

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Citation**

Citation Number: 6752		Date: February 7, 2018		
Facility Name: ManorCare Health Services-Waterloo		Survey Dates: January 22-25, January 29-30, 2018		
Facility Address/City/State/Zip 201 West Ridgeway Avenue Waterloo, IA 50701				
		HL		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

56.12 (135)	481—56.12(135C) Class I violation as a result of multiple lesser violations. The director of the department of inspections and appeals may issue a citation for a class I violation when a physical condition or one or more practices exist in a facility which are a result of multiple lesser violations of the statutes or rules, but which taken as a whole constitute an imminent danger or a substantial probability of resultant death or physical harm to the residents of the facility.	I	\$3,250.00 <b>Held In Suspension</b>	Upon Receipt
58.20(2)	481—58.20(135C) Duties of health service supervisor. Every nursing facility shall have a health service supervisor who shall: 58.20(2) Plan for and direct the nursing care, services, treatments, procedures, and other services in order that each resident's needs and choices, where practicable, are met; (II, III)			
AND				
58.20(5)	481—58.20(135C) Duties of health service supervisor. Every nursing facility shall have a health service supervisor who shall: 58.20(5) Initiate preventative and restorative nursing procedures for each resident so as to achieve and maintain the highest possible degree of function, self-care, and independence based on resident choice, where practicable; (II, III)			
	DESCRIPTION:			

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	<p>Based on observation, clinical record review, and interviews with staff and resident, the facility failed to ensure recommendations provided by a therapist were followed to maintain or prevent a decline in function for two of three residents reviewed for activity of daily living abilities (Resident #6 &amp; #5). The facility identified a census of 74 residents.</p> <p>Findings include:</p> <p>1. The Minimum Data Set (MDS) assessment for Resident #6 dated 12/30/17, included diagnoses of heart failure and peripheral vascular disease. The MDS documented the resident required extensive assistance of two to walk in room and corridor. The MDS documented the resident had a Brief Interview for Mental Status (BIMS) score of 14 (cognitively intact).</p> <p>The MDS dated 6/29/17, documented the resident required supervision and set up to walk in room and corridor.</p> <p>A Therapy Discharge Communication form dated 9/8/17, included a directive for walk to dine with assistance of one with four wheeled walker three times a day.</p> <p>The MDS dated 9/29/17, documented the resident required extensive assistance of one to walk in room and corridor.</p>			
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	<p>The care plan revised 1/11/18, included a problem of activity of daily living self-care deficit related to physical limitations, history of subarachnoid hemorrhage and right orbital floor fracture status post fall. The problem included no interventions for a walk to dine program.</p> <p>Resident #6's clinical record review revealed no documentation of a walk to dine program initiated for the resident.</p> <p>On 1/25/18 at 12:45 p.m., Staff I, Certified Nurse Aide, CNA and Staff J, Licensed Practical Nurse, LPN provided a list of residents on any kind of ambulation program. The list did not include Resident #6.</p> <p>During interview on 1/25/18 at 1:57 p.m., Resident #6 stated no one had provided routine assistance with ambulation regularly for two years. The resident stated staff had provided ambulation assistance in their room but not in the hall and they felt they had a decline because of it. The resident stated they had told facility staff they wanted to walk and had been told to walk in the room.</p> <p>Staff H, Physical Therapy Aide verified during interview on 1/29/18 at 7:45 a.m., the directive on the residents Therapy Discharge Communication sheet had been for walk to dine with assistance of one with a four wheeled walker three times a day.</p>			
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	<p>At 12:43 p.m., the facility Director of Nursing (DON) stated they had a concern with residents ambulating a while ago but it had been at least 6 months since they had reviewed it.</p> <p>On 1/30/17 at 7:40 a.m., the resident propelled themselves to the dining room in a wheelchair.</p> <p>At 7:45 a.m., the facility DON stated they had counseled staff the previous evening on walking residents who were to be on the walk to dine program.</p> <p>2. The MDS for Resident #5 with a reference date of 12/29/17, documented diagnoses which included cerebrovascular accident (stroke), depression, muscle weakness, lack of coordination, and weakness. The MDS further documented the resident required extensive assistance of one for bed mobility, transfer and toilet use, and supervision with set up assistance for meals. The MDS documented the Resident used a walker and wheelchair for mobility and walk in room and corridor did not occur.</p> <p>A document dated 11/8/17, titled Therapy Discharge Communication included a recommendation for the Resident to walk to dine with one person physical assist and walk from bed to bathroom with one person physical assist using forward wheeled walker twice a day. The document had been signed by the physical therapist with the signature of the nurse manager signed by DON.</p>			
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	<p>A physical therapy treatment note dated 11/8/17 documented the resident stated he had not ambulated with staff "never sees anyone". Physical therapy note dated 11/6/17 documented resident gait training with forward wheeled walker 150' with caregiver assist with cues to increase left foot clearance. When asked if he ambulates with staff he said no. PT educated he needs to ask staff 2x/day to ambulate with him.</p> <p>The care plan dated as initiated 8/20/17 and last revised on 9/15/17, included ADL (activities of daily living), Self-Care deficit as a focus area related to physical limitations, recent CVA with right sided weakness/hemi neglect and dysphagia. A goal was established that the resident will receive assistance necessary to meet ADL needs with a target date of 3/17/18. The care plan directed staff to transfer with: refer to Kardex.</p> <p>A document labeled Visual/Bedside Kardex Report dated as printed on 1/29/18 directed: Ambulate in hallway twice daily as tolerated.</p> <p>In an interview on 1/23/18 at 2:45 p.m., the Physical Therapist (PT) stated that therapy was provided to patient under [Medicare] part A until 9/27/17 and then continued under part B until 11/8/17. Stated would expect the facility to proceed with recommendations from Physical Therapy after discharge from therapy services.</p>			
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	<p>In an interview on 1/23/18 at 3:45 p.m., Staff M, CNA, she reported being responsible to check and change patient throughout the shift, reposition patient with assist of 1 and gait belt (transfer assistance device) and assist resident to the dining room in wheelchair. Denied she routinely provided other cares for resident.</p> <p>In an interview on 1/24/18 at 1:32 p.m., Staff F, CNA reported the cares she provide for patient are assist out of bed in the morning, take to dining room by wheelchair, check and change, and assist to the bathroom if requested. Staff F denied providing any walks or exercises.</p> <p>In an interview on 1/24/18 at 3:15 p.m., Resident #5 stated he had not walked today.</p> <p>In an interview on 1/25/18 at 9:20 a.m., Staff K, RN stated he worked in several areas of the building and had not witnessed Resident #5 being walked with staff since he had been in current room 12/1/17.</p> <p>In an interview on 1/25/18 at 11:00 a.m., DON confirmed they do not have restorative program after discharge from therapy. The DON confirmed recommendations go on CNA task Kardex. She stated she expected staff to offer to walk resident and resident is not responsible to ask CNA's to walk them. She would expect the CNA to alert the charge nurse if a resident had refused/declined to participate in therapy recommendation. DON would expect RN to</p>			
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	document and follow up with resident that does not participate. <b>FACILITY RESPONSE:</b>			
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