

Iowa Department of Inspections and Appeals
Health Facilities Division
Citation

Citation Number: 6968		Date: May 8, 2019		
Facility Name: Parkview Home	Survey Dates: April 8-9, 2019			
Facility Address/City/State/Zip 2815 Lincoln Way Sioux City, IA 51106	MW			
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

65.60(135C) W249	481—64.60(135C) Federal regulations adopted—conditions of participation. Regulations in 42 CFR Part 483, Subpart D, Sections 410 to 480 effective October 3, 1988, are adopted by reference and incorporated as part of these rules. A copy of these regulations is available on request from the Health Facilities Division, Department of Inspections and Appeals, Lucas State Office Building, Des Moines, Iowa 50319. Classification of violations is I, II, and III, determined by the division using the provisions in 481—Chapter 56, “Fining and Citations,” to enforce a fine to cite a facility. This rule is intended to implement Iowa Code section 135C.2(3)	I	\$4250	UPON RECEIPT
	W249 (Rev. 135, Issued: 02-27-15, Effective: 04-27-15, Implementation: 04-27-15) §483.440(d)(1) As soon as the interdisciplinary team has formulated a client's individual program plan, each client must receive a continuous active treatment program consisting of needed interventions and services in sufficient number and frequency to support the achievement of the objectives			

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	<p>identified in the individual program plan.</p> <p>DESCRIPTION:</p> <p>Based on interviews and record reviews, the facility failed to ensure clients received identified supports and services as outlined in the Individual Support Plan (ISP). This affected 1 of 1 Client (Client #1) reviewed during investigation #82162-I. Finding follows:</p> <p>Record review on 4/8/19 revealed the facility's Incident Summary Sheet, dated 3/19/19 at 8:20 PM documented Client #1 sat at a table eating a snack. Client #1 ate a vanilla cookie and drinking Kool-Aid (pudding/honey consistency). Two staff sat with Client #1 and another client. Client #1 began to cough. Resident Living Assistant (RLA) A asked Client #1 if he was choking and he did not respond. The client's lips began to turn purple, his eyes watered and he gasped for air. RLA A began to administer the Heimlich procedure on the client and he began to breath. Client #1 stated he ate too fast and took too many bites. The Summary noted, "(RLA A) mentioned that Client #1 was given the cookies whole, but (Client #1) was taking bites."</p> <p>Continued record review revealed an Interdisciplinary Progress Note written by the</p>			

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	<p>facility's Registered Nurse (RN) on 3/19/19 noted the client's lungs sounds were clear (all lobes). He was oriented x4 and there was no redness or bruising to the abdomen. Staff were instructed to continue to monitor the client for bruising.</p> <p>According to a Physician Form, Client#1's diagnosis's included: mild intellectual disability, cerebral palsy, psychotic disorder not otherwise specified (NOS), removal of most of large intestine due to bowel impaction, history of aspiration and dysphagia. The form indicated Client #1's diet as follows: general, mechanical soft with nectar consistency liquids, ground meat, bites size pieces for all foods.</p> <p>Record review revealed Client#1's ISP included an Annual Dietary Report, dated 3/20/18. The report documented Client #1's diet included a "bland dysphagia level 3 diet with nectar thickened liquids." An Occupational Therapy Report, dated 3/20/18, noted Client #1 utilized a smaller utensil to manage his bite size requirement and supervision was provided for pacing.</p> <p>When interviewed on 4/8/19 at 3:20PM, the Dietary Supervisor and Administrator showed the location of a communication book, which included the information regarding Client #1's requirement</p>			

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	<p>of eating only food in bite size pieces. The book was located in the facility's kitchen. They both stated all staff were aware of the location of the communication book. The Dietary Supervisor stated on the evening of the incident, direct care staff were giving out snacks instead of dietary staff. She noted they were short staffed that day. The supervisor indicated regardless of who gave out snacks, staff should have known Client #1 was to have only bite sized pieces of food. The Administrator noted, after the 3/19/19 incident, all staff were retrained on Client #1's diet order.</p> <p>When interviewed on 4/8/19 at 2:15PM, RLA A stated she passed out snacks to clients on 3/19/19 and Client #1 received a vanilla cream wafer instead of a fig newton. She recalled staff telling her Client #1 was choking and she then applied the Heimlich procedure on the client. She remarked the client did not expel the wafer, but began breathing on his own. RLA A stated she was not aware of the location of the communication book which indicated Client #1's requirement for bite sized pieces of food. She said she was not sure she had been informed that Client #1 was to eat only bite sized pieces of food. According to RLA A, Client #1 had received the wafers at prior snack times and had no problems ingesting them.</p>			

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FACILITY RESPONSE:				
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W368	<p>W368 (Rev. 135, Issued: 02-27-15, Effective: 04-27-15, Implementation: 04-27-15)</p> <p>§483.460(k)(1) All drugs are administered in compliance with the physician's orders; Guidance §483.460(k)(1)</p> <p>Administration errors identified in previous medication administration records qualify as non-compliance with physician's orders.</p> <p>DESCRIPTION:</p> <p>Based on interviews and record reviews, the facility failed to administer medications in compliance with physician orders. This affected 1 of 1 Client (Client #2) reviewed during investigation #82162-I. Finding follows:</p> <p>Record review on 4/8/19 revealed an Incident Summary Sheet, dated 3/21/19 at 6:30 a.m., written by Certified Medication Aide (CMA) B, stated " I had just crushed Client #3's AM meds and was asked to check Client #2's ostomy bag, set down med cup on counter with med cards. Got Client #2s meds crushed and turned to grab pudding put it in wrong med cup. Left to see Client #2 and gave her meds in my hand, came back to med room realized I gave wrong meds and called RN (registered nurse)."</p>			

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	<p>Continued record review revealed a Physician Fax Form, dated 3/21/19, noted Client #2 received another client's medications that morning. The physician was notified at 6:30 a.m. and gave orders to monitor the client. At 8:00 a.m., Client #2's blood pressure dropped to 80/50 and she was non-responsive. The physician then gave the ok to go to the emergency room via ambulance. While at the ER tests were run, fluids were given via IV and a CAT scan and chest X-ray were completed. As of noon, the client was released from the ER and returned to Park View Homes. Nurse retraining was completed and the issue was addressed at a full nursing meeting.</p> <p>A 3/21/19 Interdisciplinary Progress Note written by the Facility's RN noted the physician was called because Client #2 received another client's medication. The physician said not to give Client #2 her medications and to monitor her. The RN noted Client #2 became very drowsy and her blood pressure dropped. 911 was called and Client #2 was taken to the hospital, where she had her blood drawn, had a chest x-ray and had a CT scan. All tests reportedly came back normal. The client was discharged from the hospital at noon that day.</p>			

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	<p>According to Client #2's Physician Form, Client #2's current a.m. medications included: Phenobarbital, Keppra, Multivitamin, Vitamin C, Ranitidine, Calcium, Vitamin B6, Aspirin and Lipitor.</p> <p>According to Client #3's Physician Form, Client #3's current a.m. medications (medications Client #2 received on 3/21/19) included: Clozарil, Clonidine, Ativan, Prilosec, Tums, Multivitamin, Cogentin and Depakote.</p> <p>Record review revealed Client #2's After Visit Summary from her Emergency Room visit on 3/21/19. According to the</p> <p>When interviewed on 4/9/19 at 8:30 a.m., CMA B stated she accidentally gave Client #3's medication to Client #2. She stated she crushed Client #3's medication and became distracted when staff asked her to check Client #2's ostomy bag. She then crushed Client #2's medications, went to see Client #2 and administered the medications to her. CMA B reported she went back to the medication room and realized she gave Client #3's medications to Client #2. CMA B said she immediately called the facility's LPN. CMA B stated Client #2 became drowsy and was slumping in her chair. Her blood pressure became low and 911 was called. CMA B said the</p>			

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	<p>Client returned to the home at approximately noon that day with no apparent ill effects. She offered she was disciplined and was retrained on proper medication administration procedures.</p> <p>FACILITY RESPONSE:</p>			

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