

PRINTED: 08/24/2017
FORM APPROVED
OMB NO. 0938-0391

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE TITLE (X6) DATE
OK PCarsheeden 8/29/17

FORM CMS-2567(02-99) Previous Versions Obsolete Event ID: 9KOF11 Facility ID: IA0860 If continuation sheet Page 1 of 3

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

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STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 165295	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED 08/10/2017
NAME OF PROVIDER OR SUPPLIER MONTEZUMA SPECIALTY CARE			STREET ADDRESS, CITY, STATE, ZIP CODE 316 MEADOW LANE DRIVE PO BOX 790 MONTEZUMA, IA 50171		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE	
F 226	Continued From page 1 (c)(2) Procedures for reporting incidents of abuse, neglect, exploitation, or the misappropriation of resident property (c)(3) Dementia management and resident abuse prevention. This REQUIREMENT is not met as evidenced by: Based on personnel file review, policy review and staff interview, the facility failed to obtain timely criminal and abuse background checks prior to hire for 4 of 7 staff new employees selected for review (Staff A, B, C and Staff D). The facility reported a census of 30 residents. Findings include: Record review of the facility's new hire list revealed Staff A Licensed Practical Nurse (LPN) was hired on 6/6/2017. Review of the Single Contact License and Background Check revealed the check was completed on 7/24/17. The facility failed to complete this before Staff A was hired on 6/6/17. Record review of the facility's new hire list revealed Staff B Cook was hired on 7/17/17. Review of the Single Contact License and Background Check revealed the check was completed on 7/20/17. The facility failed to complete this before Staff B was hired on 7/17/17. Record review of the facility's new hire list revealed Staff C Certified Nursing Assistant (CNA) was hired on 7/27/17. Review of the Single Contact License and Background Check revealed the check was completed on 8/8/17. The facility failed to complete this before Staff C	F 226			

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F 226	Continued From page 2 was hired on 7/27/17. Record review of the facility's new hire list revealed Staff D LPN was hired on 8/1/17. Review of the Single Contact License and Background Check revealed the check was completed on 8/8/17. The facility failed to complete this before Staff D was hired on 8/1/17. During a staff interview on 8/7/17 at 3:30 p.m. the Nurse Consultant stated they discovered they had not completed criminal background checks prior to staff being hired. They found the issue on 7/24/17 and immediately implemented an audit to ensure background checks were completed prior to hire. She indicated this was corrected on 7/26/17 but later admitted the audits were not being completed like they indicated they would. During a staff interview on 8/9/17 at 9:15 a.m. the Nurse Consultant and Administrator stated they have a new check list in place that will be reviewed and initialed by 2 staff members prior to employee's start date. Review of the Abuse Prevention Employee Screening Prior to Employment/New Conviction Criminal Record History policy with a date of December 2015 revealed the facility shall screen all potential employees for a history of abuse, neglect or mistreating residents. The facility shall conduct an Iowa Criminal record check and dependent adult/child abuse registry check on all prospective employees prior to hire.	F 226			

Montezuma Specialty Care
Survey Exit Date 08/10/2017

Preparation and/or execution of this plan of correction does not constitute admission or agreement by this provider of the truth of the facts alleged or conclusions set forth in the statement of deficiencies. The plan of Correction is prepared and/or executed solely because it is required by the provisions of federal and/or state law.

F225 – Newly hired employees at Montezuma Specialty Care will have all required background checks completed prior to hire date. Business office manager has been educated to this requirement. The facility will audit all potential hires prior to start date to assure background checks are completed and employee is eligible to work in facility. Ongoing monitoring will become part of facility QAPI process. This will be corrected by September 1st, 2017.