

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Citation**

Citation Number: <b>6508</b>		Date: <b>April 19, 2017</b>
Facility Name:  <b>Pleasant Manor Care Center</b>	Fine amount reduced by 35% to \$325.00 on May 8, 2017 pursuant to Iowa Code Section 135C.43A	Survey Dates: <b>April 10-13, 2017</b>
Facility Address/City/State/Zip  <b>413 North Broadway Street Mount Pleasant, IA 52641</b>		
	HL	
<b>Rule or Code Section</b>	<b>Nature of Violation</b>	<b>Class</b>
		<b>Fine Amount</b>
		<b>Correction date</b>

<b>58.11(3)</b>	<b>481—58.11(135C) Personnel.</b> <b>58.11(3) <i>Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—</b> <b>50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III)</b> <b>[ARC 0903C, IAB 8/7/13, effective 9/11/13]</b>	<b>II</b>	<b>\$500.00</b>	<b>Upon Receipt</b>
<b>50.9(3)c</b>	<b>50.9(3) <i>Requirements for employer prior to employing an individual.</i> Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</b> <b><i>c. If a person being considered for employment has been convicted of a crime.</i> If a person being considered for employment in a facility has been convicted of a crime under a law of any state, the department of public safety shall notify the facility that upon the request of the facility the department of human services will perform an evaluation to</b>			

\_\_\_\_\_  
Facility Administrator

\_\_\_\_\_  
Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p><b>determine whether the crime warrants prohibition of the person's employment in the facility. (I, II, III)</b></p> <p><b>DESCRIPTION:</b></p> <p>Based on employee files, interview, and policy review, the facility failed to obtain the required background check prior to employment for 1 of 5 employee files reviewed. The facility reported a census of 32 residents.</p> <p>Findings include:</p> <p>Review of the employee file for Staff B (Housekeeping) revealed a SING (Single Contact License and Background Check) completed on 5/2/16. Staff B had no abuse history identified on the SING but required further research of his/her criminal history. The facility received the results of Staff B's criminal record on 5/2/16 and requested an evaluation on 5/4/16 from the Department of Human Services (DHS). The facility new hire list revealed a hire date for Staff B on 5/4/16.</p> <p>On 5/9/16 the facility received the Record Check Evaluation results allowing Staff B to work at the facility (5 days after Staff B had been hired to work).</p> <p>During an interview on 4/12/17 at 10:00 a.m., the Administrator confirmed Staff B worked in the facility</p>			
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	<p>prior to the completion of the Record Check Evaluation. She did not have any further information regarding this as she was not employed at the facility at this time.</p> <p>During an interview on 4/12/17 at approximately 11:00 a.m., the Administrator stated she could not locate Staff B's criminal history record in the file. The facility policy and procedure "Abuse Prevention, Identification, Investigation, and Reporting", revised 4/5/17, stated the facility would conduct a criminal record check and dependent adult/child abuse registry check on all prospective employees and other individuals engaged to provide services to residents prior to hire.</p> <p><b>FACILITY RESPONSE:</b></p>			
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