

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Citation**

<b>Citation Number:</b> 6756					<b>Date:</b> February 14, 2018
<b>Facility Name:</b> Sunset Knoll Care And Rehab Center		<b>Survey Dates:</b> January 17, 2018 to February 6, 2018			
<b>Facility Address/City/State/Zip</b>  401 West Fifth Street Aurelia, IA. 51005		HL			
<b>Rule or Code Section</b>	<b>Nature of Violation</b>	<b>Class</b>	<b>Fine Amount</b>	<b>Correction date</b>	

<b>58.11(3)</b>	<i>58.11(3) Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse. The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—</i>	II	\$500.00	Upon Receipt
<b>50.9(3)b</b>	<i>50.9(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state. b. Conducting a background check. The facility may access the single contact repository (SING) to perform the required background check. If the SING is used, the facility shall submit the person's maiden name, if applicable, with the background check request. If the SING is not used, the facility must obtain a criminal history check from the department of public safety and a check of the child and dependent adult abuse registries from the department of human services. (I, II, III)</i>			

\_\_\_\_\_  
Facility Administrator

\_\_\_\_\_  
Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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	<p><b>DESCRIPTION:</b> Based on record review, facility policy, and staff interview, the facility failed to obtain criminal and abuse background checks prior to hiring 1 of 7 employees (Staff A). The facility reported a census of 18 residents.</p> <p>Findings include:</p> <p>The 4/1/17 revised Abuse Prevention, Identification, Investigation and Reporting Policy revealed the facility will screen potential employees by conducting an Iowa criminal record check and dependent adult abuse registry check on all prospective employees prior to hire.</p> <p>The New Employee (Change) Worksheet documented the facility hired Staff A, Registered Nurse on 9/1/17.</p> <p>The Single Contact License and Background Check identified the facility obtained a criminal and abuse background checks on Staff A on 1/18/18. Staff A's background checks were cleared when completed 4 months and 17 days after hire.</p> <p>When interviewed on 2/1/18 at 9:00 a.m. the Office Manager stated she forgot to run a background check on Staff A. The Office Manager reported the facility hired Staff A on 9/1/17, and she is still employed at the facility.</p> <p>On 2/1/18 at 12:30 p.m. the Office Manager reported</p>			
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	<p>she obtained Staff A's background check on 1/18/18, after the surveyor requested to review Staff A's employee file.</p> <p><b>FACILITY RESPONSE:</b></p>			
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