

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5343		Date: August 2, 2021		
Facility Name: State Center Specialty Care		Survey Dates: July 14 - 21, 2021		
Facility Address/City/State/Zip: 702 Third Street NW State Center, IA 50247		MW, VW, TAG		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

58.11(3)	<p>481—58.11(136C) Personnel. 58.11(3) Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse. The facility shall comply with the requirements found in Iowa Code section 135C.33 and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III)</p> <p>DECSRIPTION:</p> <p>Based on personnel file review, policy review, and Administrator interview, the facility failed to complete required background checks prior to hire for 4 of 5 personnel files reviewed (Staff E, G, H and J), resulting in allowing a staff member (Staff G) with a noted criminal history to work without the required Department of Human Services Review (DHS) authorization for an employee to work in a facility. The facility reported a census of 28 residents.</p> <p>Findings include:</p> <p>1. Review of the facility's Employee Hire List provided, showed Staff E, Housekeeper hired 11/3/20, and the</p>	Class III	\$500.00 Collect	Upon Receipt
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Chase Oehlert

Facility Administrator

8/12/21

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p>file contained a Single Contact Repository (SING) background check completed 4/7/21, completed 5 months after hired.</p> <p>2. Review of the facility's Employee Hire List provided, revealed Staff G, Cook, with a documented hire date of 07/29/20 and terminated on 03/28/2021.</p> <p>Staff G's employee file contained a Single Contact Repository (SING) background check completed 4/7/21 (over a month after termination date) that indicated the criminal history background check required further research. The employee file showed documentation of further research from the Department of Criminal Investigation (DCI) dated 4/8/21 with an attached record included. The employee file failed to show the facility completed the further required request to DHS to receive authorization for Staff G to work in the facility.</p> <p>The facility allowed Staff G to work approximately 8 months in the facility without the required authorization from DHS.</p> <p>3. Review of the facility's Employee Hire List provided, revealed Staff H, Dietary Aide, hired 01/21/20, and the file contained a SING background check completed 4/7/21, completed 15 months after hired.</p> <p>4. Review of the facility's Employee Hire List provided, showed Staff J, Certified Nurse Aide (CNA), hired 12/08/20, and the file contained a SING background</p>			
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Chase Ochler f7
8/12/21

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	<p>check completed 4/7/21, completed 4 months after hired.</p> <p>Review of the Dependent Adult Abuse Protocols revised 05/2017, revealed the facility will conduct an Iowa Criminal Record Check and Dependent Adult/Child Abuse Registry Check on all prospective employees and other individuals engaged to provide services to residents, prior to hire.</p> <p>In an interview on 7/19/21 at 2:05 PM, the Administrator acknowledged the past Business Office Manager was not completing SING checks. He stated the previous Office Manager was doing Office of Inspector General (OIG) checks. The Administrator stated they have since identified and corrected the issue. The Administrator further acknowledged Staff G's employee file lacked documentation of further research needed as a result of the SING background check and authorization from Department of Human Service (DHS) to work. Staff E, G, H, and J's SING background checks not completed prior to hire.</p> <p>FACILITY RESPONSE:</p>			
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Chese Gehlert
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