

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6619	Fine amount reduced by 35% to \$1,300.00 on September 5, 2017 pursuant to Iowa Code Section 135C.43A.	Date: August 23, 2017
Facility Name: Hillcrest Family Services (RCF)	Survey Dates: July 10-20, 2017	
Facility Address/City/State/Zip 1160 Seippel Road Dubuque, IA. 52002	HL	
Rule or Code Section	Nature of Violation	Class
		Fine Amount
		Correction date

57.34(3)c	481-57.34(135C) Safety. The licensee of a residential care facility shall be responsible for the provision and maintenance of a safe environment for residents and personnel. (I, II, III) 57.34(3) Resident safety. c. Residents shall receive adequate supervision to ensure against hazard from themselves, others, or elements in the environment. (I, II, III) DESCRIPTION: Based on interviews and record reviews, the facility failed to ensure 1 out of 8 residents reviewed received adequate supervision to ensure against hazard from themselves, others, or elements in the environment (Resident #1). Findings include: Resident #1 was court committed to the facility on 6/5/17 with diagnoses of schizophrenia, suicidal ideation, history of stroke, and hypertension. A review of Resident #1's incident reports for June 2017 revealed he/she eloped from the facility 9 out of 19 days (often times getting several miles away) regardless of techniques the facility utilized to prevent elopement. Resident #1 eloped on 6/7/17, 6/10/17, 6/11/17, 6/12/17, twice on 6/17/17, twice on 6/24/17 and 6/25/17. Resident #1's service plan dated 6/5/17 included a goal addressing elopement and was modified several times before being discharged to Hillcrest's PMI (Persons with Mental Illness) facility.	I	\$2000.00	Upon Receipt
------------------	---	----------	------------------	---------------------

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6619	Fine amount reduced by 35% to \$1,300.00 on September 5, 2017 pursuant to Iowa Code Section 135C.43A.	Date: August 23, 2017
Facility Name: Hillcrest Family Services (RCF)	Survey Dates: July 10-20, 2017	
Facility Address/City/State/Zip 1160 Seippel Road Dubuque, IA. 52002	HL	
Rule or Code Section	Nature of Violation	Class
		Fine Amount
		Correction date

	A review of Resident #1's head check documentation sheets revealed the facility failed to increase Resident #1's supervision levels following 5 of the elopements even though the previous supervision level was not sufficient. The following was revealed: - On 6/11/17, Resident #1 was on 15 minute head checks. He/she was found several miles away. Resident #1 was placed back on the 15 minute checks upon returning from the elopement. - On 6/12/17, Resident #1 was on 15 minute head checks. He/she was found several miles away. Resident #1 was placed back on the 15 minute checks upon returning from the elopement and was still allowed to be outside on the facility grounds for several hours. - On 6/17/17 after eloping and being returned, Resident #1 eloped a 2nd time approximately 2 hours later while being on 15 minute checks. Resident #1 was placed back on 15 minute checks after the 2nd elopement. - On 6/24/17 after eloping and being returned, Resident #1 eloped a 2nd time approximately 2 hours later while being on 15 minute checks. Resident #1 was placed back on 15 minute checks after the 2nd elopement. - On 6/25/17, Resident #1 was on 15 minute head checks. He/she was found several miles away several hours later. Resident #1 was placed back on the 15 minute checks upon returning from the elopement.			
--	---	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6619	Fine amount reduced by 35% to \$1,300.00 on September 5, 2017 pursuant to Iowa Code Section 135C.43A.	Date: August 23, 2017		
Facility Name: Hillcrest Family Services (RCF)		Survey Dates: July 10-20, 2017		
Facility Address/City/State/Zip 1160 Seippel Road Dubuque, IA. 52002	HL			
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

	On 7/18/17 at 11:20 AM, Staff E stated the facility generally only used 1:1 staff supervision for more intense situations or safety concerns such as someone who had suicidal ideation. On 7/18/17 at 10:35 AM, the Administrator confirmed the amount of elopements Resident #1 had within a month's time and confirmed the lack of increased supervision attempts after 5 of the elopement incidents. FACILITY RESPONSE:			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).