

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6708		Fine amount reduced by 35% to \$325.00 on December 27, 2017 pursuant to Iowa Code Section 135C.43A	Date: December 11, 2017		
Hiawatha Care Center			Survey Dates: November 20-22,27-28, 2017		
405 North 15 th Ave. Hiawatha, Iowa 52233		DS			
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date	

50.9(4)	<p>481-50.9(135C) Criminal, dependent adult abuse, and child abuse record checks. 50.9((4) Validity of background check results. The results of a background check conducted pursuant to this rule shall be valid for a period of 30 calendar days from the date the results of the background check are received by the facility. (I, II, III).</p> <p>DESCRIPTION:</p> <p>Based on review of personnel files, staff interview and review of policy and procedures, the facility failed to conduct a criminal background and dependent adult and child abuse checks within a period of 30 days prior to hiring for Staff B and Staff C. The facility reported a census of 107 residents.</p> <p>Findings include:</p> <p>1. The personnel file for Staff B, Dietary Assistant, identified a hire date of 7/25/17. The file contained a document titled Single Contact License & Background Check (SING), dated 6/2/17. This check was performed longer than 30 days prior to the hiring of Staff B.</p> <p>Staff B's timecard identified she started 7/25/17 and remained an active employee.</p>	II	\$500	Upon Receipt	
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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p>2. The personnel file for Staff C, Licensed Practical Nurse (LPN), identified a hire date of 4/6/17. The file contained a document titled Single Contact License & Background Check (SING), dated 2/2/17. This check was performed longer than 30 days prior to hiring the employee.</p> <p>Staff C's timecard report identified she started 4/6/17 and remained an active employee.</p> <p>During an interview on 11/21/17, at 4:00 p.m. the Human Resource Director confirmed the SING for Staff B exceeded the required 30 day time frame. She also confirmed the first SING for Staff C exceeded the required 30 day time frame and the 2nd one occurred after Staff C started.</p> <p>The facility policy and procedures titled <u>Abuse Prevention, Identification, Investigation, and Reporting Policy</u>, dated 4/1/17, identified the facility will conduct an Iowa criminal record check and dependent adult/child abuse registry check on all prospective employees and other individuals engaged to provide services to residents, prior to hire.</p> <p>FACILITY RESPONSE:</p>				
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