

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6920	Fine Amount reduced by 35% to \$325.00 on March 04, 2020 pursuant to Iowa Code Section 135C.43A	Date: February 19, 2019
Facility Name: Rise Ltd. RCF/ID	Survey Dates: January 30, 31, 2019	
Facility Address/City/State/Zip 107 Maxie Ave. Elkader, IA 52043	LK	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

481-50.9 (135C) 481-57.11(3)	Based on interview and record review the facility failed to obtain criminal history record checks by either accessing the single contact repository (SING) or requesting them through the Iowa Department of Public Safety (IDPS) for 4 out of 4 employees reviewed (Staff A, B, C, and D). Findings include: Record review on 1/31/19 of employee files revealed the following: a.) Staff A was hired on 5/15/17. A criminal history check was completed on 5/18/17 through a national record check organization. There was no evidence a criminal check was completed through either SING or the IDPS as required. b.) Staff B was hired on 6/12/17. A criminal history check was completed on 5/18/17 by a national record check organization. There was no evidence a criminal check was completed through either SING or the IDPS as required. c.) Staff C was hired on 6/25/18. A criminal history check was completed on 6/5/18 by a national record check organization. There	II	\$500	Upon receipt
---	--	-----------	--------------	-------------------------

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6920	Fine Amount reduced by 35% to \$325.00 on March 04, 2020 pursuant to Iowa Code Section 135C.43A	Date: February 19, 2019
Facility Name: Rise Ltd. RCF/ID	Survey Dates: January 30, 31, 2019	
Facility Address/City/State/Zip 107 Maxie Ave. Elkader, IA 52043	LK	
Rule or Code Section	Nature of Violation	Class
		Fine Amount
		Correction date

	was no evidence a criminal check was completed through either SING or the IDPS as required. d.) Staff D was hired on 10/4/17. A criminal history check was completed on 5/17/17 by a national record check organization. There was no evidence a criminal check was completed through either SING or the IDPS as required. On 1/31/19 at 10:12 AM, the Human Resources Director confirmed the facility switched to using a national program to meet requirements for transportation funding. FACILITY RESPONSE:			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6920	Fine Amount reduced by 35% to \$325.00 on March 04, 2020 pursuant to Iowa Code Section 135C.43A	Date: February 19, 2019
Facility Name: Rise Ltd. RCF/ID	Survey Dates: January 30, 31, 2019	
Facility Address/City/State/Zip 107 Maxie Ave. Elkader, IA 52043	LK	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).