

**Iowa Department of Inspections and Appeals**  
**Health Facilities Division**  
**Citation**

Citation Number: 6722		Fine amount reduced by 35% to \$1,950.00 on January 12, 2018 pursuant to Iowa Code Section 135C.43A		Date: December 14, 2017
Facility Name: Faith Hope and Charity		Survey Dates: November 27-29, 2017		
Facility Address/City/State/Zip 1815 West Milwaukee Street Storm Lake, IA. 50588		HL		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date
W249	<p>64.60(135C) 481-64.60(135C) Federal regulations adopted - conditions of participation. Regulations in 42 CFR Part 483, Subpart D, and Sections 410 to 480 effective October 3, 1988, are adopted by reference and incorporated as part of these rules. A copy of these regulations is available on request from the Health Facilities Division, Department of Inspections and Appeals, Lucas State Office Building, Des Moines, Iowa 50319.</p> <p>Classification of violations is I, II, and III, determined by the division using the provision in 481-Chapter 56, "Fining and Citations," to enforce a fine to cite a facility.</p> <p>This rule is intended to implement Iowa Code Section 135C.2(3).</p> <p><b>PROGRAM IMPLEMENTATION</b> CFR(S): 483.440 (D) (1)</p> <p>As soon as the interdisciplinary team has formulated a client's individual program plan, each client must receive a continuous active treatment program consisting of needed interventions and services in sufficient number and frequency to support the achievement of the objectives identified in the individual program plan.</p> <p><b>DESCRIPTION:</b> Based on observation, interview and record review the facility failed to ensure staff consistently followed client programs/supervision level according to the Individual</p>	I	\$3000.00	Upon Receipt

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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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	<p>Service Plan. This affected 1 of 1 client identified in investigation 72305-I. Finding follows:</p> <p>Record review on 11/27/17 revealed a self-reported incident occurred on 11/7/17. The Consumer Advocate Team Investigation described at approximately 5:15 p.m. Client #1 walked into another home's back door. Client #1 played outside in the fenced in area, climbed over the fence and walked into Faith home. Client #1's supervision level was for monitoring while outside every 2-3 minutes. Staff returned the client to his/her home with the responsible staff person feeding another client.</p> <p>Review of Client #1's face sheet revealed the client born on 12/31/2002 and admitted to Faith, Hope and Charity on 6/13/2016. The Individual Service Plan listed clients' diagnoses: Severe Intellectual Disabilities, Autism, Attention Deficit Hyperactivity Disorder and a Language Disorder.</p> <p>Record review Client #1's Individual Service Plan (ISP), dated 10/31/17. The ISP documented staff must have knowledge of Client #1's whereabouts at all times and further directed staff would have to monitor Client #1 through the living room when he/she was in the backyard. The ISP noted, "Trial: (Client #1) can be in the backyard ALONE (no other residents present) with staff checking every 2-3 minutes.</p> <p>Observation of the property on 11/27/17 revealed the facility with a fenced in area around each of the four</p>			

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	<p>homes. The fence of Client #1's home was approximately 75 feet from Highway 7 with heavy traffic. The speed limit on the highway was 50 miles per hour.</p> <p>Observation on 11/27/17 at 5:10 p.m. revealed 42 cars and 2 semi-trucks passed the building on the two way highway for a 5 minute period.</p> <p>When interviewed on 11/27/17 at 2:50 p.m. Direct Support Staff (DSS) A reported he was responsible for Client #1. He stated he knew Client #1 should be monitored every 2-3 minutes while outside. DSS A said he assisted another consumer to eat and did not check Client #1 for 10 - 15 minutes. By that time Client #1 climbed the fence and came in through Faith home. DSS A confirmed Client #1 would not be safe on the highway alone.</p> <p>When interview on 11/27/17 at 3:40 p.m. DSS B reported she sat in the living room of Faith home when Client #1 walked in. Client #1 was not upset; however had the potential for peer to peer aggression if not supervised by staff. Client #1 wore clothing appropriate for the weather.</p> <p>Record review of Client #1's Comprehensive Functional Assessment, completed 10/3/17, revealed staff would need to complete the task and be with the client to safely cross the street.</p> <p>According to Underground Weather the temperature on 11/7/17 was 37 degrees with no wind.</p>			

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	When interviewed on 11/28/17 at 3:00 p.m. the Director of Social Services confirmed DSS A failed to supervise Client #1 according to his/her level of supervision. However, the client's level of supervision was increased after this incident. She also confirmed Client #1 lacked the safety skills to cross the highway alone. <b>FACILITY RESPONSE:</b>			