

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6487	Fine amount reduced by 35% to \$325.00 on March 31, 2017 pursuant to Iowa Code Section 135C.43A	Date: March 22, 2017		
Facility Name: Fort Dodge Health and Rehabilitation	Survey Dates: March 13-16, 2017			
Facility Address/City/State/Zip 728 14 th Ave North Fort Dodge, IA 50501				
	HL			
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

58.11(3)	58.11(3) <i>Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III) [ARC 0903C, IAB 8/7/13, effective 9/11/13]	II	\$500.00	Upon Receipt
50.(9)4	481-50.9(4) <i>Validity of background check results.</i> The results of a background check conducted pursuant to this rule shall be valid for a period of 30 calendar days from the date the results of the background check are received by the facility. (I, II, III) DESCRIPTION: Based on employee personnel records, facility policy and interview, the facility failed to comply with state regulations (Iowa Administrative Codes 481- 50.9(4) and 481-58.11(3) for screening employees prior to employment. The facility failed to obtain a valid employee background check for 1 of 5 new employees. The facility reported a current census of 43 residents. Findings include:			

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p>1. A review of Staff A's personnel file revealed a hire date of 11/4/16. On 9/22/16 the facility obtained a Single Contact and Background Check (SING) for criminal history and dependent adult abuse, which cleared Staff A, Housekeeper to work in the nursing home.</p> <p>A payroll time sheet dated 11/4/16, identified Staff A's first day of employment as 11/4/16.</p> <p>Staff A's employment did not begin within 30 days of the valid abuse/criminal background check results and instead 7 weeks or 49 days later.</p> <p>During interview on 3/14/17 at 8:05 a.m., the facility Administrator confirmed background checks and abuse history checks should be completed within 30 days of hire.</p> <p>The facility's Policy and Procedure titled Nursing Administration dated 11/28/116 instructed the facility to "properly" screen all employees for criminal and abuse prior to hire.</p> <p>FACILITY RESPONSE:</p>			
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