

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6932					Date: March 21, 2019
Facility Name: Southridge Specialty Care		Survey Dates: February 6 – March 3, 2019			
Facility Address/City/State/Zip: 309 W Merle Hibbs Blvd. Marshalltown, IA 50158					
Rule or Code Section	Nature of Violation		Class	Fine Amount	Correction date

58.19(2)a	481—58.19(135C) Required nursing services for residents. The resident shall receive and the facility shall provide, as appropriate, the following required nursing services under the 24-hour direction of qualified nurses with ancillary coverage as set forth in these rules: 58.19(2) Medication and treatment. a. Administration of all medications as ordered by the physician including oral, instillations, topical, injectable (to be injected by a registered nurse or licensed practical nurse only); (I, II) DESCRIPTION: Based on clinical record review, staff interviews and facility policy review, the facility failed to ensure one of five residents reviewed (#5) was free of significant medication errors when they failed to administer a resident's Coumadin for approximately one month. The facility reported a census of 72 residents. Findings include: According to the MDS (Minimum Data Set) assessment dated 10/18/18, Resident #5 had no cognitive impairment, transferred with the assistance of one staff, used a wheel chair for locomotion, urine and bowel continence, and had diagnoses that included heart failure and hypertension. The Care Plan initiated 1/27/17 directed staff to transfer Resident #5 with one assist and that she used a wheel chair for independent mobility. On 1/30/18 the	I	\$ 7000.00 (Held in Suspension)	Upon Receipt
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Facility Administrator

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	Care Plan indicated the resident had a bleeding risk due to anticoagulant therapy and directed staff to administer medication as ordered by the physician and coordinate lab work as ordered. On 1/31/19 the Care Plan indicated the resident had a recent CVA (stroke) and now received a pureed, honey thickened liquids diet and had a terminal prognosis due to CVA. The care plan directed staff to adjust provision of ADL's (Activities of Daily Living) to compensate for her changing abilities, consult Hospice care, observe for signs of pain and work closely with Hospice to ensure spiritual, emotional, intellectual, physical and social needs are met. The Physician's Orders dated 11/20/18 instructed staff to administer Warfarin (an anticoagulant medication) 0.5 mg (milligrams) one time a day every Tuesday, Wednesday, Thursday, Saturday, Sunday related to a diagnosis of atrial fibrillation with an end date of 12/18/18. The Physician's Orders dated 1/14/19 instructed staff to administer Warfarin 0.5 mg one time a day every Tuesday, Wednesday, Thursday, Saturday, Sunday. The Physician's Orders dated 1/16/19 instructed staff to administer Warfarin 0.5 mg one time a day every Sunday, Monday, Tuesday, Wednesday, Thursday, Saturday. The Physician's Orders dated 1/18/19 instructed staff to administer Warfarin 5 mg one time a day for blood thinner.			
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	A Laboratory report dated 10/23/18 revealed Resident #5's INR (a test for blood clotting) at 2.5. Dosing instructions: 0 mg every Monday and Friday, 0.5 mg all other days. Re-check INR 11/20/18. A Laboratory report dated 11/20/18 revealed Resident #5's INR measured 2.5. Dosing instructions: 0 mg every Monday and Friday, 0.5 mg all other days. Re-check INR 12/18/18. A Laboratory report dated 12/18/18 revealed Resident #5's INR at 2.2. Dosing instructions: 0 mg every Monday and Friday, 0.5 mg all other days. Re-check INR 1/15/19 The Progress Note dated 1/14/19 at 5:18 p.m. documented Staff E, LPN (Licensed Practical Nurse) noted Resident #5 had not received Coumadin (or Warfarin) since 12/18/18. Staff F, LPN had noted the order for Coumadin on 11/21/18 and put a stop date instead of keeping it indefinitely. On 12/18/18 when Staff G, RN (Registered Nurse) received the new orders to keep the amount the same, Staff G must not have realized there was a stop date written and the resident would not continue to get the medication. Staff E called the protime nurse and informed her of the incident. New orders were noted to start Coumadin tomorrow and draw PT/INR in two weeks. The Nurse Practitioner notified and family. Progress Notes dated 1/21/19 documented at 7:45 a.m. the resident sat at the side of the bed, unable to			
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	talk. Staff lay the resident down and noted her right hand and right leg were weak. Resident #5 transferred to the emergency room. The Nurse Practitioner Progress Note dated 1/18/19 reported Resident #5 did not receive Coumadin for a month and appeared stable with no signs of discomfort. The resident's INR is 1 and she ordered to increase Coumadin to 5 mg every day. The hospital History and Physical dated 1/21/19 revealed Resident #5 went to bed last night at baseline and woke up this morning with right sided weakness and aphasia. The patient had a history of atrial fibrillation, coronary artery disease, hypertension and hypothyroidism. The MRI revealed sizeable left middle cerebral artery distribution acute infarction. The Discharge Summary dated 1/24/19 recorded Resident #5 had an acute left middle-cerebral artery distribution infarction in setting of paroxysmal atrial fibrillation and subtherapeutic INR. The report directed to continue her prior admission dose of Warfarin. The patient and family have opted for Hospice at long term care facility. The Incident Report dated 1/14/19 documented Staff E noted Resident #5 had not received Coumadin since 12/18/18. Staff F, LPN had noted the order for Coumadin on 11/21/18 and put a stop date instead of keeping it indefinitely. On 12/18/18 when Staff G received the new orders to keep the amount the same, Staff G must not have realized there was a stop date			
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	written and the resident would not continue to get the medication. Staff called the protime nurse and informed her of the incident. New orders were noted to start Coumadin tomorrow and draw PT/INR in two weeks. The Nurse Practitioner notified and family. The facility Medications, Administration policy documented: Purpose: 1. To assure that each resident receives the proper medications at the correct time as ordered by their physician. 2. To provide correct medications in correct dose to correct resident. 3. To establish a systematic approach of administering medications to the resident. During a phone interview on 2/13/19 at 8:35 a.m., Staff D, Nurse Practitioner, stated the facility failed to administer Resident #5's Coumadin for approximately one month. The lack of Coumadin did not 100% cause the stroke but it did contribute to it. The resident had been 100 years old and that needed to be considered as well and there was more than one factor that contributed to the resident's stroke. During an interview on 12/12/19 at 12:50 p.m., Staff C, DON (Director of Nursing) stated Staff E identified the concern with Resident #5's Coumadin. The resident had not received it for a month beginning 12/19/18. On 1/14/19, Staff E completed the lab questionnaire and checked the dose when she realized it had not been given. Staff E notified the physician and Resident #5			
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	had labs and Coumadin orders initiated. On Monday, 1/21/19, the resident woke in the morning and had aphasia and right sided weakness. Resident #5 transferred to the emergency room, she admitted and returned to the facility on 1/27/19. The resident received thickened liquids, required assistance of two staff to transfer and could only respond to questions with "yes". Prior to the stroke, the resident transferred independently at times. The facility implemented changes to avoid further Coumadin errors including staff education, checklists provided to Medication Aides, pharmacy will dispense Coumadin in blister packs versus stock bottles as had previously been done, bi-weekly audits and monitoring of Medication Administration Records and labs. The incident detailed above resulted in an Immediate Jeopardy (IJ) situation for facility residents. The facility abated the IJ situation on 1/21/19 through changes in the pharmacy delivery system of Coumadin, audits and monitoring of resident physician orders and medication records, meeting with laboratory staff and staff education to ensure residents have accurate physician orders and monitoring of essential laboratory tests.			
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