

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 6463		Date: January 23, 2017		
Facility Name: Oakwood Specialty Care		Survey Dates: December 28, 2016; January 4, 5, 9, 10, 2017 and February 13, 2017		
Facility Address/City/State/Zip 200 16th Avenue East Albia, IA 52531				
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

<u>135C. 44</u>	<p>135C.44 Treble fines for repeated violations. The penalties authorized by section 135C.36 shall be trebled for a second or subsequent class I or class II violation occurring within any twelve-month period if a citation was issued for the same class I or class II violation occurring within that period and a penalty was assessed therefor. [C77, 79, 81, §135C.44] 481—56.6 (135C) Treble and double fines.</p>	I	<p>\$6,000.00 Treble Fine (\$2,000.X3 Held in Suspension</p>	Upon Receipt
56.6(1)	<p>56.6(1) <i>Treble fines for repeated violations.</i> The director of the department of inspections and appeals shall treble the penalties specified in rule 481—56.3(135C) for any second or subsequent class I or class II violation occurring within any 12-month period, if a citation was issued for the same class I or class II violation occurring within that period and a penalty was assessed therefor.</p>			
58.28(3)e	<p>481- 58.28(3) <i>Resident safety.</i> e. Each resident shall receive adequate supervision to protect against hazards from self, others, or elements in the environment. (I, II, III)</p> <p>DESCRIPTION:</p> <p>Based on observation, record review and staff interviews, the facility failed to provide adequate supervision to mitigate the risk for accidents for one of eleven residents reviewed (Residents #8). The facility</p>			

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p>reported a census of 53 residents</p> <p>Findings include:</p> <p>According to the Minimum Data Set (MDS) assessment with assessment reference date of 10/19/16, Resident #8 had short and long term memory deficits and severely impaired cognitive abilities. Resident #8 required extensive assistance with transfers, dressing, toilet use and personal hygiene needs. Resident #8's diagnosis included schizophrenia, mild mental retardation and status post C4 neck fracture.</p> <p>Resident #8's plan of care indicated he/she experiences occasional pain related to a C4 neck fracture with approaches which include using a soft collar at all times.</p> <p>Incident/Accident/Unusual Occurrences Form dated 11/22/16 at 7:35 p.m. indicated Resident #8 fell to the floor while taking a shower. Staff G, certified nurse aide (CNA) present in the shower room, stated Resident #8 leaned forward in the shower chair and fell out hitting his/her left side. Left eye brow abrasion, left eye lid purple with swelling and left knee with two abrasions.</p> <p>Staff transferred the resident to the hospital.</p> <p>The Emergency Department physician report dated 11/22/16</p>			
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	<p>identified Resident #8 had a fall from the shower chair and had complained of knee pain and had left eye swelling just prior to arriving. The resident had pain and swelling on the left side of his/her face. The computed tomography (CT) cervical spine scan revealed no cervical spine acute injury.</p> <p>In an interview on 2/13/17 at 10:00 a.m. Staff G, certified nurse aide, stated on the evening of 11/22/16 she was giving Resident #8 a shower. She briefly went around a corner in the shower room to get a towel and as she returned within seconds, Resident #8 was leaning forward in the shower chair and falling out. Staff G attempted to slow the fall, but Resident #8 fell onto his/her face and knees. Staff G stated she used the blue call light to summon help. Staff G admitted she was not using the shower chair seat belt because she was told residents who are capable of sitting upright did not need a seat belt because it would be considered a restraint. Staff G stated she was told this by Staff H, who had trained her, but who no longer worked at the facility. Staff G also stated she had removed Resident #8's soft neck collar during the shower. Staff G stated she believed it was acceptable to remove the collar during a shower and to get it back on once the resident was dried off.</p> <p>In an interview on 2/13/17 at 11:23 a.m. Staff H, certified nurse aide, stated she had worked at the facility some time ago as a certified nurse aide and while there she was involved with training new certified</p>			
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	<p>nurse aides. Staff H stated she could not recall whether she specifically trained Staff G. Staff H stated those she did train were told to always use the shower chair seat belt, regardless of the resident's condition.</p> <p>In an interview on 1/10/17 at 3:16 p.m. Staff I, certified nurse aide, stated on the evening of 11/22/16 she responded to the shower room blue light and found Resident #8 on the floor. Staff I stated Staff G had not used the shower chair seat belt and the resident slid out and hit her head. Staff I could not recall whether she assisted Staff G with transferring Resident #8 into the shower chair prior to the shower. Staff I stated when giving a shower, she always uses the shower chair safety belt.</p> <p>In an interview on 1/9/17 at 3:20 p.m. the Director of Nursing (DON), stated on 11/22/16 Resident #8 fell from the shower chair while being showered. Staff G was giving the shower and had failed to place the shower chair seat belt around the resident. Resident #8 leaned forward and fell onto the floor. The DON stated Staff G also did not have Resident #8's soft collar on per his/her care plan, at the time of the fall. The DON stated she wrote Staff G up for failing to use the safety belt and for not following the care plan related to the soft neck collar. The DON stated there was no formal policy regarding the use of the shower chair seat belt, but it would be her expectation that the belt is always used.</p>			
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	<p>In an interview on 1/9/17 at 2:05 p.m. Staff J, certified nurse aide, stated when giving a resident a shower she always adheres to all safety expectations including the use of the shower chair seat belt.</p> <p>In an interview on 1/9/17 at 2:34 p.m. Staff K, certified nurse aide, stated she always uses the shower chair seat belt when showering a resident, but noted there was one resident (BR) who was too big to get the seat belt around.</p> <p>In an interview on 1/9/17 at 4:07 p.m. Staff L, certified nurse aide, stated she always uses the proper number of staff when transferring a resident and always uses the safety belts on the shower chair or whirlpool.</p> <p>In an interview on 1/9/17 at 4:11 p.m. Staff M, certified nurse aide, stated she always uses the safety belts when giving a resident a shower or whirlpool.</p> <p>In an interview on 1/9/17 at 4:39 p.m. Staff F, certified nurse aide, stated she always uses the safety belts when using the shower chair or whirlpool.</p> <p>FACILITY RESPONSE:</p>			
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