

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 5413		Date: 10/19/21		
Facility Name: Casa de Paz Health Care Center		Survey Dates: 9/20 – 9/30/21		
Facility Address/City/State/Zip 2121 W 19 th St. Sioux City, IA 51103		SB/SS		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

58.11(3)	<p>481—58.11(135C) Personnel. 58.11(3) Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse. The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III)</p> <p>DESCRIPTION:</p> <p>Based on personnel file review, staff interview, and facility policy review, the facility failed to ensure all employees had an Iowa Criminal Background check and Dependent Adult/Child Abuse Registry check completed prior to working in the facility for one of five employees reviewed (Staff A). The facility reported a census of 50 residents.</p> <p>Findings include:</p> <p>The personnel file for Staff A, Certified Nursing Assistant (CNA) recorded a start date of 10/30/19. The file lacked documentation of the Iowa Criminal Background Check and dependent adult/child abuse registry check prior to hire. The facility completed a criminal background check and dependent adult and child abuse registry check on 2/27/20.</p>	II	\$500	Upon receipt
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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p>Review of facility policy titled Background checks with a date of 7/1/15 revealed direction that background checks will be made in compliance with all federal and state requirements. Types of Background checks included county, statewide or alternative criminal searches.</p> <p>Review of facility policy titled Abuse Prevention Program and Reporting Policy with a revision date of 4/17 revealed the facility will screen all potential employees prior to hire for a history of abuse, neglect, or mistreating residents or patients, exploitation and or misappropriation of resident property during the hiring process.</p> <p>On 9/27/21 at 12:45 p.m., an interview with the Administrator revealed she expected background checks to be completed prior to hire.</p> <p>FACILITY RESPONSE:</p>			
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Facility Administrator

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