Iowa Department of Inspections and Appeals
Health Facilities Division
Citation

Citation Number: **6787**				**Date:** **April 13, 2018**
Facility Name: **QHC Mitchellville, LLC**		**Survey Dates:** **March 21, 26, 27, and 28, 2018**		
Facility Address/City/State/Zip **114 Carter Street SW** **Mitchellville, IA. 50169-5000**				
	KK/HL			

Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date
58.19(2)a	**58.19(2) *Medication and treatment.*** ***a.*** **Administration of all medications as ordered by the physician including oral, instillations, topical, injectable (to be injected by a registered nurse or licensed practical nurse only); (I, II)** ***c.*** **Blood glucose monitoring; (I, II)**	**I**	**$7250.00 Held In Suspension**	**Upon Receipt**
58.14(7)	**481—58.14(135C) Medical services.** **58.14(7) Residents shall be admitted to a nursing facility only on a written order signed by a physician certifying that the individual being admitted requires no greater degree of nursing care than the facility is licensed to provide. (III)** **DESCRIPTION:** Based on record review, physician office interview and staff interviews the facility failed to ensure residents had physician orders to address the residents' immediate care needs for 1 of 4 residents reviewed (Resident #1). Record review revealed Resident #1 had been admitted from the hospital to the nursing home with a diagnosis of diabetes. The resident's record lacked physician admission orders, including diabetic monitoring of blood sugars or medications to address his diabetic needs. The resident was hospitalized with a blood glucose of 607. The facility reported a census of 39 residents. Findings include: According to the Minimum Data Set (MDS) assessment dated 3/14/18, Resident #1 had			

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	diagnoses a pertinent diagnosis of diabetes mellitus. The MDS also indicated the resident had a Brief Interview for Mental Status (BIMS) score of 10 indicating a moderately impaired cognitive status. Upon admission to the facility on 3/7/18, the hospital report dated 2/28/18 revealed Resident #1 was seeking nursing home placement. The Progress Note revealed the resident presented to the hospital with hypoglycemia and hyponatremia. The report documented the resident was hypoglycemia and diabetic. The list of at home medication included the following: a. Methylphenidate 2.5 milligram (mg) BID (two time a day) with the comment hold for now. b. Glipizide 5 BID (Stop indefinitely) (diabetic medication) c. Metformin 1000 BID- (Stop indefinitely) (diabetic medication) d. Lisinopril 20 daily (blood Pressure) e. Loratidine 10 mg daily (allergy) f. Mucinex BID prn g. Mag OX 400 mg. (vitamin) h. Melatonin 3 mg daily (sleep aid) The same hospital report dated 2/28/18 listed the following active medications: Acetaminophen, Albuterol, Amlodipine Besylate, Aspirin, Dorzolamide, Famotidine, Finasteride, Latanoprost, Lisinopril, Paroxetine HCI, Quetiapine Fumarate, Senna, Sodium Chloride Deep Sea, and Tamsulosin HCI. (This list did not include resident's diabetic medications.)			

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	The 2/28/18, hospital report also documented resident hypoglycemic and diabetic. Accuchecks (blood glucose monitoring) stable and will decrease monitoring to twice a day. (This was not clarified with the resident's physician.) The facility's Order Summary Report dated 3/7/18 identified Resident #1 had a diagnosis of Type 1 Diabetes Mellitus without complications. Staff C documented the following medications for Resident #1: Acetaminophen, Albuterol, Amlodipine Besylate, Aspirin, Dorzolamide, Famotidine, Finasteride, Latanoprost, Lisinopril, Paroxetine HCl, Quetiapine Fumarate, Senna, Sodium Chloride Deep Sea, and Tamsulosin HCl. The Facilities Order Summary Report indicated orders for medication regimen which was sent to pharmacy by Staff C. The orders were not signed by the physician. There was no clarification for use of the resident's diabetic medication or glucose monitoring. Resident #1's Medication Administration Record from admission date of March 7, 2018 to the time of hospital transfer March 16, 2018 showed no monitoring of blood sugars by facility staff. The MAR did not show any medication administered to treat Resident #1's known history of Type 1 Diabetes Mellitus without complications. The record also lacked clarification on all the medications the resident had taken to determine if the physician wanted them continued or stopped. The one page facsimile dated 3/7/18 written by Staff C identified the following: Admit under the care of the			

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	physician. Order for Physical Therapy; Occupational Therapy/Speech Therapy to evaluation and treatment. The facsimile did not address any routine labs or any drug monitoring. The ARNP (nurse practitioner) approved the orders as stated above. The resident's record failed to contain admission orders from the physician relevant to the resident's clinical condition. The record lacked information alerting the physician of medications that were on hold and failed to ask whether or not the facility staff should monitor the resident's blood sugars. Progress Notes dated 3/16/18 at 12:30 p.m. indicated Resident #1 was found lethargic and not responding per usual. Skin color grayish, responds to painful stimuli, respirations 28, blood pressure 90/60, pulse 60 and unable to obtain an oxygen saturation level. Blood sugar checked and read high in two attempts. Order received for 20 units of Novolog (insulin). Resident #1 transferred to hospital emergency department at 1:35 p.m. Hospital Discharge Summary dated 3/16/18 indicated Resident #1 with diagnosis which included septic shock, pneumonia, lactic acidosis, profound hypotension, hyperglycemia, hypernatremia and acute kidney injury. Resident #1 presented to the ED (Emergency Department) with low blood BP (blood pressure) 52/32, and high blood sugar on arrival. The general chemistry report revealed the resident's blood sugar at 607 (critical). Resident #1 placed on comfort measures and transferred to a hospice facility.			

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	In an interview on 3/21/18 at 12:34 p.m. Staff C, Registered Nurse, stated Resident #1 was scheduled to be admitted on 3/6/18, but due to a family issue arrived on 3/7/18. Staff C stated the family brought Resident #1 in with no accompanying paper work from the hospital. Staff C stated she faxed an order to admit under the care of the primary care physician (PCP) and for physical, occupational and speech therapies to evaluate and treat to the PCP. That order was signed and returned. Staff C stated the Director of Nursing gave her a progress note from a hospital physician (not from the PCP) dated 2/28/18 in which she extracted a listing of Resident #1's medications. From that list, Staff C created a medication list in their system and faxed it to pharmacy. Staff C stated she completed an admit assessment. Staff C stated she never followed up with the physician to verify and obtain medication and treatment orders for Resident #1. The physician was contacted for an interview and his nurse responded on 3/21/18 at 2:50 p.m. The nurse reported the physician expected to receive information regarding new admissions. The nurse reported they sometimes have little or no medical history for a resident and rely on the information provided in the resident's medical records to determine medications and care. An interview with the Director of Nursing (DON) on 3/21/18 at 1:40 p.m., revealed she was not aware of the admission process and had only worked as the			

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	DON for a month. The DON stated after the incident involving Resident #1, she developed a checklist to ensure nurses address all potential resident issues. During the interview, the surveyor reviewed the checklist which failed to show any direction for nurses to notify the physician when verifying [admission] orders. The DON stated she would amend the checklist to include physician verification. On 3/21/18, the surveyor received the amended checklist. **FACILITY RESPONSE:**			

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56.6(1)	**481—56.6(135C) Treble and double fines.** **56.6(1) *Treble fines for repeated violations.* The director of the department of inspections and appeals shall treble the penalties specified in rule 481—56.3(135C) for any second or subsequent class I or class II violation occurring within any 12-month period, if a citation was issued for the same class I or class II violation occurring within that period and a penalty was assessed therefor.**	**I**	**$15,000.00 ($5000.X3) Treble fine Held In Suspension**	**Upon Receipt**
58.28(3)e	**481-58.28(3) *Resident safety.*** ***e.* Each resident shall receive adequate supervision to protect against hazards from self, others, or elements in the environment. (I, II, III) [ARC 1398C, IAB 4/2/14, effective 5/7/14]** **DESCRIPTION:** Based on clinical record review, resident and staff interviews, the facility failed to provide adequate supervision to protect 1 of 6 residents from hazards (Resident #2). The facility staff failed to safety transferred Resident #2 via a Hoyer lift device which resulted in the resident sustaining a femur fracture. The facility reported a census of 39 residents. Findings include: According the Minimum Data Set (MDS) dated 1/5/18, documented Resident #2 had a diagnosis of multiple sclerosis, had a BIMS score of 15, indicating intact cognition and required extensive assistance with transfers, dressing, toilet use and personal hygiene			

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	needs. During interview on 3/27/18 at 7:40 a.m., Staff A, certified nurse aide, stated just after lunch on 3/14/18 she had given the resident a shower and had propelled her back to her room in the shower chair. Staff A got the assistance of Staff B to help with the mechanical lift (hoyer) transfer into bed. Staff B was running the lift and Staff A was positioned on the resident's right side between the chair and bed as the resident was lifted her feet made contact with the lift. Staff A pushed the resident's foot down and out of the way from the lift. Staff B pushed the residents left leg down and in to rotate the resident. Staff B had to use more force downward to get the leg to bypass the center bar of the lift. Staff A stated the resident did not bend at her knees which made it more difficult to rotate her in the lift. During interview on 3/21/18 at 3:25 p.m., Staff B, CNA stated on 3/14/18 Staff A asked her to help with transferring the resident from the shower chair into bed. Staff B stated they attached the sling to the lift and lifted slowly, as the resident was lifted, Staff A rotated the residents legs past the center bar and in doing so, the resident began screaming and claimed her leg was broken. Staff B stated Staff A did not use excessive force when rotating the resident and did not understand how the resident was injured. Staff A stated in her experience with doing mechanical lifts, everything was done properly. Staff B denies the resident's feet got caught up in the lift. During interview on 3/26/18 at 3:45 p.m., the resident stated staff broke her leg when they were transferring her			

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	from the shower chair to the bed. The resident said staff was not rough or forceful when moving her legs around the lift, but was just not paying attention. During interview on 3/26/18 at 4:05 a.m., the Director of Nursing (DON), stated she was involved with interviewing Staff A and Staff B following the transfer of the resident on 3/14/18. The DON stated her understanding was that the resident was in the shower chair and was lifted by the hoyer. Staff B was running the lift and Staff A was touching the resident. As the resident was lifted, Staff A went to rotate the resident sideways. Because the resident does not flex at her knees, her legs are straight out requiring additional force downward to guide her legs past the center bar of the lift. During the process the residents right leg fractured. The DON stated the lift and rotation was proper in most instances, however with a resident that does not flex at the knees, the aides should have positioned the resident with both legs on one side of the center bar, so that it was not necessary to rotate the legs past the center bar. The DON stated she educated both aides of the safer way to do the lift and was not sure if the proper way to lift the resident with the mechanical lift was addressed on the care plan. Review of plan of care found no guidance provided either prior to the incident or following it. On 3/27/18 the plan of care was updated to show how to properly transfer the resident to ensure further injury does not occur. The radiology report dated 3/14/18, documented the right x-ray femur view indicated a distal femur fracture. Findings: bones diffusely demineralized ; Impression: contour irregularity along weight bearing surface of the			

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	lateral femoral condyle, concerning for an impaction fracture. **FACILITY RESPONSE:**			

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