

Iowa Department of Inspections and Appeals
Health Facilities Division
Citation

Citation Number: 6730		Date: December 29, 2017		
IOOF Home & Community Therapy Center		Survey Dates: December 11-13, 2017		
1037 19 TH Street, SW Mason City, Iowa 50401		DS		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date
56.6 +	481-56.6 (135C) Treble and double fines. 56.6(1) Treble fines for repeated violations. The director of the department of inspections and appeals shall treble the penalties specified in rule 481-56.3(135C) for any second or subsequent class I or class II violation occurring within any 12-month period, if a citation was issued for the same class I or class II violation occurring within that period and a penalty was assessed therefor.	I	\$9,000 (Trebled \$3,000 x3)	Upon Receipt
58.28(3)e	481—58.28 (135C) Safety. The licensee of a nursing facility shall be responsible for the provision and maintenance of a safe environment for residents and personnel. (III) 58.28(3) Resident safety. e. Each resident shall receive adequate supervision to ensure against hazards from self, others, or elements in the environment. (I,II, III) DESCRIPTION: Based on record review, observation and staff interviews, the facility failed to provide adequate supervision to Resident #4 to ensure against hazards from self or elements in the environment when wandered outside the secured memory care unit into the adjoining courtyard, unnoticed			

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	<p>by staff. The facility reported a census of 78 residents and the sample consisted of 7 residents.</p> <p>Findings including:</p> <p>According to the Care Plan, Resident #4 entered the facility on 11/13/13 and had diagnoses which included acute embolism/thrombus (blood clot in an artery) of the lower extremity, Parkinson's disease (neurological disorder), anxiety, depression, high blood pressure and dementia.</p> <p>Resident #4 had a Minimum Data Set (MDS) assessment with a reference date of 9/11/17. The MDS indicated Resident #4 was unable to complete the brief interview for mental status (BIMS) and had a problem with long term and short term memory problems and a severe impairment of cognition for decision making skills. The MDS assessment described Resident #4 as requiring extensive staff assistance with activities of daily living, including bed mobility, dressing, eating, toilet use and personal hygiene and bathing. Resident #4 was able to transfer and ambulate in the memory care unit with staff supervision.</p> <p>The Care Plan identified Resident #4 as able to</p>			

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	<p>transfer self and ambulate as desired in the memory care unit without an assistive device. The Care Plan identified the resident to be an elopement risk due to impaired safety awareness and a history of attempts to leave the facility unattended on 10/30/14. The interventions/tasks directed staff to implement the following:</p> <p>Disguise exits; exit doors with murals,</p> <p>Distract the resident from wandering by offering pleasant diversions, structured activities, food, conversation, television and book(s),</p> <p>Do not argue or get in her face, give the resident space,</p> <p>Keep traffic to a minimum; in and out of the memory care unit,</p> <p>The resident moved to the secured (locked) unit on 3/27/14,</p> <p>Do not block interior doors,</p> <p>Speak in a calm voice,</p> <p>Use courtyard if other areas are not successful.</p> <p>According to documentation in the Nurse's Notes dated 12/2/17 at 5:45 p.m., a nurse entered the memory care unit and stated Resident # 4 was</p>			

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	<p>observed sitting on the ground inside the locked courtyard area. Resident #4 was seated on her buttocks with her back against the building. The nurse assisted Resident #4 back into the building.</p> <p>According to the facility incident report Resident #4 walked into the building without difficulty. The report indicated Resident #4's hands were cold to touch and an assessment identified an abrasion to Resident #4's right shoulder blade, measuring 6 centimeters (cm) by 2.5 cm and a skin tear to Resident #4's left side rear scalp measured 1.3 cm by 0.3 cm with a scant amount of blood. The notation indicated staff applied an ice pack to the area, checked vital signs (temperature 96.6 (normal 98.6), pulse 79 (normal 60-100), blood pressure 145/67 and respirations 20 (normal 16-20). The nurse initiated neuro (neurological) checks as per facility protocol.</p> <p>On 12/12/17 at 2:09 p.m. Staff A (certified medical assistant) was interviewed and stated on the afternoon shift of 12/2/17, she heard Staff B state to Staff C that she (Staff B) was going on her thirty minute break. Staff A stated she observed Staff B go to the emergency door and exited the memory care unit. Staff A stated she had observed other staff using the emergency door to exit the memory care unit.</p>			

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	<p>Staff A stated she recalled during her orientation to the facility she was aware that certain doors were marked for "emergency exit" while other (secured) doors were for staff to routinely use utilizing their key fobs.</p> <p>On the afternoon of 12/2/17, Staff A described Resident #4 as in good mood and constantly wandering into other resident rooms. Staff A stated Resident #4 would go towards and stand by an exit door, but did not push on the door, nor did Resident #4 activate the door alarm.</p> <p>Staff A stated she was unaware that Resident #4 had exited the memory care unit until staff accompanied Resident #4 back into the unit. Staff A stated no alarms had sounded indicating a door had been opened.</p> <p>Staff A stated when Resident #4 returned inside the unit she assisted the nurse to complete a physical assessment. Staff A stated Resident #4's fingers and hands were cold, and her gait per usual. Staff A stated blankets were put around Resident A, but she tossed them off.</p> <p>On 12/12/17 at 4:41 p.m. Staff C (certified nursing assistant) was interviewed and stated she worked</p>			

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	<p>in the memory care unit the evening of 12/02/17. Staff C described Resident #4 as a wanderer, going into other resident rooms, and at times tried to follow staff from one unit to the next. Staff C stated on the evening of 12/2/17 (at about 4:45 p.m.), Staff B exited the memory care unit through the emergency door. Staff B returned about 6:30 p.m. Staff C stated she was unaware that Resident #4 had exited the building as she was getting residents ready for the evening meal and figured Resident #4 was in another resident room.</p> <p>On 12/13/17 at 7:46 a.m. Staff B (certified nursing assistant) was interviewed and stated she exited the memory care unit at about 4:40 p.m. on 12/2/17. Staff B stated she was not aware that Resident #4 was near her when she left the building. Staff B stated she was unaware that the door did not latch. She stated she went through the door and thought the door would shut.</p> <p>On 12/12/17 at 4:28 p.m. Staff D (licensed practical nurse) was interviewed and stated she became aware that Resident # 4 had exited the memory care unit when another staff member brought Resident #4 back into the unit. Staff D stated she was unaware that Staff B had left the unit for a break. Staff D stated she did not know how Resident #4 could exit the memory care unit,</p>			

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	<p>other than to follow someone out. She stated the only exit in the memory care unit is the emergency exit and it is not to be used (routinely).</p> <p>On 12/12/17 at 2:49 p.m. (licensed practical nurse), was interviewed and stated she left the facility on the evening of 12/2/17 through the east, back employee door. Staff E stated as she headed toward her car, she heard something but didn't see anything. Staff E stated she went to the enclosed courtyard and saw Resident #4 sitting on the ground. Staff E stated she covered Resident #4 with her own coat and ran back inside the facility to get help.</p> <p>On 12/12/17 at 9:00 a.m. the State Climatologist was contacted and stated the weather on 12/02/17, at the airport (nearest to the facility) at 5:18 p.m. was 37 degrees Fahrenheit. The winds were calm and the sky was clear.</p> <p>On 12/11/2017 at 3:51 p.m. the facility Administrator and Director of Nursing (DON) was interviewed and stated they had completed an investigation of this event. According to their interview as well as a written summary of the event, Staff B exited the memory care unit on 12/02/17 at approximately 4:40 p.m. Initially it was assumed Resident #4 followed Staff B out</p>			

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	<p>the door at that time. According to camera review, it was noted Resident #4 exited the memory care unit at 1710 (5:10 p.m.). Via the video camera staff could observe Resident #4 walked around the sidewalk within the courtyard. Resident #4 sat on the chairs and a bench in the courtyard. The resident's gait was steady and even. Resident #4 did not show any signs of distress, nor did she attempt to exit the gate on the opposite side of the courtyard. At 5:36 p.m. Staff E noticed Resident #4 sitting on the ground next to the building at which time Resident #4 started to get up, and Staff E assisted Resident #4 back into the building. The Administrator and Director of Nursing stated the resident wore gray pants, long sleeved sweater, socks and gripper socks. The resident did not have a coat, shoes or gloves.</p> <p>FACILITY RESPONSE:</p>			

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50.7(4)	<p>481-50.7(10A,135C) Additional notification. The director or the director's designee shall be notified within 24 hours, or the next business day, by the most expeditious means available (I,II,III)</p> <p>50.7(4) When a resident elopes from a facility. For the purposes of this subrule, "elopes" means when a resident who has impaired decision-making ability leaves the facility without the knowledge or authorization of staff.</p> <p>DESCRIPTION:</p> <p>Based on record review and staff interview, the facility failed to report an elopement to the Department of Inspections and Appeals as required for one resident that exited the facility without staff knowledge. (Resident #4)</p> <p>Findings include:</p> <p>The current Care Plan identified Resident #4 had</p>	II	\$500	Upon Receipt

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	<p>an admission date into the facility on 11/13/13. The Care Plan identified the resident had diagnoses that included acute embolism/thrombus (blood clot in an artery) of the lower extremity, Parkinson's disease (neurological disorder), anxiety and dementia.</p> <p>Resident #4 had a Minimum Data Set (MDS) assessment with a reference date of 9/11/17. The MDS indicated Resident #4 was unable to complete the brief interview for mental status (BIMS) and had a problem with long term and short term memory problems and a severe impairment of cognition for decision making skills. The MDS assessment described Resident #4 as requiring extensive staff assistance with activities of daily living, including bed mobility, dressing, eating, toilet use and personal hygiene and bathing. Resident #4 was able to transfer and ambulate in the memory care unit with staff supervision.</p> <p>The Care Plan identified the resident to be an elopement risk due to impaired safety awareness and a history of attempts to leave the facility unattended on 10/30/14. The interventions/tasks directed staff to implement the following:</p> <p>Disguise exits; exit doors with murals,</p>			

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	<p>Distract the resident from wandering by offering pleasant diversions, structured activities, food, conversation, television and book(s),</p> <p>Do not argue or get in her face, give the resident space,</p> <p>Keep traffic to a minimum; in and out of the memory care unit,</p> <p>The resident moved to the secured (locked) unit on 3/27/14,</p> <p>Do not block interior doors,</p> <p>Speak in a calm voice,</p> <p>Use courtyard if other areas are not successful.</p> <p>The Nurse's Notes dated 12/2/17 at 5:45 p.m., documented a nurse entered the memory care unit and stated the resident was observed sitting on the ground inside the locked courtyard area. The resident was seated on her buttocks with her back against the building. The nurse assisted the resident back into the building.</p> <p>The facility incident report documented the resident walked into the building without difficulty. The resident's hands were cold to touch and an assessment revealed an abrasion to the resident's right shoulder blade measuring 6</p>			

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	<p>centimeters (cm) by 2.5 cm and a skin tear to the residents left side rear the scalp measuring 1.3 cm by 0.3 cm with a scant amount of blood. The notation indicated staff applied an ice pack to the area, checked vital signs and initiated neuro (neurological) checks as per facility protocol.</p> <p>On 12/12/17 at 4:41 p.m. Staff C (certified nursing assistant) was interviewed and stated she worked in the memory care unit the evening of 12/02/17. Staff C described Resident #4 as a wanderer, going into other resident rooms, and at times tried to follow staff from one unit to the next. Staff C stated on the evening of 12/2/17 (at about 4:45 p.m.), Staff B exited the memory care unit through the emergency door. Staff B returned about 6:30 p.m. Staff C stated she was unaware that Resident #4 had exited the building as she was getting residents ready for the evening meal and figured Resident #4 was in another resident room.</p> <p>On 12/13/17 at 7:46 a.m. Staff B (certified nursing assistant) was interviewed and stated she exited the memory care unit at about 4:40 p.m. on 12/2/17. Staff B stated she was not aware that Resident #4 was near her when she left the building. Staff B stated she was unaware that the door did not latch. She stated she went through the door and thought the door would shut.</p>			

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	<p>During interview on 12/11/17 at 3:51 p.m., the Administrator and Director of Nursing stated they completed an investigation of the event and according to the interviews as well as the written summary of the events, Resident #4 exited the memory care unit at 5:10 p.m. per the video camera. At 5:36 p.m., Staff noticed the resident sitting on the ground next to the building at which time the resident started to get up, and staff assisted the resident back into the building. The facility determined the resident was in the enclosed courtyard for a short period of time, not near any danger and the weather was beautiful and the resident was appropriately dressed and there was no major injury therefore the incident was not reported. The Administrator and Director of Nursing stated the resident wore gray pants, long sleeved sweater, socks and gripper socks. The resident did not have a coat, shoes or gloves.</p> <p>On 12/12/17 at 9:00 a.m. the State Climatologist was contacted and stated the weather on 12/02/17, at the airport (nearest to the facility) at 5:18 p.m. was 37 degrees Fahrenheit. The winds were calm and the sky was clear.</p> <p>FACILITY RESPONSE:</p>			

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