

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6513		Date: April 27, 2017		
Facility Name: Embassy Healthcare Community		Survey Dates: March 23, 2017, April 10, 11, 12, and 13, 2017		
Facility Address/City/State/Zip 206 Port Neal Road Sergeant Bluffs, Iowa 51054		HL		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

135C. 44	135C.44 Treble fines for repeated violations. The penalties authorized by section 135C.36 shall be trebled for a second or subsequent class I or class II violation occurring within any twelve-month period if a citation was issued for the same class I or class II violation occurring within that period and a penalty was assessed therefor. [C77, 79, 81, §135C.44] 481—56.6 (135C) Treble and double fines.	I	\$15,000.00 Treble Fine (\$5,000.X3) Held in Suspension	Upon Receipt
56.6(1)	56.6(1) Treble fines for repeated violations. The director of the department of inspections and appeals shall treble the penalties specified in rule 481—56.3(135C) for any second or subsequent class I or class II violation occurring within any 12-month period, if a citation was issued for the same class I or class II violation occurring within that period and a penalty was assessed therefor.			
58.28(3)e	481- 58.28(3) Resident safety. e. Each resident shall receive adequate supervision to protect against hazards from self, others, or elements in the environment. (I, II, III) DESCRIPTION:			

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	<p>Based on clinical record review, facility policy review and interviews, the facility failed to provide adequate supervision to protect one (1) of eight (8) residents reviewed for falls (Resident #1). The facility identified a census of 46 residents.</p> <p>Finding include:</p> <p>1. The Minimum Data Set (MDS) dated 1/29/17 documented diagnoses that included aural vertigo, chronic obstructive pulmonary disease and hypertension for Resident #1. The MDS documented the resident required extensive assistance of one staff for completion of transfer and toileting. Resident #1 was not steady and only able to stabilize with staff assistance when: moving on/off the toilet, when moving from a seated to standing position, and during surface to surface transfer (transfer between chair and bed or wheelchair). Resident #1 Brief Interview of Mental Status (BIMS) revealed a score of 14 which indicated intact cognitive skills. Resident #1 utilized a wheelchair for mobility and had no falls in the previous 90 days.</p> <p>The Hospitalist History and Physical dated 9/15/16 documented the additional diagnoses of legal</p>			
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	<p>blindness in left eye which affects the resident's balance and a closed comminuted fracture of the shaft of the right femur after a fall in the facility on 9/15/16.</p> <p>The care plan problem initiated 2/2/17 identified the resident with impaired mobility due to a fracture and required assistance of 1 for transfers. The care plan identified staff should supervise Resident #1 at all time while in the restroom.</p> <p>The Incident report dated 2/20/17 at 18:45 (6:45 PM) documented the resident found sitting on the floor of the bathroom facing the toilet and leaning against the wall with the resident's left leg bent and under the resident's right leg which had a brace in place. The resident's left knee and thigh looked swollen and the resident experienced pain when the leg touched or moved. Staff called 911 and the resident transferred to the hospital after ambulance personnel administered morphine (a narcotic pain medication) to the resident.</p> <p>The Progress Notes entry dated 2/20/17 at 21:23 (9:23 PM) documented the resident admitted to the hospital for treatment of a left distal femur fracture.</p> <p>The Hospital History and Physical dated 2/20/17 at 11:55 p.m., revealed Resident #1 had hip pain after a</p>			
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	<p>fall at the nursing home and had been brought to the emergency room. Previous to this fall, Resident #1 had a right femur fracture that was surgically repaired and the resident had been in the nursing home for rehab and strengthening. The resident reported he/she is wheelchair bound. The resident denied any dizziness, lightheadedness or any chest pain. Upon the physician examination, the resident's left leg was in knee immobilizer and rated his/her pain at 7 out of 10 (ten being the highest); and his/her right leg in a brace. The resident reported receiving initial pain medication which helped, however now the pain resumed. The assessment revealed the resident had a closed fracture of the distal end of the left femur and a displaced peri-prosthetic fracture.</p> <p>A progress notes dated 2/27/17 at 10:52 p.m. revealed Resident #1 returned to the nursing home and had a surgical incision to his/her left hip with 38 staples and a bilateral knee braces. Resident #1 is non-weight bearing an up only 1 hour at time.</p> <p>A Witness Statement from Staff E dated 2/20/17 at 7:45 p.m. regarding the incident revealed Resident #1 had been hanging on to the bar in the bathroom after he/she got up from the toilet. The resident had been leaving to the left side and Staff E went to his/her back</p>			
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	<p>side then the resident went down. The resident reported he/she was tired from therapy.</p> <p>During interview on 3/24/17 at 11:59 AM, Staff F, certified nursing assistant(CNA) stated she had been assigned to train Staff E, CNA, when the incident occurred. She stated she assisted the resident's roommate and Staff E assisted Resident #1 in the bathroom. Staff F stated she did not use a gait belt to transfer residents, had never been issued one and no one educated her on how and when to use it. She felt she had not been trained properly and should have not been training new staff. Staff F stated she told the Administrator, Director of Nursing (DON) and the person in charge of scheduling staff about her concern with lack of training and no one did anything for her. Staff F stated she did not instruct Staff E to use a gait belt.</p> <p>Review of the personnel file for Staff F revealed the DON documented Staff E completed transfer belt competency on 1/26/17.</p> <p>During interview on 3/24/17 at 11:53 AM, Staff E stated Staff F assisted her to transfer Resident #1 to the toilet at the time of the incident. Staff E stated normally 1 staff would assist to transfer Resident #1. Staff E stated Staff F then assisted Resident #1's</p>			
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	<p>roommate while she stayed in the bathroom with Resident #1. Staff E stated she assisted the resident to pull of his/her pants and pivot to the wheelchair when the resident stated he/she's was going down. Staff E stated she thinks the resident's legs straddled the toilet but the resident's left leg looked bent in an abnormal way. Staff E stated she had ahold of Resident #1's shirt and pants from the back. Staff E stated she did not use a gait belt when transferring Resident #1 and did not know she should have used one, nor did she have one available. Staff E stated she never witnessed any other staff member use a gait belt unless state surveyors or corporate staff were present in the facility.</p> <p>She stated she had worked for 2 month as a personal assistant to residents prior to becoming certified and witnessed other staff transfer Resident #1 in the same manner.</p> <p>During interview on 4/11/17 at 10:40 a.m., Staff H, CNA, stated a gait belt is issued to staff upon hire and to use it anytime you have to provide hands-on assistance to residents for transfers or ambulation.</p> <p>During interview on 3/23/17 at 3:50 PM, the Administrator stated Staff F never told him about her perceived lack of training. If she had, he would have provided more training time for her. The Administrator</p>			
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	<p>stated Staff E self-terminated after the incident and Staff F had been terminated by the facility.</p> <p>The facility's Transfer-Ambulation With a Transfer Belt policy revised in August, 2015 directed the following: POLICY: It is the policy of this facility that all associated utilize a transfer (gait) belts with resident during transfers ambulation and gait training. PURPOSE: The gait belt provides a firm grasping surface for the staff person and protects the resident from accidental trauma to the skin. It gives the resident a sense of security as it is tightened. The belt also allows the staff person to gradually lower a resident to the floor (if necessary) without injuring self or the resident. The procedure included step by step instructions for staff including the following : place the gait belt around the resident's waist, properly tighten the belt, bring the resident to a standing position, grasp the belt to the resident's side while assisting him/her to stand. If ambulating, walk slightly behind and to one side of the resident while holding on to the [gait] belt. If the resident starts to fall, draw the resident close to your body using the gait belt and slowly lower the resident to the floor. FACILITY RESPONSE:</p>			
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