Citation Number: 6616 Facility Name: Penn Center		Fine amount reduced by 35%)	Date: August	22, 2017
			Survey I July 31,		
Facility Addres 2237 245 th Stre Delhi, IA 52223					
	,	HL			
Rule or Code Section	Natu	ure of Violation	Class	Fine Amount	Correction date

57.34(3)c <u>AND</u>	481-57.34(135C) Safety. The licensee of a residential care facility shall be responsible for the provision and maintenance of a safe environment for residents and personnel. (I, II, III)	I	\$2000.00	Upon Receipt
	57.34(3) Resident safety.			
	c. Residents shall receive adequate supervision to ensure against hazard from themselves, others, or elements in the environment. (I, II, III)			
	DESCRIPTION:			
	Based on interviews and record reviews, the facility failed to ensure 3 out of 3 residents reviewed were supervised to ensure against hazards from themselves, others or elements in the environment (Residents #1, #2, and #3). Findings include:			
	On 7/31/17 a review of facility incident reports revealed Resident #1, Resident #2 and Resident #3 eloped from the facility on 7/25/17 at approximately 6:00 PM. The incident report indicated all three residents walked away from the property and were picked up by someone driving a truck. Another resident informed the nurse he/she had witnessed the residents leaving. The three residents were dropped off in Delhi, Iowa (approximately 1.5 miles away). The nurse called 911, and dispatcher informed her there were 3 males/females in the city park that were loud and unruly. Resident #2 was found immediately in a bar in Delhi. Resident #2 became combative with deputies			

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Facility Administrator

Citation Number: 6616 Facility Name: Penn Center		Fine amount reduced by 35% to \$1,300.00 on September		Date: August	Date: August 22, 2017	
		6. 2017 pursuant to lowa	Survey I July 31,			
Facility Address/City/State/Zip 2237 245 th Street Delhi, IA 52223						
,		HL				
Rule or Code Section	Natur	e of Violation	Class	Fine Amount	Correction date	

and assaulted an officer. Resident #1 and Resident #3 hung out in the Delhi park for a short time, then went to a lake outside of town and swam. The two residents were seen walking on the highway outside of Delhi between 7:00 and 8:00 PM by an off-duty staff person. The residents were picked up and brought back to the facility. Upon return to the facility, Resident #1 started yelling/swearing and threw a chair. Resident #1 and Resident #2 were taken to jail overnight. Resident #3 slept at the facility. Resident #1 was admitted to the facility on 6/14/17. Resident #1 had eloped at a previous placement but had no issues since admission to the facility. According to head check documentation and an interview with the Assistant Administrator on 8/1/17 at 10:00 AM Resident #1 returned from jail on 7/26/17. Resident #1 was placed back on normal hourly checks and did not receive any increased supervision. On 7/29/17, Resident #1 eloped from the facility a second time according to an incident report reviewed on 7/31/17. Resident #1 had not returned to the facility as of 8/1/17 and according to the Assistant Administrator on 8/1/17 at 10:00 AM, his/her whereabouts were unknown. According to head check sheet documentation, Resident #1 was on normal 1 hour checks at the time of the elopement. On 8/1/17 at 11:30 AM, Staff I stated she last saw Resident #1 on 7/29/17 at 9:45 PM sitting outside at		

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Facility Administrator

Citation Numb 6616	er:	Fine amount reduced by 35% to \$1,300.00 on September			Date: August	22, 2017
Facility Name: Penn Center		6, 2017 pursuant to Iowa Code Section 135C.43A	Survey E July 31,			
Facility Addres 2237 245 th Stre Delhi, IA 52223						
Donn, # (02220		HL				
Rule or Code Section	Natur	e of Violation	Class	Fine A	Amount	Correction date
	Resident #1 on 7/29/17	Staff H stated she also last saw at 9:45 PM sitting outside at				
		nd yelling on their telephone.				
	10:00 PM and was not the leaving that Resident # phone at 9:45 PM, 15 m report. Staff G could n	Staff G stated she came in at told by 2nd shift staff who was 1 was upset and yelling on the ninutes prior to the shift change ot locate Resident #1 during ck after Resident #5 reported to				
		Resident #1 may have left the dent #5 could not find him/her.				
	Resident #2 had no price concerns according to a According to head check interview with the Assis 10:00 AM Resident #2 r	admission information. It documentation and an tant Administrator on 8/1/17 at returned from jail on 7/26/17. It back on normal hourly checks				
	Resident #3 had no pric concerns according to a According to head chec interview with the Assis 10:00 AM, Resident #3	admission information. It documentation and an tant Administrator on 8/1/17 at returned on the night of back on normal hourly checks				
		the Assistant Administrator ility's normal procedure to				

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Facility Administrator

er:	Fine amount reduced by 35%			Date: August	22, 2017
	6, 2017 pursuant to Iowa Code Section 135C.43A				
s/City/State/Zip et					
	HL				
Nature	e of Violation	Class	Fine A	Amount	Correction date
elopement. Staff failed after the incident on 7/2 On 8/1/17 at 10:00 AM, confirmed the above find <u>AND</u> 481-57.22(135C) Orient 57.22(3) Service plan. the administrator or the in conjunction with the responsible party, the any organization that or resident, shall develop and integrated service service plan shall be d to address the residen needs, such as activitive rehabilitation, activity, emotional, physical art c. The service plan shall delete goals and object changes or changes in shall occur within five and shall be conveyed outside the residential the resident, as well as	to make supervision increases 5/17. the Assistant Administrator dings. tation and service plan. Within 30 days of admission, he administrator's designee, e resident, the resident's interdisciplinary team, and works with or serves the b a written, individualized, e plan for the resident. The leveloped and implemented tt's priorities and assessed ies of daily living, and social, behavioral, and mental health. (I, II, III) ould be modified to add or ctives as the resident's needs ons related to service plan in the resident's condition working days of the change I to all individuals inside and care facility who work with is to the resident's				
	increase supervision aft elopement. Staff failed after the incident on 7/2 On 8/1/17 at 10:00 AM, confirmed the above find AND 481-57.22(135C) Orien 57.22(3) Service plan. the administrator or the in conjunction with the responsible party, the any organization that or resident, shall develop and integrated service service plan shall be of to address the resident needs, such as activitif rehabilitation, activity, emotional, physical ar c. The service plan shall be change. Communication changes or changes in shall occur within five and shall be conveyed outside the residential the resident, as well as	Fine amount reduced by 35% to \$1,300.00 on September 6, 2017 pursuant to Iowa Code Section 135C.43A ss/City/State/Zip et HL Nature of Violation increase supervision after any resident returns from an elopement. Staff failed to make supervision increases after the incident on 7/25/17. On 8/1/17 at 10:00 AM, the Assistant Administrator confirmed the above findings.	Fine amount reduced by 35% to \$1,300.00 on September 6, 2017 pursuant to Iowa Code Section 135C.43A Survey I July 31, s/City/State/Zip et HL Class Nature of Violation Class increase supervision after any resident returns from an elopement. Staff failed to make supervision increases after the incident on 7/25/17. On 8//17 at 10:00 AM, the Assistant Administrator confirmed the above findings. AND 481-57.22(135C) Orientation and service plan. 57.22(3) Service plan. Within 30 days of admission, the administrator or the administrator's designee, in conjunction with the resident, the resident's responsible party, the interdisciplinary team, and any organization that works with or serves the resident, shall develope a written, individualized, and integrated service plan for the resident. The service plan shall be developed and implemented to address the resident's priorities and assessed needs, such as activities of daily living, rehabilitation, activity, and social, behavioral, emotional, physical and mental health. (l, ll, lll) c. The service plan should be modified to add or delete goals and objectives as the resident's needs change. Communications related to service plan changes or changes in the resident's condition shall occur within five working days of the change and shall be conveyed to all individuals inside and outside the residential care facility who work with the resident, as well as to the resident's	Fine amount reduced by 35% to \$1,300.00 on September 6, 2017 pursuant to Iowa Code Section 135C.43A Survey Dates: July 31, 2017 s/City/State/Zip et HL Image: Survey Dates: July 31, 2017 increase supervision after any resident returns from an elopement. Staff failed to make supervision increases after the incident on 7/25/17. On 8/1/17 at 10:00 AM, the Assistant Administrator confirmed the above findings. Fine A 481-57.22(135C) Orientation and service plan. 57.22(3) Service plan. Within 30 days of admission, the administrator or the administrator's designee, in conjunction with the resident, the resident's responsible party, the interdisciplinary team, and any organization that works with or serves the resident, shall develop a written, individualized, and integrated service plan for the resident. The service plan shall be developed and implemented to address the resident's priorities and assessed needs, such as activities of daily living, rehabilitation, activity, and social, behavioral, emotional, physical and mental health. (I, II, III) c. The service plan should be modified to add or delete goals and objectives as the resident's needs change. 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Within 30 days of admission, the administrator or the administrator's designee, in conjunction with the resident, the resident's responsible party, the interdisciplinary team, and any organization that works with or serves the resident, shall develop a written, individualized, and integrated service plan for the resident. The service plan shall be developed and implemented to address the resident's priorities and assessed needs, such as activities of daily living, rehabilitation, activity, and social, behavioral, emotional, physical and mental health. (I, II, III) C. The service plan should be modified to add or delete goals and objectives as the resident's needs change. Communications related to service plan changes or changes in the resident's needs change cornewpet to all individuals inside and outside the resident care facility who work with the resident, as well as to the resident's

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Facility Administrator

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

Citation Numb 6616	er:	Fine amount reduced by 35%			Date: August	22, 2017
Facility Name: Penn Center		to \$1,300.00 on September 6, 2017 pursuant to Iowa Code Section 135C.43A	Survey Dates: July 31, 2017			
Facility Addres 2237 245 th Stre Delhi, IA 52223						
		HL				
Rule or Code Section	Nature	e of Violation	Class	Fine A	mount	Correction date
	failed to ensure 3 out of service plans amended needs (Residents #1, #2 On 7/31/17 a review of f Resident #1, Resident # the facility on 7/25/17 at incident report indicated away from the property someone driving a truck nurse he/she had witnes The three residents wer (approximately 1.5 miles and dispatcher informed males/females in the cit unruly. Resident #2 was Delhi. Resident #2 beca and assaulted an officer hung out in the Delhi pa a lake outside of town a were seen walking on th between 7:00 and 8:00 The residents were pick	Another resident informed the seed the residents leaving. e dropped off in Delhi, Iowa s away). The nurse called 911, d her there were 3 y park that were loud and found immediately in a bar in the combative with deputies r. Resident #1 and Resident #3 rk for a short time, then went to nd swam. The two residents he highway outside of Delhi PM by an off-duty staff person. ted up and brought back to the he facility, Resident #1 started ew a chair. ent #2 were taken to jail				
	Resident #1 had eloped	ed to the facility on 6/14/17. at a previous placement but nission. The service plan for				

Facility Administrator

Date

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Citation Number: 6616 Facility Name: Penn Center		Fine amount reduced by 35% to \$1,300.00 on September		Date: August	Date: August 22, 2017	
		6, 2017 pursuant to Iowa Code Section 135C.43A	Survey I July 31,			
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Resident #1 only identified the elopement at the previous placement. After the elopement on 7/25/17, the service plan was not amended to include elopement as a current concern or recent behavior for Resident #1. Resident #2 was admitted to the facility on 7/20/17. Resident #2 had no prior history of elopement concerns. After the elopement on 7/25/17, Resident #2's service plan was not amended to include elopement as a current concern or recent behavior for Resident #2. Resident #3 was admitted to the facility on 3/16/17. Resident #3 had no prior history of elopement concerns. After the elopement or recent behavior for Resident #2.		
 #3's service plan was not amended to include elopement as a current concern or recent behavior for Resident #3. On 8/1/17 at 1:40 PM, the Assistant Administrator confirmed the service plans were not updated to include elopement as a current concern or recent behavior. FACILITY RESPONSE: 		

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Facility Administrator

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		6, 2017 pursuant to Iowa Code Section 135C.43A	Survey I July 31,			
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Facility Administrator