

**Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 8004		Fine Amount reduced by 35% to \$325.00 on February 27, 2020 pursuant to Iowa Code Section 135C.43A		Date: February 14, 2020		
Facility Name: Mosaic 1000 1 st St. SE				Survey Dates: January 22, 23, 27, 28, 29, 2020		
Facility Address/City/State/Zip 1000 1 st St. SE Clarion, IA 50525						
		LK		Survey		
Rule or Code Section	Nature of Violation			Class	Fine Amount	Correction date

64.60	<p>481-64.60(135C) Federal regulations adopted - conditions of participation. Regulations in 42 CFR Part 483, Subpart D, and Sections 410 to 480 effective October 3, 1988, are adopted by reference and incorporated as part of these rules. A copy of these regulations is available on request from the Health Facilities Division, Department of Inspections and Appeals, Lucas State Office Building, Des Moines, Iowa 50319.</p> <p>Classification of violations is I, II, and III, determined by the division using the provision in 481-Chapter 56, Fining and Citations,” to enforce a fine to cite a facility. This rule is intended to implement Iowa Code Section 135C.2(3).</p>	II	\$500.00	Upon Receipt
W153	<p>483.420(d)(2) STAFF TREATMENT OF CLIENTS</p> <p>The facility must ensure that all allegations of mistreatment, neglect or abuse as well as injuries of unknown source, are reported immediately to the administrator or to other officials in accordance with State law through established procedures.</p>			

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58.43(9)	<p>481—58.43(135C) Resident abuse prohibited.</p> <p>58.43(9) Allegations of dependent adult abuse. Allegations of dependent adult abuse shall be reported and investigated pursuant to Iowa Code chapter 235E and 481—Chapter 52. (I, II, III)</p>			
52.2(a)	<p>481—52.2(235E) Persons who must report dependent adult abuse and the reporting procedure for those persons.</p> <p>52.2(2) Reporting suspected dependent adult abuse in facilities or programs.</p> <p>DESCRIPTION:</p> <p>Based on interview and record review, the facility failed to ensure all allegations of client abuse and/or mistreatment were reported to the Iowa Department of Inspections and Appeals (DIA), as required. This affected 1 of 5 discharged clients (Client #5). Finding follows:</p> <p>Record review on 1/23/20 revealed facility internal investigations. On 11/15/19, an investigation was initiated after Direct Support</p>			

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	<p>Associate (DSA) A reported DSA B held Client #5's bedroom door closed and blocked Client #5 from leaving his bedroom on 11/14/19. The internal investigation noted abuse was alleged and the alleged staff separated from contact with Client #5. The record lacked documentation the allegation was reported to the DIA.</p> <p>When interviewed on 1/23/20 at 1:00 p.m., the Qualified Intellectual Disabilities Professional (QIDP) stated the allegation was reported to DIA by e-mail because the Associate Director (AD) was locked out of the reporting website. She stated the website did not show any information regarding the facility self-reporting the allegation.</p> <p>During a follow-up interview on 1/28/20 at 10:35 a.m., the QIDP explained the AD was unable to locate the e-mail she sent to DIA reporting the allegation. She confirmed the record lacked documentation the allegation was reported to the DIA.</p>			
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	FACILITY RESPONSE:			
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