

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6982		Date: May 28, 2019		
Facility Name: Sunrise Hill Care Center		Survey Dates: May 11, 2019		
Facility Address/City/State/Zip 909 6 th Street Traer, Iowa 50675				
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

58.28(3)e	<p>58.28(3) Resident safety. e. Each resident shall receive adequate supervision to protect against hazards from self, others, or elements in the environment. (I, II, III)</p> <p>DESCRIPTION:</p> <p>Based on observation, clinical record review and staff interviews the facility failed to ensure safety devices in place at all times and ensure safe transfer techniques used for 2 of 6 residents reviewed with a history of falls. (Resident #44 and #64) The facility reported a census of 67.</p> <p>Findings include:</p> <p>1. The Minimum Data Set (MDS) assessment reference dated 10/16/18 revealed Resident #64 required extensive assistance with all transfers and mobility. The MDS identified the resident walked in room with extensive assistance of two staff. The assessment documented the resident had a history of falls and experienced two falls since admission. The MDS identified active diagnoses of difficulty walking, osteoarthritis, and diabetes mellitus. The Brief Interview for Mental Status (BIMS) revealed a score of 11 which indicated moderately cognitive impairment.</p>	I	\$6250	UPON RECEIPT
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	<p>The resident's care plan dated 10/25/18 identified the resident as a fall risk. The care plan informed the staff the resident had fluctuating cognitive ability, is impulsive and personal alarms in place for the resident's safety. The care plan directed the staff to ensure placement and functioning of alarms at all times, answer sounding alarms promptly and to encourage the resident to use his call light to make needs known. The care plan revealed Resident #64 attempted to self transfer from his recliner to wheelchair and staff implemented a pull tab alarm on 10/25/18.</p> <p>A document titled Fall Risk Evaluation dated 10/25/18 revealed the resident received a score of 20 which indicated he had a high risk for falls.</p> <p>Review of Progress notes dated 10/25/18 at 7:04 a.m. revealed a CNA called Staff O-RN into the resident's room, the CNA reported having difficulty assisting the resident to sit up at the side of the bed requiring assist of 2 to sit up, the CNA also reported to the nurse the resident kept sliding out of bed and required assistance back into wheelchair with the use of a Hoyer Lift (mechanical lift).</p> <p>Review of Progress notes dated 10/25/18 at 12:50 p.m. the staff reported the resident self</p>				
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	<p>transferred from his wheelchair to recliner, reporting to the staff how difficult the transfer was.</p> <p>Review of Progress notes dated 10/25/18 at 6:50 p.m. revealed the staff found Resident #64 on the floor on his right side, leaning against his wheelchair. The resident stated he went to the bathroom but didn't make it back. The resident complained of discomfort in his knees and staff noted in the progress notes this is a normal complaint for the resident. The staff transferred the resident back into his wheelchair via mechanical lift then into bed. Resident #64 continued to complain of knee discomfort but did not have signs or symptoms of an injury at the time.</p> <p>A fall/incident report dated 10/18/18 at 6:45 a.m. revealed Resident #64 experienced a fall in his room, he leaned over to pick up something off the floor and fell out of bed. The staff provided fall interventions which consisted of education on use of the call light and to wait for help.</p> <p>A fall/incident report dated 10/25/18 at 6:50 p.m. Resident #64 experienced a fall while in his bathroom. The resident self transferred to his bathroom and slid off the toilet. The staff provided fall interventions which consisted of education on use of the call light and to wait for assistance.</p>				
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	<p>A Progress note dated 10/26/18 at 7:07 a.m. revealed the staff transferred the resident a local emergency room for evaluation for possible right fractured knee from fall on 10/25/18.</p> <p>Review of a progress note dated 10/26/18 at 10:17 a.m. revealed Staff N-LPN/ADON placed a call to the local emergency room, the staff reported the resident had a fractured right femur.</p> <p>Review of a progress note dated 10/28/18 at 11:30 a.m. revealed the facility received a call from the local hospital, they reported the resident experienced a serious medical issue during the surgical repair of the hip on 10/27/18. The surgeon could not complete the hip repair and placed the resident on a ventilator. The Primary Care Physician ordered the resident to return to the facility and placed on Hospice services. The resident transferred back to the facility on 10/29/18.</p> <p>Review of the Progress Notes dated 11/8/18 at 7:24 a.m. Resident #64 expired.</p> <p>A document titled Fall Risk Evaluation dated 10/25/18 revealed the resident received a score of 20 which indicated he had a high risk for falls.</p>				
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	<p>Review of the North/West nursing report dated 10/25/18 revealed the staff initiated a pull tab alarm for Resident #64 on that day.</p> <p>Review of a Personal Alarm Monitoring sheet dated 10/25/18 revealed the staff initiated a pressure pad in the resident's bed and a personal pull tab alarm while in chair recliner.</p> <p>Review of an x-ray report dated 10/26/18 revealed the resident fell on 10/25/18 and complained of right knee pain. The resident had a diagnosis of a displaced fracture of the distal right femur, just above the right total knee arthroplasty.</p> <p>Review of an internal facility investigation completed on 10/26/18 revealed Staff C and Staff D-Agency Certified Nurses Aides transferred Resident #64 into a dining room chair during the evening meal and failed to put the pull tab alarm on the resident.</p> <p>During an interview on 5/9/19 at 1:30 p.m. Staff D-Agency CNA verified she worked on the evening staff found Resident #64 on the floor, she reported being assigned to Resident #64 that evening. Staff D acknowledged knowing the resident utilized alarms but couldn't find the alarm in the resident's room prior to his fall. Staff D stated after the 10/25/18 fall she found the pull</p>				
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	<p>tab alarm behind the blinds in the resident's room. Staff D stated she should have double checked the resident to make sure he had his alarm on. Staff D assisted the resident after the fall on 10/25/18 and stated the resident did not have an alarm on at the time of the fall.</p> <p>During an interview on 5/9/19 with Staff C-Agency CNA at 3:25 p.m. she verified she worked and took care of Resident #64 on the evening of 10/25/18. Staff C stated when she got Resident #64 up for supper he did not have an alarm on and had no idea he should have an alarm. Staff C verified at the time of the fall on 10/25/18 the resident did not have an alarm on.</p> <p>During an interview on 5/9/19 with Staff E-CNA at 2:52 p.m. she verified she worked first shift on 10/25/18. Staff E stated the tab alarm initiated around lunch time on 10/25/18 due to the self transfer the resident did earlier in the day. Staff E stated Staff G-LPN communicated they initiated an alarm for the resident. Staff E said she thought walking rounds were done between first and second shift that day but did not specifically tell second shift the resident now had pull away/tab alarm.</p> <p>During an interview on 5/9/19 at 4:40 p.m. with the Staff N-LPN/Assistant Director of Nursing she</p>				
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	<p>stated she placed the pull away alarm on this resident while he sat in his recliner. She stated she remembered putting the strap for the alarm around the window crank.</p> <p>During an interview on 5/9/19 with Staff G-LPN at 5:05 p.m. stated she had decided per her nursing judgment to start the pull away alarm on Resident #64. Staff G stated she told first shift she initiated the alarm and the expectation is for first shift to walk the halls with second shift and communicate the changes.</p> <p>During an interview dated 5/10/19 at 8:00 a.m. the Director of Nursing (DON), revealed the internal investigation concluded the staff failed to put the pull away alarm on the resident. She stated she could not identify a final result of who did not place the alarm. The DON stated that staff are expected to follow the care plans.</p> <p>2. The MDS assessment dated 3/14/19 revealed Resident #44 had diagnoses non-Alzheimer's dementia, multiple sclerosis (MS), diabetes, and anxiety disorder. The MDS indicated the resident had moderately impaired cognition, and required extensive assistance of two staff for transfers.</p> <p>The Care Plan, revised on 4/23/19 recorded</p>			
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	<p>Resident # 44 had a diagnosis of MS and needed assistance for all cares. The staff directives included use an EZ stand or Hoyer lift per nursing discretion for safety.</p> <p>The physician's orders dated 4/18/19 revealed an order for physical therapy evaluation for transfers.</p> <p>A Physical Therapy (PT) evaluation 4/22/19 revealed Resident #44 had MS, dementia, muscle weakness, and left hand contracture. PT recommended staff use a Hoyer lift when the resident transferred for staff safety.</p> <p>Nursing progress notes revealed the following: a. On 4/18/2019 at 9:41 a.m., new order from the physician for PT/OT evaluation for transfers. b. On 4/23/2019 at 2:16 p.m., per PT at Medicare meeting, recommended a Hoyer lift used for transfers.</p> <p>The Rehab to Nursing communication form dated 4/23/19 revealed Resident # 44 required assistance with a Hoyer lift effective 4/23/19.</p> <p>During observation on 5/9/19 at 1:22 p.m., Staff I, Agency CNA, and Staff H, Agency CNA, entered the resident's room and reported they planned to transfer Resident #44 into bed. Resident #44 sat in a high back wheelchair. Staff H questioned</p>			
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	<p>why someone removed the Hoyer sling from under the resident. Staff H placed a mesh sling behind the resident's back, then pulled the leg straps under the resident's legs. After they attached the sling straps to the lift, Staff H and Staff I attached the sling straps to the mechanical lift. Staff H took the remote and raised the resident up above the wheelchair using the mechanical lift. Resident #44 said "I'm falling". Staff H looked under the resident and said the sling wasn't under the resident properly, then lowered the resident back into the wheelchair, and stated they needed to use a different sling. Resident #44 slid down in the wheelchair with her bottom near the edge of the wheelchair seat. At 1:29 PM, Staff H stated she didn't like how the resident sat in the wheelchair and requested Staff I to help her pull the resident up. Staff H and Staff I reached under the resident and pulled the resident up in the wheelchair. At 1:33 PM, Staff H removed the mesh sling under the resident and placed a light blue sling behind the resident's back, then attempted to push the sling under the resident's legs. Staff H had the resident lean forward and tucked the sling down lower on her back. Staff I brought a Maxi Move mechanical lift into the resident's room. The DON knocked on the door to the resident's room and asked if staff needed anything. Staff H told the DON there wasn't a sling under the resident and questioned</p>				
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	<p>how staff had transferred the resident earlier. The DON stated she had to check the care plan as she thought they had upgraded her transfer but then returned to the room and told the CNA's the resident required a Hoyer for all transfers, then left the room. Staff H and Staff I attached the sling straps to the metal buttons on the Maxi Move mechanical lift. Staff H pulled the leg straps between the resident's legs and attached the lower straps to the Maxi Move buttons with much effort. Staff H took the remote and raised the resident up with the mechanical lift, transferred the resident to the bed, and then lowered the resident into bed. Staff H and Staff I started to remove the sling straps from the mechanical lift when the resident yelled "ouch". The bar on the mechanical lift struck the resident's right arm. Staff H apologized to the resident, then removed the sling under the resident.</p> <p>On 5/9/19 at 1:22 PM, Staff I, agency CNA, and Staff H, agency CNA, reported they were assigned to West Hall but came and helped staff in the 200 hall. Staff I reported she had only worked a few shifts at the facility and not familiar with the residents.</p> <p>During an interview 5/9/19 at 1:55 PM, Staff H reported she had a "cheat sheet" with information</p>			
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	<p>about the residents on her assigned hall (hall 300), but didn't have a cheat sheet for the 200 hall because she was assigned to work the 300 hall that day. Staff H stated if she was not familiar with the resident cares needed or how to use equipment, she just figured it out. Staff H reported she worked as agency staff and had received one day of orientation when she worked her first shift at the facility. The orientation entailed review of emergency procedures, such as if had a fire, and the location of supplies. Staff H acknowledged nobody showed her how to use the resident care equipment such as the mechanical lifts.</p> <p>In an interview 5/11/19 at 9:14 AM, the MDS Coordinator reported a care meeting held with therapy on 4/23/19, and therapy recommended staff used a Hoyer lift when they transferred Resident #44.</p> <p>In an interview 5/11/19 at 10:16 AM, Staff M, Physical Therapist, reported a PT evaluation completed on Resident #44 on 4/22/19. Staff M reported they recommended staff used a Hoyer lift for all transfers on Resident #44 because she had a decline in status. Staff M reported she had provided staff education on transfers for all staff a year ago. Staff M stated the staff education pertaining to transfers included agency staff.</p>				
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	<p>In a skill set for "Hoyer Lift, EZ Lift and EZ stand" transfers revealed the following procedural steps:</p> <ul style="list-style-type: none"> a. Bring Hoyer to bedside. b. Roll resident onto his/her side away from the attendant c. Place wider seat of sling under the resident's thighs, so the lower edge of the seat is in under the knees d. Place narrow part of sling just above the small of the back. e. Roll resident toward attendant and pull slings through, like positioning a draw sheet. f. Position seat sling, elevate head of bed to facilitate placing the back piece. g. Attach S hooks of the chains to the loops on the seat hangers, attach ends of the chains to the swivel bar hooks, attach S hooks on the back in the links as required. Check to see S hooks are hooked all of the way into the chains and the seat is close to the knees for safety. h. Check chains and S hooks to ensure they are properly positioned. i. Position wheelchair and lock brakes. Once resident lifted and cleared from the bed, grasp steering handles and move resident over the chair, then lower the resident slowly and guide his/her descent. j. Detach the S hooks from the seat and back, and remove the chains and back. 				
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	FACILITY RESPONSE:				
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