

Iowa Department of Inspections and Appeals
Health Facilities Division
Citation

Citation Number: 6508		Date: April 19, 2017		
Facility Name: Pleasant Manor Care Center		Fine amount reduced by 35% to \$325.00 on May 8, 2017 pursuant to Iowa Code Section 135C.43A		Survey Dates: April 10-13, 2017
Facility Address/City/State/Zip 413 North Broadway Street Mount Pleasant, IA 52641		HL		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date
58.11(3)	481—58.11(135C) Personnel. <i>58.11(3) Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III) [ARC 0903C, IAB 8/7/13, effective 9/11/13]	II	\$500.00	Upon Receipt
50.9(3)c	50.9(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state. <i>c. If a person being considered for employment has been convicted of a crime.</i> If a person being considered for employment in a facility has been convicted of a crime under a law of any state, the department of public safety shall notify the facility that upon the request of the facility the department of human services will perform an evaluation to			

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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p>determine whether the crime warrants prohibition of the person's employment in the facility. (I, II, III)</p> <p>DESCRIPTION:</p> <p>Based on employee files, interview, and policy review, the facility failed to obtain the required background check prior to employment for 1 of 5 employee files reviewed. The facility reported a census of 32 residents.</p> <p>Findings include:</p> <p>Review of the employee file for Staff B (Housekeeping) revealed a SING (Single Contact License and Background Check) completed on 5/2/16. Staff B had no abuse history identified on the SING but required further research of his/her criminal history.</p> <p>The facility received the results of Staff B's criminal record on 5/2/16 and requested an evaluation on 5/4/16 from the Department of Human Services (DHS). The facility new hire list revealed a hire date for Staff B on 5/4/16.</p> <p>On 5/9/16 the facility received the Record Check Evaluation results allowing Staff B to work at the facility (5 days after Staff B had been hired to work).</p> <p>During an interview on 4/12/17 at 10:00 a.m., the Administrator confirmed Staff B worked in the facility</p>			

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	<p>prior to the completion of the Record Check Evaluation. She did not have any further information regarding this as she was not employed at the facility at this time.</p> <p>During an interview on 4/12/17 at approximately 11:00 a.m., the Administrator stated she could not locate Staff B's criminal history record in the file.</p> <p>The facility policy and procedure "Abuse Prevention, Identification, Investigation, and Reporting", revised 4/5/17, stated the facility would conduct a criminal record check and dependent adult/child abuse registry check on all prospective employees and other individuals engaged to provide services to residents prior to hire.</p> <p>FACILITY RESPONSE:</p>			

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