

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6650		Date: September 15, 2017		
Facility Name: Oak Ridge House		Survey Dates: August 23-28, 2017		
Facility Address/City/State/Zip 2007 Raven Court Sioux City, IA. 51104		70097-I		
HL				
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

64.60(135C)	<p>481-64.60(135C) Federal regulations adopted - conditions of participation. Regulations in 42 CFR Part 483, Subpart D, and Sections 410 to 480 effective October 3, 1988, are adopted by reference and incorporated as part of these rules. A copy of these regulations is available on request from the Health Facilities Division, Department of Inspections and Appeals, Lucas State Office Building, Des Moines, Iowa 50319.</p> <p>Classification of violations is I, II, and III, determined by the division using the provision in 481-Chapter 56, Fining and Citations," to enforce a fine to cite a facility.</p> <p>This rule is intended to implement Iowa Code Section 135C.2(3).</p>	I	\$5000.00	Upon Receipt
W189	<p>483.430e(1) STAFF TRAINING PROGRAM The facility must provide each employee with initial and continuing training that enable the employee to perform his or her duties effectively, efficiently, and competently.</p> <p>DESCRIPTION: Based on interview and record review, the facility staff failed to ensure all staff adequately and competently implemented emergency procedures. Staff failed to immediately contact administration on call and/or emergency services during client elopement. This affected 1 of 1 client (Clients #1) identified in #70097-I. Findings follow:</p>			

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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	<p>Record review on 8/23/17 revealed an All Behavior Document, dated 8/2/17. According to the document, Client #1 became upset and left the home followed by Staff A. Staff A followed him/her on foot and eventually rode with Qualified Intellectual Disability Professional (QIDP) A. They followed in a vehicle until relieved by QIDP B who was also in a vehicle. QIDP B lost sight of Client #1. Client #1 was out of sight for approximately three hours. Client #1 received a ride from a stranger and went to Riverside. He/She hitched another ride to the Gospel Mission, where the client was located by police.</p> <p>Review of Client #1's Admission Record revealed the client, 28 years old, had diagnoses including: other specified intracranial injury without loss of consciousness, attention deficit hyperactivity disorder, unspecified mood (affective) disorder, borderline personality disorder and mild intellectual disabilities. Client #1 was admitted to Opportunities Unlimited on 2/4/16.</p> <p>Review of Client #1's Program Procedural Format (updated on 6/28/17) revealed the client utilized walking as a coping skill. The client was assigned a 1 to 1 staff for supervision due to history of elopement. The program incorporated the use of walking a safe route-including Glen Oaks Boulevard, Outer Belt Drive or North High School track.</p> <p>Record review revealed a "Mandatory meeting Agenda</p>			
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	<p>in regards to Elopements held 6/23/17." The document (signed by all staff) read: Protocol for losing sight of an individual (Immediately contact Residential on call, Administrator on call, Police Department).</p> <p>Record review of Missing Persons policy revised 2006 also directed staff to notify the Administrator on call who would then determine if the police department should be notified.</p> <p>Interview with QIDP B on 8/23/17 at 3:30 p.m. revealed she took over supervision of Client #1 from Staff A and QIDP A on Stone Park Boulevard. She talked with Client #1 who refused to return to the home. Client #1 proceeded to walk and as QIDP B attempted to pull on the road several vehicles went by. When QIDP B entered the road way she lost sight of Client #1. She searched the area for approximately 10 minutes and then called another QIDP and office personnel. She said the Program Director arrived and the police were called. The police department put out alerts, helicopters and drones to search for the client. Eventually, Client #1 was located at the Gospel Mission and the police and staff found him/her there.</p> <p>Review of Sioux City Police Department records noted a report completed on 8/2/17 which described police looking for Client #1 after the client took off walking. The client was located several hours later at the mission.</p> <p>Observation of the area on 8/23/17 revealed</p>			
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	<p>Chambers Street, 27th Street, Stone Park Boulevard were two lane paved roads with a 30 mile per hour (mph) speed limits. The road eventually forked off to gravel roads which were occasionally lined with trees/bushes. The distance was 5.4 miles according to the odometer.</p> <p>According to Weather Underground the temperature on 8/2/17 at 1:52 p.m. was 84.9 degrees Fahrenheit without precipitation.</p> <p>When interviewed on 8/24/17 at 1:30 p.m. the Program Director explained when she arrived at the scene Client #1 had last been seen about 1/2 hour after QIDP B lost sight. She learned of the elopement from a message left on her phone. She found out the police had not been contacted and did so immediately. She said valuable time was lost by not following the policy to notify the Administrator on call, and the police department. She confirmed the current policy for a missing person.</p> <p>FACILITY RESPONSE:</p>			
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