

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

FC#6411				
		Date: January 6, 2017		
Prairie View Home		Fine amount reduced by 35% to \$325.00 on January 17, 2017 pursuant to Iowa Code Section 135C.43A		Survey Dates: 12/19/16 – 12/22/16
610 Eastern St.				
Sanborn, IA 51248		JKM		
		Class	Fine Amount	Correction date
58.11(3)	<p>481—58.11(135C) Personnel.</p> <p><i>58.11(3) Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse. The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III) [ARC 0903C, IAB 8/7/13, effective 9/11/13]</i></p>	II	\$500	Upon Receipt
50.9(3)b	<p>481—50.9(135C) Criminal, dependent adult abuse, and child abuse record checks.</p> <p><i>50.9(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record Checks of the person in this state.</i></p> <p><i>b. Conducting a background check. The facility may access the single contact repository (SING) to perform the required background check. If the SING is used, the facility shall submit the person's maiden name, if applicable, with the background check request. If the SING is not used, the facility must obtain a criminal history check from the department of public safety and a check of the child and dependent adult abuse registries from the department of human services. (I, II, III)</i></p>			

Facility Administrator _____

Date _____

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or withdraw your request for formal hearing, and (2) pay the penalty, the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (Supp. 2009).

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DESCRIPTION:	<p>Based on record review and staff interview, the facility failed to obtain criminal background and abuse checks prior to hire for 1 of 6 employee records reviewed (Staff A). The facility reported a census of 67 residents.</p> <p>Findings include:</p> <p>1. An Application for Employment dated 03/14/16, identified Staff A applied for a maintenance position at the facility and was available for employment on 3/15/16.</p> <p>A list of employees hired from 2/6/16 to 12/5/16 revealed the facility hired Staff A on 3/14/16.</p> <p>A Single Contact License and Background Check (SING) showed Staff A's criminal and abuse registries background checks completed on 3/15/16.</p> <p>The undated facility Employee Screening Prior To Employment policy/procedure documented the facility needed to perform Iowa criminal background and dependent child/adult abuse registry checks prior to employment.</p> <p>On 12/20/16 at 2:20 p.m. the Human Resources Director was interviewed and stated Staff A had orientation on 3/14/16 and began working in maintenance on 3/15/16. At 2:45 p.m., the Human Resources Director presented Staff A's 3/14/16 time sheet that revealed Staff A worked 7.37 hours on 3/14/16.</p>			
FACILITY RESPONSE:				

Facility Administrator

Date

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