

Iowa Department of Inspections and Appeals
Health Facilities Division
Citation

| Citation Number: 6991 | | Date: June 28, 2019 | | |
|---|---|-------------------------------|-------------|-----------------------------|
| Facility Name: Shirlees Retirement Home | | Survey Dates: May 28, 2019 | | |
| Facility Address/City/State/Zip 1302 E. Second Ave. Indianola, IA 50125 | | Survey revisit and #82623-C | | |
| Rule or Code Section | Nature of Violation | Class | Fine Amount | Correction date |
| 481- 57.13(1)a. | <p>481-57.13(135C) Admission, transfer and discharge.</p> <p>57.13(1) General admission policies.</p> <p>a. Residents shall be admitted to a residential care facility only on a written order signed by a primary care provider, specifying the level of care, and certifying that the individual being admitted requires no more than personal care and supervision and does not require routine nursing care. (II,III)</p> <p>Based on interview and record review the facility failed to ensure written level of care orders were received prior to admission for 2 of 2 residents admitted following the survey in November 2018 (Residents #1, #2). Findings include:</p> <p>On 5/28/19 at 12:15 p.m. record review revealed Resident #1 was admitted to the facility on 3/28/19. Resident #1's record did not include an order specifying her level of care prior to admission. A monthly physician's order form dated 5/1/19 to 6/1/19 indicating</p> | II | \$500.00 | No later than July 12, 2019 |

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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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| 481- 57.17(1)k. | <p>RCF (Residential Care Facility) level of care was not signed by the physician. No signed orders for RCF level of care were available at the time of the revisit.</p> <p>On 5/28/19 at 12:15 p.m. record review revealed Resident #2 was admitted to the facility on 12/01/18. Resident #2's record did not include an order specifying her level of care prior to admission. On 12/03/18 Resident #2's physician wrote orders stating that it was okay for Resident #2 to live at Shirlee's Assisted Living. Orders specifying RCF level of care could not be located.</p> <p>On 5/28/19 at 12:54 p.m. the Administrator confirmed the findings.</p> <p>This rule was cited during the survey completed on 11/14/2018.</p> <p>57.17(1) Resident record. The licensee shall keep a permanent record on every resident admitted to the residential care facility, and all entries in the permanent</p> | II | \$500.00 | |

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| | <p>record shall be current, dated, and signed. (III) The record shall include:</p> <p>k. Primary care provider's orders for the resident's level of care, medication, treatments, and diet. The orders shall be in writing and signed by the primary care provider quarterly; (III)</p> <p>Based on interview and record review the facility failed to ensure primary care providers' orders were obtained and signed as required for 2 of 2 residents admitted following the survey in November 2018 (Residents #1, #2). Findings include:</p> <p>1. On 5/28/19 at 12:15 p.m. record review revealed Resident #1 was admitted to the facility on 3/28/19. A physician's order form for the month of 5/1/19 to 6/1/19 specifying the level of care, medications, treatments and diet was not signed by the physician. No other signed orders were available at the time of the revisit.</p> | | | |

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| 481-57.22(2) and 57.22(3) | <p>2. On 5/28/19 at 12:15 p.m. record review revealed Resident #2 was admitted to the facility on 12/01/18. There were no signed quarterly orders available for review.</p> <p>On 5/28/19 at 12:55 p.m. the Administrator confirmed the findings.</p> <p>This rule was cited during the survey completed on 11/14/2018.</p> <p>57.22(2) Initial service plan. Within 48 hours of admission, the administrator or the administrator's designee shall develop an initial service plan to address any immediate health and safety needs. The plan shall be based on information gathered from the resident, family, referring party, primary care provider, and other significant persons. The plan shall be followed until the service plan required in subrule 57.22(3) is complete. (I, II, III)</p> | II | \$500.00 | |

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| | <p>Based on interview and record review the facility failed to ensure initial service plans were completed within 48 hours of admission for 2 of 2 residents admitted following the survey in November 2018 (Residents #1, #2). Findings include:</p> <p>On 5/28/19 at 12:15 p.m. record review revealed Resident #1 was admitted to the facility on 3/28/19. No initial service plan completed within 48 hours could be located.</p> <p>On 5/28/19 at 12:15 p.m. record review revealed Resident #2 was admitted to the facility on 12/01/18. No initial service plan completed within 48 hours could be located.</p> <p>On 5/28/19 at 12:36 p.m. the Administrator confirmed this finding.</p> <p>This rule was cited during the survey completed on 11/14/2018.</p> | | | |

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| | <p>57.22(3) Service plan. Within 30 days of admission, the administrator or the administrator's designee, in conjunction with the resident, the resident's responsible party, the interdisciplinary team, and any organization that works with or serves the resident, shall develop a written, individualized, and integrated service plan for the resident. The service plan shall be developed and implemented to address the resident's priorities and assessed needs, such as activities of daily living, rehabilitation, activity, and social, behavioral, emotional, physical and mental health. (I, II, III)</p> <p>Based on interview and record review the facility failed to ensure comprehensive, integrated service plans were developed within 30 days of admission for 2 of 2 residents admitted following the survey in November 2018 (Residents #1, #2). Findings include:</p> <p>On 5/28/19 at 12:15 p.m. record review</p> | | | |

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| | <p>revealed Resident #1 was admitted to the facility on 3/28/19. The facility had not developed a service plan.</p> <p>On 5/28/19 at 12:15 p.m. record review revealed Resident #2 was admitted to the facility on 12/01/18. The facility had not developed a service plan.</p> <p>FACILITY RESPONSE:</p> | | | |

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