

Iowa Department of Inspections and Appeals
Health Facilities Division
Citation

| Citation Number: 6563 | | Fine amount reduced by 35% to \$325.00 on July 3, 2017 pursuant to Iowa Code Section 135C.43A | | | Date: June 20, 2017 |
|--|---|--|-------|-------------|------------------------|
| Facility Name: Elmwood Care Centre | | Survey Dates: June 5-8, 2017 | | | |
| Facility Address/City/State/Zip 222 North 15 th Street Onawa, IA. 51040 | | | | | |
| Rule or Code Section | Nature of Violation | | Class | Fine Amount | Correction date |
| 58.11(3) | <p>481—58.11(135C) Personnel.</p> <p>58.11(3) <i>Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III)[ARC 0903C, IAB 8/7/13, effective 9/11/13]</p> | | II | \$500.00 | Upon Receipt |
| 50.9(3)b | <p>50.9(3) <i>Requirements for employer prior to employing an individual.</i> Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</p> <p>b. <i>Conducting a background check.</i> The facility may access the single contact repository (SING) to perform the required background check. If the SING is used, the facility shall submit the person's maiden name, if applicable, with the background check request. If the SING is not used, the facility must obtain a criminal history check from the department of public safety and a check of the child and dependent adult abuse registries from the department of human services. (I, II, III)</p> | | | | |

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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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| | <p>DESCRIPTION: Based on employee file review, policy review and staff interview, the facility failed to timely obtain criminal and abuse background check prior to hire for 1 of 5 employees. The facility identified a census of 46 residents.</p> <p>Findings include:</p> <ol style="list-style-type: none"> 1. Record review for the facility new hires since last survey revealed the Administrator's date of hire as 2/6/17. Review of the Administrator's employee file revealed a Single Contact License and Background Check for criminal and abuse completed on 6/5/17. The form showed no abuse or criminal history record for the Administrator. <p>An interview 6/7/17 at 9:00 a.m. with the Administrator revealed his/her unawareness of the criminal background check not completed by the corporate office upon hire. The Administrator reported the immediate need to have a background check completed on 6/5/17 and completed the process.</p> <p>The Abuse Prevention Program and Reporting Policy dated 4/17 identified employees would be screened and trained to prevent abuse. The policy revealed all potential employees would be screened prior to hire for a history of abuse, neglect, etc. and the screening consisted of criminal and abuse registry checks.</p> <p>FACILITY RESPONSE:</p> | | | |
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