <p>In an interview on 6/16/22 at 12:13 p.m. Staff L, licensed practical nurse, stated on 11/27/21 she was at the nurse's station when Resident #6 stood up unassisted in the dining room and fell. Staff L stated one moment Resident #6 was sitting and when she turned around she was on the floor. Staff L stated Resident #6 had been up unassisted multiple times answering the door bell, which was near her table. Staff L stated she was uncertain where the aides were at the time of the fall, noting they only had 2-3 aides that evening. Staff L stated Resident #6 probably needed a 1:1. but there was not enough staff and other residents care and supervision would have suffered.</p> <p>In an interview on 6/16/22 at 1:07 p.m. Staff M, certified nurse aide, stated she usually does not work beyond 2:00 p.m., but on 11/27/21 she must have, because she recalls walking down hall three towards the dining room and coming upon Resident #6, who had just fallen. Staff M stated there were only three aides working which is usual, but not adequate to meet resident needs, noting it takes at least four.</p> <p>In an interview on 6/16/22 at 12:55 p.m. Staff N, certified nurse aide, stated on the afternoon of 11/27/21 she recalled walking down hall 4 towards the dining room when Resident #6 had fallen. Staff N stated there were only three aides working that evening. Staff N stated she was assigned hall 4 and Resident 6 was not on that hall.</p>			

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	<p>_____</p> <p>_____</p> <p>_____</p> <p>According to Resident #2's Minimum Data Set (MDS) assessment with assessment reference date of 8/17/21, Resident #2 had a brief interview for mental status (BIMS) score of 4 indicating a severely impaired cognitive status. Resident #2 was independent with bed mobility and transfers and required limited assistance with dressing, toilet use and personal hygiene needs. Resident #2's diagnosis included Alzheimer's and Non Alzheimer's dementia and she resided on a memory care unit.</p> <p>Resident #2's plan of care indicates a focus area of impaired cognitive function/dementia or impaired thought process related to dementia, noting Resident #2 may strike out at staff, use profanity and on 12/11/20 and 7/1/21 was hit in the face by Resident #9. Interventions 12/11/20 included keep Resident #2 and Resident #9 separated.</p> <p>According to Resident #9's Minimum Data Set (MDS) assessment with assessment reference date of 9/14/21, Resident #9 had a brief interview for mental status (BIMS) score of 3 indicating a severely impaired cognitive status. Resident #9 was independent with bed mobility and transfers and required minimal assistance with dressing, toilet use and personal hygiene needs. Resident #9's diagnosis included Alzheimer's and Non Alzheimer's dementia and he resided on a memory care unit.</p>			

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	<p>Resident #9's plan of care indicates a focus area of impaired cognitive function related to Alzheimer's disease noting Resident #9 has struck Resident #2 in the face on 12/11/20, 7/1/21 and 9/19/21. Interventions 12/11/20 included keep Resident #2 and Resident #9 separated and Resident #9 has sundowning and seems more restless and agitated and may need someone to walk with him.</p> <p>In an interview on 6/1/22 at 1:56 p.m. Staff H, certified nurse aide, stated on 9/19/21, she was working on the memory care unit. Staff H states she was sitting at a table next to Resident #2, who was rambling. Resident #9 approached Resident #2, told her to shut up and immediately slapped her across the face. Staff H stated she separated the residents and informed the nurse. Staff H stated she was unaware of the history between the two residents or of the care plan intervention to keep them separated from one another.</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>According to Resident #4's Minimum Data Set (MDS) assessment with assessment reference date of 12/30/21, Resident #4 had a brief interview for mental status (BIMS) score of 3 indicating a severely impaired cognitive status. Resident #4 was independent with bed mobility and transfers, required limited assistance with dressing and extensive assistance with toilet use and personal hygiene needs. Resident #4's diagnosis included</p>				

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	<p>Alzheimer's and Non Alzheimer's dementia and he resided on a memory care unit.</p> <p>According to Resident #5's Minimum Data Set (MDS) assessment with assessment reference date of 11/25/21, Resident #5 had a brief interview for mental status (BIMS) score of 3 indicating a severely impaired cognitive status. Resident #5 required limited assistance with bed mobility, transfers and dressing and required extensive assistance with toilet use and personal hygiene needs. Resident #5's diagnosis included Alzheimer's and Non Alzheimer's dementia and she resided on a memory care unit.</p> <p>Resident #5's plan of care indicates a focus area on potential for physical aggression related to dementia with an intervention including when Resident #5 is agitated with another resident, intervene and redirect resident to another activity.</p> <p>In an interview on 6/1/22 at 12:10 p.m. Staff I, certified nurse aide, stated she and another aide were working on the memory care unit on 1/29/22. Resident #5 had just returned after being out with family for a week. At 4:10 p.m. Resident #4 was standing in the hallway near the dining room, when without notice, Resident #5 approached him and struck him in his abdomen. Staff I immediately separated the two residents, sending Resident #5 back to her room and Resident #4 to a chair near his room. Staff I stated she reported the incident to the charge nurse. A few minutes later, at 4:18 p.m., Resident #5 ambulated out of her room with her</p>			

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	<p>wheel walker and rammed the walker into Resident #4. Staff I stated she was standing next to Resident #4 at the time. The residents were again separated and Resident #4 was moved to a chair closer to the dining room. Resident #5 returned to her room. Minutes later at 4:25 p.m. Resident #5 returned to the hallway and as Resident #4 stood, Resident #5 struck Resident #4's arm. Residents were separated and the nurse notified. At that time they added an intervention for staff to remain with Resident #4 when in the dining room and to keep Resident #4 away from Resident #5 and for staff to provide stand by supervision of Resident #5 when she is in the dining room. Staff I stated there were no further incidents that afternoon.</p> <p>FACILITY RESPONSE:</p>			

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