

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104		MW/SS		
Rule or Code Section	Nature of Violation		Class	Fine Amount
Correction date				

58.19(2)j	<p>481—58.19(135C) Required nursing services for residents. The resident shall receive and the facility shall provide, as appropriate, the following required nursing services under the 24-hour direction of qualified nurses with ancillary coverage as set forth in these rules:</p> <p>58.19(2) Medication and treatment. <i>j.</i> Provision of accurate assessment and timely intervention for all residents who have an onset of adverse symptoms which represent a change in mental, emotional, or physical condition. (I, II, III)</p> <p>DESCRIPTION:</p> <p>Based on clinical record review and staff interviews, the facility failed to ensure Resident #1 received an appropriate food texture. Resident #1 had a history of choking on peanut butter sandwiches and the care plan directed staff to not provide those sandwiches. Resident #1 received the peanut butter sandwich on 2/3/19, choked and went into cardiac arrest, which resulted in an immediate jeopardy to resident health and safety. The sample consisted of four total residents. The facility identified a census of 34 residents.</p> <p>Findings include:</p> <p>1. The Minimum Data Set (MDS) assessment dated 1/16/19 documented diagnoses that included oropharyngeal dysphagia (characterized by difficulty initiating a swallow and may be accompanied by nasopharyngeal regurgitation, aspiration, and a</p>	I	\$9000 trebled (\$27,000) Held in Suspension	Upon Receipt
------------------	--	----------	---	-------------------------

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104		MW/SS		
Rule or Code Section	Nature of Violation		Class	Fine Amount
Correction date				

	<p>sensation of residual food remaining in the pharynx), bipolar disorder and chronic kidney disease stage 5. The MDS also documented a Brief Interview of Mental Status (BIMS) score of 7 which indicated moderate cognitive impairment. Resident #1 required extensive assistance with transfer, ambulation, dressing and toilet use and oversight, encouragement, cueing and set-up for eating. The assessment documented he experienced coughing or choking during meals or when swallowing medications and received a mechanically altered diet.</p> <p>The care plan problem revised 5/24/17 identified the resident with a nutritional problem or potential nutritional problem related to a history of choking. The care plan instructed staff that Resident #1 could not have peanut butter sandwiches due to history of choking on one on 9/23/17. The Visual/Bedside Kardex Report, which is accessible to certified nursing assistants (CNA's), also contained the directive to not give the resident peanut butter sandwiches due to history of choking under the Safety and Eating/Nutrition sections.</p> <p>A Progress Notes entry dated 9/27/17 at 11:53 PM documented the resident was given a peanut butter sandwich while in bed with the head of the bed up. The CNA across the hall heard him choking, transferred him to the wheelchair and performed the Heimlich maneuver which resulted in a piece of sandwich being cleared from his airway.</p> <p>A Progress Notes entry dated 1/11/19 at 9:54 PM</p>			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938					Date: April 2, 2019
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019			
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104					
		MW/SS			
Rule or Code Section	Nature of Violation		Class	Fine Amount	Correction date

	<p>documented the resident appeared to be choking at 7:15 PM. Staff assisted the resident and he coughed up a piece of chicken, measuring approximately 1" x 1" (inch). Staff requested an order for a speech therapy evaluation on 1/12/19 and the physician approved the request on 1/14/19.</p> <p>The SLP (Speech/Language Pathologist) Evaluation & Plan of Treatment dated 1/16/19 documented a Clinical Bedside Assessment of Swallowing: Neuromuscular/Anatomic Disorders which assessed the resident to be within functional limits for oral prep phase and oral phase and mild impairment in the pharyngeal phase and no signs or symptoms of esophageal dysphagia present.</p> <p>The Objective Tests/Measured & Additional Analysis of SLP assessment documented the resident exhibited reduced attention to task and inattention to bolus (the rounded mass of chewed food right before swallowing). The SLP discharged the resident from care on 1/25/19 and directed resident continue on a mechanical soft diet with regular liquids as previously ordered with occasional supervision and to cue the resident to complete his meals.</p> <p>A Progress Notes entry dated 2/3/19 at 9:34 PM completed by Staff A, licensed practical nurse (LPN) documented she was at the nursing desk at 7:30 PM at which time Resident #1 was propelling himself in his wheelchair and stating "I'm choking, I'm choking." Staff A yelled for help and performed the Heimlich maneuver without success. Staff C, LPN responded</p>			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104		MW/SS		
Rule or Code Section	Nature of Violation		Class	Fine Amount
Correction date				

	<p>and also performed the Heimlich without success. Staff called 911 and transferred the resident to the floor to start CPR (cardiopulmonary resuscitation). The fire department responded, took over CPR performance as Resident #1 remained non-responsive and then transferred him to the hospital.</p> <p>The hospital History and Physical dated 2/3/19 documented the resident brought to the emergency room because it was reported the resident was given a peanut butter sandwich, which he was not supposed to have, and it appeared he choked and aspirated. EMS services reported the resident had no heart rhythm enroute to the hospital and advanced cardiac life support (ACLS) protocol was initiated and the patient regained spontaneous circulation. Resident #1 admitted to the intensive care unit (ICU) due to his cardiac arrest.</p> <p>A computerized axial tomography (CT) report dated 2/3/19 documented the following:</p> <ol style="list-style-type: none"> 1. Complete collapse to the left lung with significant right-to-left shift of the mediastinal structures. Suspect food impacted within the proximal left mainstem bronchus based on provided clinical history. 2. Malpositioned enteric tube terminating within the upper esophagus 3. Displaced fractures of the right 3rd through 6th and left 3rd through 7th ribs. 4. Scattered ground-glass and dense consolidation throughout the right lung, aspiration pneumonitis versus possible developing infection. <p>A bronchoscopy was performed and pieces of</p>			
--	---	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938					Date: April 2, 2019
Facility Name: Accura Healthcare of Sioux City		MW/SS		Survey Dates: February 7 to March 15, 2019	
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104					
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date	

	<p>sandwich were removed from the resident's bronchus. The resident expired in the hospital on 2/8/19.</p> <p>During interview on 2/7/19 at 3:29 PM Staff A stated she is usually assigned to the hall where the resident resided and was aware he could not have peanut butter sandwiches because it was on his care plan as he had experienced choking spells on them in the past. Resident #1's wife had told her in the past she did not think he should have peanut butter. After supper on 2/3/19 Resident #1 and two other residents sat in the lounge next to the nursing desk watching TV. Staff B, CNA, passed snacks to all residents and she knew she was in the lounge area. Staff B left the area and started down the 200 hallway when Resident #1 wheeled himself around the fireplace in the lounge and said "I'm choking, I'm choking". She said the resident's lips were blue in color and she yelled for help. The resident went nonresponsive. She attempted the Heimlich maneuver without success. Staff C responded to her call for help, called 911 also attempted the Heimlich maneuver without success. Staff D, CNA, also attempted the Heimlich maneuver without success so he and Staff E, CNA, lifted Resident #1 from the wheelchair to the floor and began chest compressions and she used the Ambu bag to support respirations. The emergency management system (EMS) personnel arrived and cleared the resident's airway when they intubated him and took over CPR. Staff B, CNA, also responded to her call for help and told her she had given the resident 1/2 peanut butter and jelly sandwich. Staff A stated the resident had a tendency to put too much food in his</p>			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104		MW/SS		
Rule or Code Section	Nature of Violation		Class	Fine Amount
Correction date				

	<p>mouth and sometimes staff had to remind him not to take big bites.</p> <p>During interview on 2/7/19 at 2:20 PM Staff B stated she passed snacks to the residents after supper on 2/3/19. The snack cart contained a bowl of Jell-O for Resident #1. She offered it to him two different times and encouraged him to eat it, but he wanted a peanut butter and jelly sandwich. There are peanut butter and jelly sandwiches on the snack cart and she gave him ½ of one. She sat in the lounge area and assisted another resident to eat a snack and Resident #1 was eating his sandwich just fine. After the other residents in the lounge were done with their snacks, she left the area to go down a hallway. She had just gone around the nursing desk and she heard the nurse yell for help and she responded. Staff tried to do the Heimlich maneuver but it did not work. She told the nurse she gave him a peanut butter and jelly sandwich. Staff B stated she had given the resident peanut butter sandwiches two times before and he never had any problems with them. She was not sure, but she thought she did not know the resident was not to have them.</p> <p>During interview on 2/7/19 at 3:07 PM Staff C stated she was not aware the resident could not have peanut butter sandwiches and the resident's recent SLP evaluation did not address if he could or could not have peanut butter.</p> <p>During interview on 2/8/19 at 11:45 AM, Staff F, CNA, stated she had not been aware of the fact the resident</p>			
--	---	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104		MW/SS		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

	<p>could not have peanut butter sandwiches. She stated the resident had never asked her for one but if he had she would check with the charge nurse first to get approval.</p> <p>During interview on 2/7/19 at 1:36 PM the Director of Nursing (DON) stated Staff B routinely cared for the resident and all CNA's have access to the Point of Care (Kardex) plan on the tablets accessible to staff at any time. She stated the resident's care plan stated that he could not have a peanut butter sandwich due to his history of choking. She expects CNA's to know the information contained on the point of care plan for each resident but the facility has no policy that directs staff to routinely review the resident care plan directives because any changes to them are addressed in the daily huddle meetings.</p> <p>The facility abated the immediate jeopardy situation on 2/4/19 through the following actions:</p> <ul style="list-style-type: none"> a. Staff education on all resident diet orders, allergies and food restrictions. b. Staff response when residents request food items they are restricted from eating. c. Nursing education for residents who wish to receive restricted foods. d. Posting residents' current diet orders, restrictions and allergies on the snack cart. 			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104				
		MW/SS		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

58.11(3)	481—58.11(135C) Personnel. 58.11(3) <i>Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III)	II	\$ 500	Upon Receipt
	135C.33 Employees and certified nurse aide trainees — child or dependent adult abuse information and criminal record checks — evaluations — application to other providers — penalty. 1. <i>b.</i> Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state. A facility shall inform all persons prior to employment regarding the performance of the record checks and shall obtain, from the persons, a signed acknowledgment of the receipt of the information. A facility shall include the following inquiry in an application for employment: Do you have a record of founded child or dependent adult abuse or have you ever been convicted of a crime other than a simple misdemeanor offense relating to motor vehicles and laws of the road under chapter 321 or equivalent provisions, in this state or			

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104		MW/SS		
Rule or Code Section	Nature of Violation		Class	Fine Amount
		Correction date		

	<p>any other state?</p> <p>DESCRIPTION: Based on personnel file reviews, facility policy review and interview, the facility failed to perform a background check prior to hire for one of seven current employees reviewed (Staff K). The facility reported a census of 34 residents.</p> <p>Findings include:</p> <p>1. The personnel file for Staff K, certified nursing assistant (CNA) documented a hire dated of 12/18/17. The personnel file failed to contain a criminal and abuse registry checks for Staff K prior to hire.</p> <p>The Direct Care Worker (DCW) registry for the state of Iowa verified the facility did not do a background and abuse registry checks on Staff K until 5/3/18.</p> <p>During interview on 3/15/19 at 3:10 PM the Administrator stated employee file audits were done last spring and found it had not been done, so they completed it at that time.</p> <p>The facility's Abuse Prevention, Identification, Investigation and Reporting Policy effective 4/14/17 directed the following: Employee Screening: The facility shall screen all potential employees for a history of abuse, neglect, exploitation, misappropriation of property or mistreatment of residents. This will be accomplished through the following (including maintaining</p>			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6938		Date: April 2, 2019		
Facility Name: Accura Healthcare of Sioux City		Survey Dates: February 7 to March 15, 2019		
Facility Address/City/State/Zip: 3800 Indian Hills Drive Sioux City, IA 51104				
		MW/SS		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

	<p>documentation of such results):</p> <p>1. The facility will conducted an Iowa criminal record check and dependent adult/child abuse registry checks on all prospective employees and other individuals engaged to provide services to residents, prior to hire, in the manner prescribed under 481 Iowa Administrative Code 58.11(3).</p> <p>FACILITY RESPONSE:</p>			
--	---	--	--	--

Facility Administrator

Date _____

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).