

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #6899		Date: 12/28/18		
Facility Name: Pearl Valley Rehabilitation & Healthcare of Washington		Survey Dates: 11/26/18 – 12/3/18		
Facility Address/City/State/Zip 601 E Polk St. Washington, IA 52353				
		JKM		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

58.28(3)e	<p>481—58.28(135C) Safety. The licensee of a nursing facility shall be responsible for the provision and maintenance of a safe environment for residents and personnel. (III)</p> <p>58.28(3) Resident safety.</p> <p>e. Each resident shall receive adequate supervision to protect against hazards from self, others, or elements in the environment. (I, II, III)</p> <p>DESCRIPTION:</p> <p>Based on observation, record review, and interview, the facility failed to implement a safe system with regard to smoking, smoking areas, and smoking safety for 5 of 8 residents identified as independent smokers (Resident #19, #33, #5, #16, #6). The facility had decided to become a smoke free campus, but also had current residents who had admitted to the facility when smoking was permitted and continued to do so. Prior to the time facility banned smoking on the grounds, residents smoked in an enclosed courtyard that allowed staff the opportunity to monitor and provide supervision for them. The facility had assessed residents (via Smoking Safety Screens) to assure they could smoke in a safe manner either by themselves or with supervision, but failed to update the screens</p>	I	\$6,000 (held in suspension)	Upon Receipt
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	<p>regularly or have a system to advise staff regarding which resident could smoke safely on an independent basis. The facility had asked the residents to sign out to leave the facility to smoke, punch in a door code, then walk across a parking lot to a grassy area beyond facility property where a neighbor of the facility gave permission for residents to smoke. The facility maintained the residents were no longer the facility's responsibility when they signed out and smoked in on the lawn area just off campus. The residents kept their own cigarettes and came and went independently to that area when they wished at any time of the day or night, with no dedicated smoking hours, creating a hazard as a resident could trip in the parking lot in the middle of the evening when with fewer staff in the building. The parking lot also had the potential to become covered with ice. On 11/25/18, 13 inches of snow fell in Washington, IA. The residents then began smoking in an area to side of the front door that contained a table and chairs, because the grassy area was covered with snow piles. The residents were still expected to sign out, although the facility did not have a system in place to monitor which residents were out of the building or how long they had been outside smoking. The facility also lacked a system to check the residents after they had been outside for a period of time to see if they were safe. This constituted an Immediate Jeopardy (IJ) to resident health and safety. The facility reported a census of 37 residents.</p>			
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	<p>Findings include:</p> <p>1. According to the Minimum Data Set assessment tool dated 10/5/18, Resident #19 had diagnoses that included repeated falls, chronic pain, and weakness and had a BIMS (Brief Interview for Mental Status) score as 13 out of 15, that showed the resident demonstrated intact cognitive abilities. The MDS documented the resident required supervision assist for bed mobility, transfers, ambulation (walking), toilet use, and personal hygiene, supervision and set up assistance for dressing and eating, and extensive assistance of 1 staff for bathing.</p> <p>A 10/9/17 12:28 p.m. progress note entry documented staff found the resident lying in the hallway on her back. The resident stated she lost her balance and stumbled going to the sink to get some water. The entry stated the resident complained of right hip and right lower extremity pain.</p> <p>A 12/9/17 4:47 a.m. fall incident note documented staff observed the resident sitting on the floor beside the bed and the resident reported she just slid down the side of the bed and did not hurt herself.</p> <p>A 12/20/17 7:51 a.m. progress note revealed staff found the resident on the floor, but she didn't know</p>			
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	<p>how she fell. The note revealed the resident denied pain.</p> <p>A Fall form dated 11/9/18 on 3:45 p.m. progress note entry documented the nurse observed the resident walking back into the building with the DON (Director of Nursing). The nurse documented another resident stated Resident #19 had fallen outside while smoking and he saw her attempting to get up. The nurse described the resident as tearful and complaining of right hip pain. The facility notified the physician and sent the resident to the hospital for evaluation and treatment.</p> <p>An 11/9/18 4:37 p.m. progress note documented the resident returned from the emergency room with a diagnosis of soft tissue contusion (bruise).</p> <p>A 7/20/17 Smoking Safety Screen documented the resident had dropped cigarettes on her clothing at home and assessed the resident as safe to smoke with supervision, but needed the facility to store her lighter and cigarettes.</p> <p>An 11/27/18 Smoking Safety Screen assessed the resident as safe to smoke without supervision, but needed the facility to store her lighter and cigarettes.</p> <p>The facility lacked an updated Smoking Safety Screen</p>			
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	<p>between the dates of 7/20/17 and 11/27/18. Review of the resident's record revealed no screen from the time of the fall on 11/9/18 and 11/27/18, which occurred after the Department representative entered the building on 11/26/18.</p> <p>Care plan entries initiated 5/12/16, documented the resident as at high risk for falls related to a history of falls and narcotic use, with the goal that the resident would be free of injury through the review date. The care plan revealed the resident experienced impaired vision and directed staff to ensure appropriate visual aids glasses available, and also documented she ambulated independently with a walker.</p> <p>The resident's care plan did not address the resident smoking or provide a plan or directive for staff to monitor the resident's safety when she went outside to smoke.</p> <p>Review of the facility sign out log revealed the resident did not sign out of the facility on 11/9/18.</p> <p>During an interview on 11/28/18 at 9:45 a.m., Resident #5 stated he was in the dining room and just happened to look out the window and saw Resident #19's head pop up in view. He stated he could not tell what position she was in but that she was not standing. He immediately reported it to staff and they assisted her.</p>			
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	<p>He stated he didn't know what would have happened to the resident had he not looked out the window.</p> <p>During an interview on 11/28/18 at 10:00 a.m., the resident stated she didn't remember what happened when she fell outside. She stated she ended up with her walker behind her and reported she was outside for perhaps 5-10 minutes.</p> <p>During an interview on 11/28/18 at 11:45 a.m., Staff E LPN (Licensed Practical Nurse) stated she did not give the resident her cigarettes on 11/9/18 before her fall, and reported Staff F was the only other nurse on duty at that time.</p> <p>During an interview on 11/28/18 at 12:13 p.m., Staff F LPN stated she did not give the resident her cigarettes on 11/9/18 before her fall.</p> <p>During an interview on 11/28/18 at 12:30 p.m., the resident stated she kept her cigarettes and a lighter in her coat pocket and then showed the surveyor an almost full pack of cigarettes and a lighter in her pocket.</p> <p>During an interview on 12/3/18 at 1:20 p.m., the DON stated Resident #5 notified her of the resident's fall on 11/9/18 and she went outside to assist the resident inside.</p>			
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	<p>The facility provided additional information on 12/5/18 after the surveyors shared findings and exited the building. Included was the following documented statement: on 11/9/18 at approximately 3 - 3:15 p.m. the facility's the facility's Business Office Manager heard a resident enter the code to the front door. She documented she looked to see when someone opened the door to ensure customer service and make a mental note of any residents that exited the building. At that time, she wrote, Resident #19 wore her long tan jacket, had her walker, and was in good spirits. The statement did not contain any information related to witnessing the fall or a formal or informal plan to check on the resident at a later time or notify staff after a period of time so staff could monitor the resident's whereabouts.</p> <p>2. The MDS dated 9/3/18, documented Resident # 5 had diagnoses that included non-Alzheimer's dementia, weakness, restlessness, and agitation. The MDS stated the resident required supervision assistance with bed mobility, transfers, walking, and personal hygiene and supervision and setup assistance with eating and bathing. The MDS listed the resident's BIMS score as 14 out of 15, which indicated the resident experienced intact cognition.</p>			
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	<p>A Smoking Safety Screen, dated 6/3/17, stated the resident was safe to smoke without supervision and did not need the facility to store his lighter and cigarettes.</p> <p>A Smoking Safety Screen, dated 11/27/18, documented the resident was safe to smoke without supervision, but needed the facility to store his lighter and cigarettes.</p> <p>The facility lacked an updated Smoking Safety Screen between the dates of 6/3/17 and 11/27/18 (the survey week).</p> <p>A care plan entry, dated 8/20/18, documented the facility would complete a smoking assessment quarterly.</p> <p>During an interview on 11/28/18 at 1:08 p.m., the resident stated he kept his cigarettes and lighter in his room and gestured, indicating they were in his coat pocket currently.</p> <p>3. According to the MDS dated 11/2/18, Resident #33 had diagnoses that included weakness, schizophrenia, and depression. The MDS revealed the resident required supervision and setup assistance for bed mobility, transfers, walking, dressing, eating, toilet use, and personal hygiene. The MDS documented the</p>			
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	<p>resident's BIMS score as 15 out of 15, indicating intact cognition.</p> <p>A Smoking Assessment, dated 6/13/18, stated the resident did not require supervision while smoking and stated the resident needed the facility to store his lighter and cigarettes.</p> <p>A care plan entry, dated 3/3/17, stated the facility stored the resident's smoking supplies at the back nursing station.</p> <p>During an interview on 11/28/18 at 1:10 p.m., the resident stated he kept his cigarettes and lighter in his room and gestured they were in his coat pocket currently.</p> <p>4. The MDS assessment tool, dated 9/9/18, listed diagnoses for Resident #6 that included heart failure, obesity, and weakness. The MDS stated the resident required supervision and setup assistance with eating and supervision assistance of 1 staff for bathing. The MDS revealed the resident's BIMS score as 15 out of 15, indicating intact cognition.</p> <p>A 6/7/17 Smoking Safety Screen documented the resident as safe to smoke without supervision, but the facility needed to store his cigarettes and lighter with the nurse.</p>			
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	<p>An 11/27/18 Smoking Safety Screen stated the resident was safe to smoke without supervision, but needed the facility to store his cigarettes and lighter.</p> <p>The facility lacked an updated Smoking Safety Screen between the dates of 6/7/17 and 11/27/18 (the survey week).</p> <p>A care plan entry, dated 8/20/18, directed staff to perform a Smoking Safety Screen quarterly. A 3/3/17 entry documented the facility stored the resident's smoking supplies at the back nurses station.</p> <p>During an interview on 11/28/18 at 1:05 p.m., the resident stated he kept his cigarettes and lighter in his room and showed them to the surveyor.</p> <p>5. According the the MDS dated 10/3/18, Resident #16 had diagnoses that included morbid obesity, weakness, and difficulty walking. The MDS revealed the resident required supervision and set up assistance for bed mobility, transfers, walking, dressing and eating, and extensive assistance of 1 staff for toilet use, personal hygiene, and bathing. The MDS listed the resident's BIMS score as 15 out of 15 indicating intact cognition.</p> <p>A Smoking Safety Screen, dated 8/21/17, revealed</p>			
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	<p>Resident #16 required supervision to smoke due to burns noted on her body from smoking at home. The screen also documented the facility had to store her lighter and cigarettes.</p> <p>A Smoking Safety Screen, dated 11/27/18, assessed the resident as safe to smoke without supervision while the facility stored her lighter and cigarettes.</p> <p>The facility lacked an updated Smoking Safety Screen between the dates of 8/21/17 and 11/27/18 (the survey week).</p> <p>A care plan entry, dated 8/20/18, directed staff to assess safety with smoking independently each quarter.</p> <p>A list the facility provided to the survey team upon entrance on 11/26/18 listed Residents #5, #6, #16 and #33 as independent smokers. The list did not include Resident #19 as an independent smoker.</p> <p>The facility Independent Smoking Policy, dated 11/27/18 directed the facility would keep smoking materials locked in a designated secured location and stated residents would sign themselves out of the facility with nursing staff upon taking possession of the smoking materials. The policy stated nursing staff would check on the the residents every 30 minutes</p>			
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	<p>and would increase checks when severe weather dictated.</p> <p>In an interview on 11/27/18 2:30 pm, the corporate nurse consultant acknowledged some of the assessments were not up to date, but staff were updating them. She reported the facility's system directed residents sign out when they went to smoke so they were then outside of the facility's responsibility.</p> <p>In a subsequent interview with the nurse consultant at approximately 3:00 p.m. on the same day, she stated the facility would revise their policy and going forward, the nurse would keep the sign out book so she will know who went out. The nurse would then check on the residents in 30 minutes.</p> <p>In an interview on 11/28/18 at 5:30 p.m., the Nurse Consultant stated she didn't think the staff really understood the idea of keeping the smoking materials. She stated the nurses would now check to ensure residents returned the cigarettes after coming in from outside.</p> <p>During an interview on 11/27/18 at 3:30 p.m., the Administrator stated the facility stopped using the courtyard area because the facility went "smoke free." She then showed the surveyor the area near the front door with a small patio table and stated this was where</p>			
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	<p>the residents smoked currently since the other area was covered with snow. She then showed the surveyor an area at the east end of the parking lot between 2 vehicles that contained piles of snow. The nurse consultant stated it was the neighbor's area and they allowed the residents to smoke there when it was not covered in snow.</p> <p>According to https://www.kcrg.com/content/news/WWC-Snowfall-totals-from-November-25-2018-501251021.html, the town of Washington received 13 inches of snow on 11/25/18.</p> <p>FACILITY RESPONSE:</p>			
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