

**Iowa Department of Inspections and Appeals
Health Facilities Division
Adult Services Civil Penalty Citation**

Date: August 11, 2020
Program Name: Courtyard Estates at Hawthorne Crossing
Address: 601 Hawthorne Crossing Dr. SE Bondurant, IA 50035
Type of Action: Incident 91900
Date(s) of Action: 7/14/20 – 8/5/20

State Rule #	State Rule	Amount of Civil Penalty
69.32(4)a	<p><u>481-69.32(231C) Life safety-emergency policies and procedures and structural safety requirements.</u> <u>69.32(4) A program serving a person(s) with cognitive disorder or dementia shall have:</u> <u>a. Written procedures regarding alarm systems, if an alarm system is in place.</u></p> <p>Based on observations, interview and record review the Program failed to have a policy/procedure for the alarm system affecting 1 of 1 tenants identified in self-reported incident 91390 -I (Tenant #1). Finding follows:</p> <p>Record review on 7/15/20 revealed an Incident Report (IR) for Tenant #1. According to the IR on 7/9/20 at 7:30 a.m. Resident Assistant (RA) A went into Tenant #1's apartment but Tenant #1 could not be located. The Police contacted the Program and returned Tenant #1 at 7:45 a.m. The Program Nurse completed a head to toe assessment with no injuries noted. A progress note for this incident indicated the building and exit doors were checked when the tenant could not be located at 7:30 a.m. Tenant #1 wore jeans, a long sleeve button down shirt. A head to toe assessment completed and noted no injuries. Tenant #1 showed the Program Nurse how he exited door S1 and climbed over the secured fence.</p> <p>According to the state climatologist the temperature on 7/9/20 measured 78 degrees Fahrenheit with no reported heat index.</p> <p>When interviewed on 7/15/20 at 11:15 a.m. RA A said she did not hear the door alarm but did note that Tenant #1 was not in his apartment. She said she checked on Tenant #1 around 7:00 a.m. and he was in his apartment but when she checked at 7:30 a.m. he was not in the apartment. She started a search of the building and called 911. According to RA A she had not noticed Tenant #1 trying to exit the building prior to this incident.</p> <p>When interviewed on 7/15/20 the Clinical Director of Quality said the Program had experienced a power surge and felt like the alarm not functioning most likely could be attributed to that. She confirmed the Program had no policy for documenting door alarm checks to ensure they functioned properly on a regular basis.</p>	\$1500.00