

**Iowa Department of Inspections and Appeals
Health Facilities Division
Adult Services Civil Penalty Citation**

Date: May 2, 2017
Program Name: Sunnybrook AL at Mt Pleasant
Address: 1406 East Linden Drive Mt Pleasant, IA 52641
Type of Action: Recertification visit
Date(s) of Action: April 17, 2017 to April 20, 2017

State Rule #	State Rule	Amount of Civil Penalty
67.19(3)c	<p><u>481—67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks.</u></p> <p>67.19(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</p> <p><i>c. If a person considered for employment has been convicted of a crime.</i> If a person being considered for employment in a program has been convicted of a crime under a law of any state, the department of public safety shall notify the program that upon the request of the program the department of human services will perform an evaluation to determine whether the crime warrants prohibition of the person's employment in the program.</p> <p>Based on interview and record review, the Program failed to ensure criminal history checks were completed as required for 1 of 1 staff reviewed with a criminal history. Findings include:</p> <p>Review of employee files revealed Staff A was hired on 1-27-17. A SING check was completed by the Executive Director (ED) on 1-9-17. The criminal history check revealed further research was required and to await Department of Criminal Investigation's (DCI's) final response for criminal history. On 1-10-17 the criminal history record was received. No evaluation from Department of Human Services (DHS) approving Staff A to work in the Program could be located. On 4-18-17 at 9:45 a.m. the ED stated she was unaware she needed to request an evaluation from DHS after receiving the criminal history.</p> <p>An evaluation request was sent to DHS on 4-17-17 and approval was received for the staff to work at the Program on 4-18-17.</p>	\$500.00