

**Iowa Department of Inspections and Appeals
Health Facilities Division
Adult Services Civil Penalty Citation**

Date: February 22, 2019
Program Name: Traditions at West Union
Address: 609 Hwy 150 N West Union, IA 52175
Type of Action: Revisit on previous complaint investigation
Date(s) of Action: 1/30/2019 to 2/7/2019

State Rule #	State Rule	Amount of Civil Penalty
69.26(1)	<p><u>481-69.26(231C) Service plans.</u> <u>69.26(1) A service plan shall be developed for each tenant based on the evaluations conducted in accordance with subrules 69.22(1) and 69.22(2) and shall be designed to meet the specific service needs of the individual tenant. The service plan shall subsequently be updated at least annually and whenever changes are needed.</u></p> <p>Based on interview and record review, the Program failed to update service plans when needs changed or at least annually for 1 of 4 tenants reviewed (Tenant #4). Findings include:</p> <p>Record review revealed Tenant #4 was admitted to the Program on 9/30/16. An initial service plan was implemented on 9/29/16. A second (undated) service plan was found in the record which was believed to be from 2017. A fax to Tenant #4's physician in October 2018 revealed the following: "[tenant] has been refusing meds and care services from multiple staff and having negative behaviors towards staff and other tenants." No service plan for the year 2018 could be located in the tenant's record.</p> <p>On 2/6/19 at 2:00 PM, the Administrator confirmed no updated service plan for Tenant #4 could be found. The last service plan updated was believed to be from 2017 (due to it not having signatures or a date of implementation). The Administrator confirmed Tenant #4 continued to have behavioral issues.</p>	\$1000.00