

DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>S0101</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>09/25/2019</b>
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NAME OF PROVIDER OR SUPPLIER  <b>HEARTWOOD HEIGHTS</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>409 9TH AVE NORTH SIBLEY, IA 51249</b>
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	<p>Initial Comments</p> <p>Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.</p> <p>General Population Program</p> <p>Number of tenants without cognitive disorder: 14 Number of tenants with cognitive disorder: 0 Total Population of Program at time of on-site: 14</p> <p>TOTAL census of Assisted Living Program: 14</p> <p>The following regulatory insufficiency was cited during the recertification conducted to determine compliance with certification for an Assisted Living Program.</p>	A 000		
A 121	<p>481-67.19(3)c Record Checks</p> <p>481-67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks.</p> <p>67.19(3)c If a person considered for employment has been convicted of a crime. If a person being considered for employment in a program has been convicted of a crime under a law of any state, the department of public safety shall notify the program that upon the request of the program the department of human services will perform an evaluation to determine whether the crime warrants prohibition of the person's employment in the program.</p> <p>This REQUIREMENT is not met as evidenced by:</p>	A 121		

DIVISION OF HEALTH FACILITIES - STATE OF IOWA  
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE \_\_\_\_\_ TITLE \_\_\_\_\_ (X6) DATE \_\_\_\_\_

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A 121	<p>Continued From page 1</p> <p>Based on record review and staff interview, the Program failed to request an evaluation with the Department of Human Services (DHS) to determine whether an employee's crime warrants prohibition of the person's employment on 2 of 2 files reviewed that required DHS follow up. (Staff B and Staff C) Findings include:</p> <p>Record review on 9/24/19 at 3:58p.m. revealed Staff C hired by the Program on 8/01/19. The Program initiated Staff C's background checks on 7/08/19. The background checks identified the need to complete further research and to await DCI's final response for criminal history. DCI's attached criminal record for Staff C was returned to the Program on 7/15/2019 with a record of operating while intoxicated, first offense. There was no record of the Program's request for an evaluation with the Department of Human Services to determine whether the crime warrants prohibition of the person's employment.</p> <p>Record review on 9/24/19 at 4:24p.m. revealed Staff B hired by the Program on 8/07/19. The Program initiated Staff B's background checks on 7/23/19. These background checks identified the need to complete further research and to await DCI's final response for criminal history. DCI's attached criminal record for Staff B was returned to the Program on 7/26/19 with a record of Theft 5th degree. There was no record of the Program's request for an evaluation with the Department of Human Services to determine whether the crime warranted prohibition of the person's employment.</p> <p>On 9/24/19 at 4:30p.m. Staff A confirmed these findings.</p>	A 121		