Number					ort Date
FC 6284				Oct	ober 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & September 2016		6 & September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
64.60	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as paregulations is avairacilities Division, Appeals, Lucas State 50319.  Classification of vicing the division using the division with the division using the state of th	rederal regulations adopted - ipation. Regulations in 42 CFR Part and Sections 410 to 480 effective are adopted by reference and at of these rules. A copy of these lable on request from the Health Department of Inspections and the Office Building, Des Moines, Iowa plations is I, II, and III, determined to the growing the provision in 481-Chapter 56, s," to enforce a fine to cite a		\$500.00	Upon Receipt
	This rule is intende 135C.2(3).	d to implement lowa Code Section			
W 302	483.450(d)(4) PHYSI A client placed in re restraint as quickly	estraint must be released from the			
	DESCRIPTION:				
	ensure clients releas client was calm and or or others. This affect	and record review, the facility failed to ed from restrains as soon as the no longer a danger to himself/herself ted 3 of 3 clients with use of 4-point client #2, #14 and Client #48).			
	behavior program to (decrease target beh	8/18/16 revealed Client #14's demonstrate appropriate behavior avior of aggression). Restrictive a use of 4-point restraints. The			

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Number FC 6284				Report	t Date er 7, 2016	
Facility Name Harmony House H Center	lealth Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A		Survey Dates August 15-22, 2016 & September 2016		
Facility Address 2950 West Shaulis Ro	oad		Survey			
City Waterloo, IA 50701		HL/CC				
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date	
above causii imme wrists authout #14 e concluremon provide The p Client time p be related behave Reviet had be Client Many even include a. Or the 4 identification of the 6 id	e, or attempted ng harm to other diate application and ankles for prization." The provery 15 minutes usion of the reserved from (Client de (him/her) with program and the staff would be period, with no include 4-point restroion program on the staff and the seen in 4-point restroion the restraint sleeping during ded, but were not a 7/18/16, Client point restraint. The field staff redirects special dring client #14's feen in his/her left were mained restraint restraint restraint open, on his/her left were point of the restraint staff redirects special dring his/her left were mained restraint rest behavior che open, on his/her left were point restraint	aggression with the intention of ers will be consequated with the in of 4 pt. (point) restraints to (his/her) a 2 hr. period following QIDP rogram said staff would monitor Client is during the 4-point restraints. "At the traint period, the restraints will be it #14's) wrist and ankles and staff will in any assistance (he/she) may have." written informed consent both stated in the 4-point restraints for a 2 hour information indicating the client could if calm. Additional record review raints were added to Client #14's 6/15/16.  Is restraint logs revealed the client estraints 31 times since 6/15/16.  Is restraints for 2 hours each time. logs noted Client #14 as calm or the 15 minute checks. Examples of limited to the following:  It #14 hit staff which required the use of The client's activity prior to the behavior ected the client from taking other inks and supplements. Staff restrained it and right/left wrist. Client #14 started arm around when staff attempted to rist. The restraint form identified Client ined from 11:30 a.m. to 1:30 p.m., and eck documented the client had his/her er left side incontinent of urine. Staff is behavior at the second and third 15				

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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	check, Client #14 har fifth check, Client # opened when the door At the 6 <sup>th</sup> check, the client Is his/her eyes. When s staff documented "so shower."  b. On 7/11/16, Clieswing at staff) whice restraint. The client's he/she had been get and wanted a belt. Savailable and staff as The restraint form sh from 8:48 a.m. to minute checks during behavior at the first on the left side. At asked "when are you calm with his/her eyminute checks, Clien open. At the 6 <sup>th</sup> check the 7 <sup>th</sup> check, the clithese things."  c. On 7/14/16, Clien open. At the behavior to stay awake so he documented Client # a.m. until 12:00 p.m. on his/her side, quie checks noted Client and the control of the client and the cl	sopen and quiet. The fourth 15 minute of been quiet, and falling asleep. At the 14 had been calm and his/her eyes or first opened. Elient had been calm and quiet. At the aid on his/her side and briefly opened taff removed the restraint at 1:30 p.m., illed him/her(self), got clothes and took ent #14 attempted to hit staff (took a ch required the use of the 4 point activity prior to the behavior identified ting ready [dressed] to go to breakfast Staff told the client there wasn't a belt ked Client #14 to go eat breakfast. howed Client #14 remained restrained 10:48 a.m., with staff completing 15 g this time. Staff identified Client #14's 15 minute check as resting, calm lying the 2 <sup>nd</sup> 15 minute check, Client #14 u going to get me [up]" and had been es open. At the third, fourth, fifth 15 t #14 remained calm with his/her eyes ck, the client stated, "I need help." At lent stated "I need help getting out of the stated "I need help getting out of the stated to hit staff and was traints as a result. The client's activity dentified he/she was prompted by staff she slept at night. The restraint form the stated to hit staff and was traints as a result. The client's activity dentified he/she was prompted by staff she slept at night. The restraint form the state of the state of the state of the staff and was traints as a result. The client stated by 4-points from 10:00. The first check noted Client #14 lying et with eyes open. The 2nd and 3rd the state of the st			

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Number FC 6284				Report	t Date er 7, 2016	
Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A		Survey Dates August 15-22, 2016 & September 2016		
Facility Address 2950 West Sha			Survey			
City Waterloo, IA 5	0701	HL/CC				
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date	
	to take a shower. Client #14 laid on his restraints were check laid on his/her side quarter of the laid on his/her side quarter of the laid on his/her side quarter of laid on his/her side quarter of laid on his/her side quarter of laid on the laid in the courtyard Client #14 saw anoth building and found on he/she walked a little want you to leave." coming out with a mand walked away, but with a mat around his complied with 4-poin client #14 remained 7:47 p.m. All seven with his/her eyes ope told staff, "I'm ready Client #14 gave a bride. On 7/15/16, Client from 1:01 p.m. to 3:0 identify the behavior as Client #14's activitindicated Client #14 restraints. The form second checks, Clier check, he/she laid cath fourth check, he/s get out now." Client	I lay quietly with eyes open and asked The 5th and 6th checks documented sher side and slept, waking when the ked. The 7th check noted Client #14 uietly with eyes open.  #14 attempted to hit staff and was traints as a result. The form the behavior, Client #14 asked staff to with him/her and was calm. When her group going to the Life Skills ut it wasn't his/her group's turn, a way from life skills, then told staff, "I The form indicated staff responded by at and Client #14 went out the gate at eventually walked into the building m/her. Initially reluctant, Client #14 trestraint application.  4-point restraints from 5:47 p.m. until checks noted Client #14 laid quietly en. During the 2nd check, Client #14 to get out." During the 4th check, ef, quiet high-pitched vocalization.  t #14 was placed in 4-point restraints of p.m. The restraint form failed to requiring 4-point restraint use, as well the typrior to the behavior. The form was compliant with application of the documented during the first and and the #14 laid quietly. During the 3rd almly and said, "wanna get out now." the laid calmly and said, "I'm ready to #14 laid quietly during the fifth check. Ek, he/she said "I'm ready to get out."				

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Facility Address 2950 West Sha			Survey			
City Waterloo, IA 50	0701	HL/CC				
Rule or Code Section		ature of Violation	Class	Fine Amount	Correction Date	
	I'll behave." At seven out."	th check, he/she said, "I want to get				
	Client #14 "laying que the client laying on the could get up, to whice fifth checks noted the quiet; the sixth and so g. On 8/09/16, During on his/her side, calmes said he/she did not we second, third, fourth, laid quietly.  h. On 7/30/16, during and seventh 15 minus bed.  2. Record review on behavior plan to reduce included the use of 4 Client #48 would remember maximum of 2 hours. The program did not when or if Client #48 to the 2 hour maximum added to the program. Review of restrain logs for 4 revealed Client #48 to the 2 restraint logs for 4 revealed Client #48 to the 2 filest than the second	st and second 15 minute checks noted lietly on bed;" the third check noted he bed calm and asking if he/she he he/she was told no; the fourth and eclient laying on the bed, calm and eventh checks noted the client slept.  In any first 15 minute check Client #14 laid and quiet; during second check client want to eat breakfast; during first, fifth, sixth and seventh check client want to eat breakfast; during first, fifth, sixth and seventh check client want to eat breakfast; during first, fifth, sixth and seventh check client want to eat breakfast; during first, fifth, sixth and seventh check client want to eat breakfast; during first, second, third, fourth, fifth, sixth the check Client #14 laid quietly on want to eat breakfast; during first, sixth and seventh check client want to eat breakfast; during first, fifth, sixth and seventh check client want to eat breakfast; during first, sixth and seventh check client want to eat breakfast; during first, fifth, sixth and seventh check client want to eat breakfast; during first, sixth and seventh check client want to eat breakfast; during first, fifth, sixth and quietly on want to eat breakfast; during first, sixth and quietly on want to eat breakfast; during first, sixth and quietly on want to eat breakfast; during first, sixth and quietly on want to eat breakfast; during first, sixth and quietly on want to eat breakfast; during first, sixth and quietly on want to eat breakfast; during first, sixth and quietly on want to eat breakfast; during first, sixth and quietly on want to eat breakfast; during first, sixth and quietly laid and quietly on want to eat breakfast; during first, sixth and quietly laid and quietly lai				

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Facility Addres 2950 West Sha			Survey			
City Waterloo, IA 50	0701	HL/CC				
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date	
	a. On 7/13/16, Client 4:05 p.m. The restrator which the restraint also failed to identify in 4-point restraints. second, third, fourth, During the sixth chimself/herself from check, he/she was reupon release the rephe/she could only habecause dinner was about the could be cou	directed back to the restraint. sident asked for dinner and was told ave a Breeze (nutritional supplement),				
	asked "what are you blood on the clien fingernail. Staff notific Client#48 sat up in bon me." During the s #48 laid on his/her bit 8:25 p.m., the 7 <sup>th</sup> ch when he/she asked removal of the restrain snack and sat at the state of the control of the control of the restrain snack and sat at the state of the control of the restrain snack and sat at the state of the control of the restrain snack and sat at the state of the control of the restrain snack and sat at the state of the control	doing?" There was a small amount of it's left finger from picking his/her ed the nursing staff. At the fifth check, ed and said, "It's not nice to put these ixth behavior check at 8:10 p.m., Client ack, appeared calm, and also quiet. At eck, the client remained quiet except staff what they were doing. Upon nts at 8:40 p.m., Client #48 asked for a				

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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation		Fine Amount	Correction Date
	staff. The restraint for 9:05 p.m. to 11:05 checks during this tin 15 minute checks, staffth, sixth and 7 <sup>th</sup> 15 #48 asleep.  d. On 8/14/1, the firmand quiet; "quiet"; the third, for noted Client #48 sle awake and talking.  e. On 8/11/16, the firmated Client #48 "quichecks noted the client documented the client f. On 8/07/16, the firmated client asked for the ribecause it hurt, so staff fourth checks noted sixth, and seventh chappeared to sleep.  g. On 7/30/16, the firmand sixth check noted the staff," the seventh chappeared to sleep.	st 15 minute check documented the ight wrist strap to be adjusted aff adjusted it; the second, third and the client "laying quietly"; the fifth, necks documented the client st, second, third, fourth, and fifth 15 client "laying calmly, quietly"; the e client "sitting up in bed, staring at neck noted the client laying quietly.			

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Facility Name Harmony House Health Care Center Facility Address 2950 West Shaulis Road  City Waterloo, IA 50701  Rule or Code Section  Acknowledged Client #48's behavior program for aggression did not provide any criteria for release from the 4-point restraints prior to the 2 hour maximum. She said Client #48 was always put into the 4-point restraints for 2 hours, regardless of showing signs of being calm.  3. Record review on 8/16/16 revealed Client #2's behavior plan to problem solve and decrease aggression, selfingurious behaviors, property destruction and environmental disruption. Methods included use of 4-point restraints. The program directed staff to conduct visual checks every 15 minutes and to release Client #2 was calm and not struggling against the restraints, with a maximum of 2 hour restraint. According to Client #2's Behavior Intervention History, 4-point restraints were
Harmony House Health Care lowa Code Section 135C.43A  Facility Address 2950 West Shaulis Road  Burvey  HL/CC  Rule or Code Section  Acknowledged Client #48's behavior program for aggression did not provide any criteria for release from the 4-point restraints prior to the 2 hour maximum. She said Client #48 was always put into the 4-point restraints for 2 hours, regardless of showing signs of being calm.  3. Record review on 8/16/16 revealed Client #2's behavior plan to problem solve and decrease aggression, selfinjurious behaviors, property destruction and environmental disruption. Methods included use of 4-point restraints. The program directed staff to conduct visual checks every 15 minutes and to release Client #2 from restraints after 1 hour and 15 minutes, if Client #2 was calm and not struggling against the restraints, with a maximum of 2 hour restraint. According to Client #2's
Center   Iowa Code Section 135C.43A   2016   Facility Address   2950 West Shaulis Road
Facility Address 2950 West Shaulis Road  City Waterloo, IA 50701  Rule or Code Section  Rule or Code 3
City Waterloo, IA 50701  Rule or Code Section  Acknowledged Client #48's behavior program for aggression did not provide any criteria for release from the 4-point restraints prior to the 2 hour maximum. She said Client #48 was always put into the 4-point restraints for 2 hours, regardless of showing signs of being calm.  3. Record review on 8/16/16 revealed Client #2's behavior plan to problem solve and decrease aggression, selfinjurious behaviors, property destruction and environmental disruption. Methods included use of 4-point restraints. The program directed staff to conduct visual checks every 15 minutes and to release Client #2 from restraints after 1 hour and 15 minutes, if Client #2 was calm and not struggling against the restraints, with a maximum of 2 hour restraint. According to Client #2's
Rule or Code Section  Rule or Code Section  Class Fine Amount Date  acknowledged Client #48's behavior program for aggression did not provide any criteria for release from the 4-point restraints prior to the 2 hour maximum. She said Client #48 was always put into the 4-point restraints for 2 hours, regardless of showing signs of being calm.  3. Record review on 8/16/16 revealed Client #2's behavior plan to problem solve and decrease aggression, self-injurious behaviors, property destruction and environmental disruption. Methods included use of 4-point restraints. The program directed staff to conduct visual checks every 15 minutes and to release Client #2 from restraints after 1 hour and 15 minutes, if Client #2 was calm and not struggling against the restraints, with a maximum of 2 hour restraint. According to Client #2's
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restraints after 1 hour and 15 minutes, if Client #2 was calm and not struggling against the restraints, with a maximum of 2 hour restraint. According to Client #2's
calm and not struggling against the restraints, with a maximum of 2 hour restraint. According to Client #2's
maximum of 2 hour restraint. According to Client #2's
Behavior Intervention History 4-point restraints were
· · ·
added to his/her behavior program on 5/9/11. The length
of time in 4-point restraints was increased to 2 hours on 4/17/13.
Further review revealed Client #2 had eight restraint logs
for 4-point restraints between 6/07/16 to 8/08/16. On six
of the eight restraint logs, the 15 minute checks indicated  Client #2 was not calm prior during the majority of the
checks, but was released when calm after the 1 hour and
15 minute time period. Two of the restraint logs revealed
Client #2 appeared calm during the 1 hour and 15 minute
time period, but was not released.
a. Record review on 8/18/16 revealed Client #2's restraint
use form dated 7/25/16. The form noted staff placed
Client #2 in 4-point restraints at 7:45 p.m. and conducted
visual checks every 15 minutes until 9:00 p.m.  Documentation of Client #2's behavior at 8:45 p.m. noted

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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section		ature of Violation	Class	Fine Amount	Correction Date
Section	9:00 p.m. read "Quie indicated.  b. Review of a restra staff placed Client #2 Staff documentation his/her side, quiet an "had nails clipped" at and final documentate.  When interviewed or Coordinator/Qualified (PC/QIDP) stated Clifor 1 hour and 15 mir calmed and/or cease.  The above findings is Immediate Jeopardy failure to ensure the #14, and #48 and the	restraints." Further documentation at t" and finally "Released" with no time int use form dated 7/28/16 revealed in 4-point restraints at 4:45 p.m. noted: 5:30 p.m. he/she rested on d "playing" with straps; 5:45 p.m. nd apologized to staff; 6:00 p.m. calm, tion stated "out" with no time noted.  18/18/17 at 9:55 a.m., the Program d Intellectual Disability Professional tent #2 remained in 4-point restraints nutes regardless of when he/she and maladaptive behavior(s).  18/18/16 based on the facility's safety and protection of the Client #2, eir civil and human rights. The was abated on 8/18/16 at 4:50 p.m.		Amount	Date

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City Waterloo, IA 56		HL/CC			
Rule or Code Section	N	l lature of Violation	Class	Fine Amount	Correction Date
64.60	483, Subpart D, a October 3, 1988, incorporated as paregulations is ava Facilities Division, Appeals, Lucas Sta 50319.  Classification of violety the division usin Fining and Citation facility. This rule is intended 135C.2(3).	sipation. Regulations in 42 CFR Part and Sections 410 to 480 effective are adopted by reference and art of these rules. A copy of these ilable on request from the Health Department of Inspections and the Office Building, Des Moines, Iowa Dations is I, II, and III, determined the provision in 481-Chapter 56, s," to enforce a fine to cite and to implement Iowa Code Section	II	\$500.00	Upon Receipt
W127	The facility must er Therefore, the facil subjected to physic abuse or punishme DESCRIPTION:  Based on interview a ensure the developm systems to identify a of clients. The facility were free from threa health, specifically rebehavior management with disregard for the clients served. This	and record review, the facility failed to nent and implementation of adequate nd prevent abuse and/or mistreatment y failed to proactively assure clients to their physical and psychological egarding the use of restrictive/intrusive ant techniques. The facility utilized ant techniques in a punitive manner we welfare and civil and human rights of affected 3 of 3 clients (Client #2, #14, ridual program plans included the use			

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Number FC 6284  Facility Name Harmony House Health Care Center  Facility Address 2950 West Shaulis Road  Report Date October 7, 201  Survey Dates August 15-22, 2016 & Septem 2016  Survey  Survey	nber 6,
Harmony House Health Care Center On December 6, 2016 pursuant to Iowa Code Section 135C.43A August 15-22, 2016 & Septem 2016  Facility Address 2950 West Shaulis Road Survey	
CenterIowa Code Section 135C.43A2016Facility Address 2950 West Shaulis RoadSurvey	
2950 West Shaulis Road	tion
	tion
City Waterloo, IA 50701	tion
Rule or Code Section Nature of Violation Class Fine Correc Amount Date	
Findings follow:	
Record review on 8/18/16 revealed Client #14's  behavior program to demonstrate appropriate behavior	
(decrease target behavior of aggression). For first and	
second shift, the program directed, "Any aggressive	
incidents as listed above, or attempted aggression with the	
intention of causing harm to others will be consequated	
with the immediate application of 4 pt. (point) restraints to (his/her) wrists and ankles for a 2 hr. period following	
QIDP authorization." The program said staff would monitor	
Client #14 every 15 minutes during the 4-point restraints.	
"At the conclusion of the restraint period, the restraints will	
be removed from (Client #14's) wrist and ankles and staff	
will provide (him/her) with any needs (he/she) may have."  The program and the written informed consent both stated	
The program and the written informed consent both stated  Client #14 would be in the 4-point restraints for a 2 hour	
time period, with no information indicating the client could	
be released sooner if calm. Additional record review	
revealed 4-point restraints were added to Client #14's	
behavior program on 6/15/16.	
Review of Client #14's restraint logs revealed the client	
had been in 4-point restraints 31 times since 6/15/16.	
Client #14 was in 4-point restraints for 2 hours each time.	
Many of the restraint logs noted Client #14 as calm or even sleeping during the 15 minute checks. Examples	
included, but were not limited to the following:	
a. On 7/18/16, Client #14 hit staff which required the use of the 4 point restraint. The client's activity prior to the behavior identified staff redirected the client from taking other residents special drinks and supplements. Staff restrained both Client #14's feet and right/left wrist. Client #14 started swinging his/her left arm around when staff attempted to	

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section		ature of Violation	Class	Fine Amount	Correction Date
	#14 remained restrathe first behavior cheeyes open, on his/hidentified Client #14' minute check as eyes check, Client #14 ha fifth check, Client #14 ha fifth check, Client #0 opened when the doc At the 6th check, the client la his/her eyes. When staff documented "so shower."  b. On 7/11/16, Clieswing at staff) whirestraint. The client's he/she had been get and wanted a belt. Savailable and staff at The restraint form staff on the left side. At asked "when are you calm with his/her eyminute checks, Clien open. At the 6th check the 7th check, the clithese things."  c. On 7/14/16, Clieplaced in 4-point resprior to the behavior	rist. The restraint form identified Client ined from 11:30 a.m. to 1:30 p.m., and eck documented the client had his/her er left side incontinent of urine. Staff is behavior at the second and third 15 is open and quiet. The fourth 15 minute id been quiet, and falling asleep. At the 14 had been calm and his/her eyes or first opened. Client had been calm and quiet. At the aid on his/her side and briefly opened taff removed the restraint at 1:30 p.m., willed him/her(self), got clothes and took ent #14 attempted to hit staff (took a ch required the use of the 4 point activity prior to the behavior identified ting ready [dressed] to go to breakfast Staff told the client there wasn't a belt asked Client #14 to go eat breakfast. Howed Client #14 remained restrained 10:48 a.m., with staff completing 15 ig this time. Staff identified Client #14's 15 minute check as resting, calm lying the 2 <sup>nd</sup> 15 minute check, Client #14 u going to get me [up]" and had been es open. At the third, fourth, fifth 15 it #14 remained calm with his/her eyes ck, the client stated, "I need help." At itent stated "I need help getting out of ont #14 attempted to hit staff and was traints as a result. The client's activity identified he/she was prompted by staff /she slept at night. The restraint form			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	: Date er 7, 2016
Facility Name Harmony Hor Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date
	a.m. until 12:00 p.m. on his/her side, quie checks noted Client and awaking when the 4th check, Client #14 to take a shower. Client #14 laid on his restraints were checklaid on his/her side quied. On 8/1/16, Client placed in 4-point restraints were checklaid on his/her side quied. On 8/1/16, Client placed in 4-point restraints was anothe building and found on he/she walked a little want you to leave." coming out with a mand walked away, but with a mat around his complied with 4-point Client #14 remained 7:47 p.m. All seven with his/her eyes operated staff, "I'm ready Client #14 gave a bried indicated Client #14 restraints. The form second checks, Client services and checks	the first check noted Client #14 lying at with eyes open. The 2nd and 3rd #14 lying quietly on his/her side asleep he restraints were checked. During the lay quietly with eyes open and asked The 5th and 6th checks documented s/her side and slept, waking when the ked. The 7th check noted Client #14 uietly with eyes open.  #14 attempted to hit staff and was traints as a result. The form the behavior, Client #14 asked staff to with him/her and was calm. When her group going to the Life Skills ut it wasn't his/her group's turn, away from life skills, then told staff, "I The form indicated staff responded by at and Client #14 went out the gate at eventually walked into the building m/her. Initially reluctant, Client #14 trestraint application.  4-point restraints from 5:47 p.m. until checks noted Client #14 laid quietly en. During the 2nd check, Client #14 to get out." During the 4th check, ef, quiet, high-pitched vocalization.  t #14 was placed in 4-point restraints of p.m. The restraint form failed to requiring 4-point restraint use, as well the typrior to the behavior. The form was compliant with application of the documented during the first and almly and said, "wanna get out now."			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Facility Name Fine amount reduced to \$3,250.00 Harmony House Health Care On December 6, 2016 pursuant to August 15-22, 2016 & Septemb	
Center   On December 6, 2016 pursuant to August 15-22, 2016 & Septemb	ber 6,
Facility Address 2950 West Shaulis Road Survey	
City Waterloo, IA 50701	
Rule or Code Section Nature of Violation Class Fine Correction Date	ion
At fourth check, he/she laid calmly and said, "I'm ready to get out now." Client #14 laid quietly during the fifth check. During the sixth check, he/she said "I'm ready to get out. I'll behave." At seventh check, he/she said, "I want to get out."  f. On 8/9/16, Client #14 slept in bed. Staff prompted Client #14 to go to breakfast, and he/she attempted to hit them, resulting in application of 4-point restraints. The client complied with restraint application. During the first check, the document noted, "on left side, calm, quiet." During the 2nd check, Client #14 told staff, "I don't want to eat breakfast this morning." The 3rd, 4th, 5th, 6th, and 7th checks noted Client #14 laid quietly.  g. On 8/14/16, the document noted Client #14 got into restraints on his/her own, as he/she was "very calm." The first and second 15 minute checks noted Client #14 "laying quietly on bed;" the third check noted the client laying on the bed calm and asking if he/she could get up, to which he/she was told no; the fourth and fifth checks noted the client laying on the bed, calm and quiet; the sixth and seventh checks noted the client slept.  h. On 8/2/16 the document noted Client #14 "compliant in going into restraint." During the first check, Client #14 laid quietly with eyes open. During the second check, Client #14 laid quietly with eyes open. During the second check, Client #14 laid quietly with eyes open. During the first check, He/she laid with eyes open during the fifth and sixth checks, and woke during he seventh check. Documentation noted Client #14 prompted to go to lunch upon removal, which he/she declined to sleep instead.	

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	: Date er 7, 2016
Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey Da August 15 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 5	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	to intervention as "que fourth, fifth, sixth and laid quietly on bed."  2. Record review on behavior plan to redudirected Client #48 wupon first incident of The plan stated Client restraints for a maximevery 15 minutes. The information regarding released when calmy point restraint logs for a revealed Client #48 but two hours. Many of calm or even sleepint Examples included, la. On 7/13/16, Client 4:05 p.m. The restraint also failed to identify in 4-point restraints. second, third, fourth, During the sixth of himself/herself from check, he/she was resulted.	cument noted Client #14's response liet, calm." During first, second, third, it seventh 15 minute check Client #14  8/17/16 revealed Client #48's lice aggression. The program would be put into four-point restraints aggression or attempted aggression. In the 4-point mum of 2 hours, with visual checks he program did not include any given or if Client #48 could be prior to the 2 hour maximum. The 4-point restraints. All restraint logs had been in the 4-point restraints for the restraint logs noted Client #48 as given during the 15 minute checks. But were not limited to the following:  1. #48 was placed in 4-point restraints at laint form failed to identify the behavior of the client's activity prior to placement. The form documented during the first, and fifth checks Client #48 laid quietly. The form documented during the first, and fifth checks Client #48 laid quietly. The form documented during the seventh directed back to the restraint.  It was placed in 4-point restraints at laint form failed to identify the behavior of the client's activity prior to placement. The form documented during the first, and fifth checks Client #48 laid quietly. The form documented during the seventh directed back to the restraint.  It was placed in 4-point restraints at laint form failed to identify the behavior of the client's activity prior to placement. The form documented during the first, and fifth checks Client #48 worked to release the restraints. During the seventh directed back to the restraint.			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	: Date er 7, 2016
Facility Name Harmony Hor Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	because dinner was	over.			
	p.m. to 8:40 p.m., we during this time. Acc check at 6:55 p.m., check completed at and laid flat on his/h stated, "let me out, pasked "what are you blood on the clientingernail. Staff notific Client#48 sat up in bon me." During the set #48 laid on his/her be 8:25 p.m., the 7th checks and sat at the finance and offered a drink pulled staff's stethos staff. The restraint for 9:05 p.m. to 11:05 pecks during this tim 15 minute checks, staffth, sixth and 7th 15 #48 asleep.  d. On 8/14/16, the fill "calm and quiet;" the "quiet"; the third, four	it #48 remained restrained from 6:40 ith staff completing 15 minute checks cording to the restraint form, at the first second check at 7:10 p.m., and third 7:25 p.m., Client #48 remained quiet her back. At the 4 <sup>th</sup> check, Client #48 blease" and lifted his/her head up and doing?" There was a small amount of hit's left finger from picking his/her ed the nursing staff. At the fifth check, ed and said, "It's not nice to put these ixth behavior check at 8:10 p.m., Client ack, appeared calm, and also quiet. At eck, the client remained quiet except staff what they were doing. Upon nts at 8:40 p.m., Client #48 asked for a table.  at 9:05 p.m., Client #48 took his/her handed a snack. Staff told him/her no as snack time was over. Client #48 cope around staff's neck and then hit him showed Client #48 restrained from p.m., with staff completing 15 minute he. At the first, second, third and fourth aff documented Client #48 quiet. At the minute check, staff documented Client #48 second check noted the client rth, fifth and sixth 15 minute checks ot; the seventh check noted the client			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Repor Octob	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey D August 1 2016		September 6,
Facility Addres 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	awake and talking.				
	checks noted Client a	irst, second and third 15 minute #48 "quiet and calm;" the fourth and e client slept; the sixth and seventh the client as calm and quiet.			
	client asked for the ri because it hurt, so st fourth checks noted t	st 15 minute check documented the ght wrist strap to be adjusted aff adjusted it; the second, third and the client "laying quietly"; the fifth, necks documented the client			
	minute checks noted sixth check noted the	rst, second, third, fourth, and fifth 15 client "laying calmly, quietly"; the e client "sitting up in bed, staring at eck noted the client laying quietly.			
	h. On 7/21/16 Client on all 15 minute chec	#48 was noted to be quiet or asleep			
	acknowledged Client aggression did not po 4-point restraints pric Client #48 was alway	a 8/17/16 at 10:30 a.m. the PC/QIDP 4/48's behavior program for rovide any criteria for release from the or to the 2 hour maximum. She said as put into the 4-point restraints for 2 showing signs of being calm.			
	plan to problem solve injurious behaviors, p environmental disrup	8/16/16 revealed Client #2's behavior e and decrease aggression, self-property destruction and tion. The program identified the use upon the first incident of engaging in			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Hor Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	Qualified Intellectual Client #2 would be p least amount of staff bring the bed with the application. The proof blanket to cover the his/her bedroom. The visual checks every from restraints after was calm and not stream and not stream and maximum of 2 hours. According to Client #2 point restraints were 5/9/11. The length of increased to 2 hours. Further review reveat for 4-point restraints the eight restraint log Client #2 was not calculate time period. Client #2 appeared of time period, but was Record review on 8/1 use form dated 7/25/1 Client #2 in 4-point revisual checks every Documentation of Client, not pulling at	2's Behavior Intervention History, 4-added to his/her behavior program on f time in 4-point restraints was on 4/17/13.  led Client #2 had eight restraint logs between 6/7/16 to 8/8/16. On six of gs, the 15 minute checks indicated Im during the majority of the checks, en calm after the 1 hour and 15 Two of the restraint logs revealed calm during the 1 hour and 15 minute			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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Number				Repor	t Date
FC 6284				Octob	er 7, 2016
Facility Name Harmony Hor Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	indicated.				
	placed Client #2 in 4 documentation noted side, quiet and "playic clipped" and apologiz documentation stated.  When interviewed on Coordinator/Qualified (PC/QIDP) stated Clifor 1 hour and 15 min calmed and/or cease.  The above findings lead Immediate Jeopardy failure to ensure the #14, and #48 and the Immediate Jeopardy.  4. Record review revaluate Jeopardy.  5. Jeopardy.  6. Jeopardy.  7. Jeopardy.  6. Jeopardy.  7. Jeopardy.  7. Jeopardy.  8. Jeopardy.  8. Jeopardy.  8. Jeopardy.  9. Jeopardy.  10. Jeopardy.  11. Jeopardy.  12. Jeopardy.  13. Jeopardy.  14. Jeopardy.  15. Jeopardy.  16. Jeopardy.  16. Jeopardy.  17. Jeopardy.  18. Jeopardy.  18. Jeopardy.  18. Jeopardy.  19. Jeopardy.  10.	use form dated 7/28/16 revealed staff-point restraints at 4:45 p.m. Staff disciplent restraints at 4:45 p.m. Staff disciplent restraints at 4:45 p.m. Thad nails gred to staff; 6:00 p.m. calm, and final disciplent with no time noted.  18/18/17 at 9:55 a.m., the Program disciplent discip			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015). Page 19 of 65 Administrator

Date

Number				Repor	t Date
FC 6284					er 7, 2016
Facility Name		Fine amount reduced to \$3,250.00	Survey D	ates	
	use Health Care	On December 6, 2016 pursuant to lowa Code Section 135C.43A			September 6,
Facility Address 2950 West Sha			Survey		
City		HL/CC			
Waterloo, IA 50					
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	behavior program for not require help to the two consecutive more #48 became aggress Client #48 to the calmonic of the contour of the	17/18 revealed a written informed 8's emotional control program. sent, "Staff will keep the door closed a do not see the behavior and to give timulate from. Time in the calm room 15 minutes with a ceiling of 2 hours. It is to leave the room before 15 will remind (him/her) to remain a bit ain outside the door, listening for ents, etc."  18/17/16 at 12:00 p.m. DA B said staff Client #48 went into the Calm Room outside the door.  18/17/16 at 12:05 p.m. the inator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.			

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Number FC 6284				Repor	t Date er 7, 2016
					51 7, 2010
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey D August 1: 2016	ates 5-22, 2016 &	September 6,
Facility Addres 2950 West Sha	ss Iulis Road		Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (	2) withdraw
your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by t	:hirty–five
percent (35%) pursuant to Iowa Code section 135C.43A (2015).	
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Number FC 6284					rt Date per 7, 2016	
Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	August 1 2016			
Facility Addres 2950 West Sha			Survey			
City Waterloo, IA 50	0701	HL/CC				
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date	
64.60	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as paregulations is available Facilities Division, Appeals, Lucas Sta 50319.  Classification of violation to the division usin Fining and Citation facility.	rederal regulations adopted - ipation. Regulations in 42 CFR Part and Sections 410 to 480 effective are adopted by reference and rt of these rules. A copy of these ilable on request from the Health Department of Inspections and the Office Building, Des Moines, Iowa plations is I, II, and III, determined the provision in 481-Chapter 56, s," to enforce a fine to cite a d to implement Iowa Code Section	II	\$500.00	Upon Receipt	
W104		RING BODY y must exercise general policy, ing direction over the facility.				
	DESCRIPTION:					
	facility failed to provi- to ensure the safety rights of clients durin management technic	ns, interviews and record review, the de adequate oversight and direction and protection of civil and human g implementation of behavior ques. This potentially affected 50 of - Client #50) residing at the facility.				
	1. See W285, W295	, and W302 for additional information.				
	behavior program to (decrease target beh	8/18/16 revealed Client #14's demonstrate appropriate behavior avior of aggression). Restrictive e use of 4-point restraints. The				

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number				Repor	t Date
FC 6284				Octob	er 7, 2016
<b>Facility Name</b>		Fine amount reduced to \$3,250.00	Survey D		_
Harmony Hou Center	use Health Care	On December 6, 2016 pursuant to lowa Code Section 135C.43A	August 1: 2016	5-22, 2016 8	& September 6,
Facility Addres		1000 0000 00000 10001107	Survey		
2950 West Sha	ulis Road				
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	program directed, "A	ny aggressive incidents as listed			
	-	aggression with the intention of			
	_	ers will be consequated with the n of 4 pt. (point)restraints to (his/her)			
	• • •	a 2 hr. period following QIDP			
		rogram directed staff to monitor Client			
	#14 every 15 minute	s during the 4-point restraints. "At the			
		traint period, the restraints will be			
	· ·	t #14's) wrist and ankles and staff will hany needs (he/she) may have." The			
	• • • • • • • • • • • • • • • • • • • •	ten informed consent both indicated			
		in the 4-point restraints for a 2 hour			
	time period, with no i	nformation indicating the client could			
		f calm. Additional record review			
	-	raints were added to Client #14's			
	behavior program on	6/15/16.			
		's restraint logs revealed the client			
	·	estraints 31 times since 6/15/16.			
	·	logs noted Client #14 as calm or			
	•	the 15 minute checks.			
	, ,				
		8/17/16 revealed Client #48's uce aggression. Restrictive methods			
		-point restraints. The plan indicated			
		nain in the 4-point restraints for a			
	maximum of 2 hours	, with visual checks every 15 minutes.			
		include any information regarding			
		could be released when calm, prior			
	added to the program	um. The 4-point restraint had been on 5/31/16.			
	Review of restraint lo	ogs from 6/02/16 to 8/14/16 revealed			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	Date er 7, 2016
Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & September 6, 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 5	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	revealed Client #48 If two hours. Many of calm or even sleeping. When interviewed or acknowledged Client aggression did not put 4-point restraints price. Client #48 was alway hours, regardless of c. Record review on plan to problem solve injurious behaviors, purities after 1 hours calm and not strugglic maximum of 2 hour restraints after 1 hours calm and not strugglic maximum of 2 hours added to his/her behavior Intervention added to his/her behavior latervention added to his/her behavior time in 4-point restraints of the eight restraints of the eight restraint. Client #2 was not calbut was released wheminute time period.	A-point restraints. All restraint logs and been in the 4-point restraints for the restraint logs noted Client #48 as a g during the 15 minute checks.  A 8/17/16 at 10:30 a.m. the PC/QIDP at #48's behavior program for rovide any criteria for release from the port to the 2 hour maximum. She said as put into the 4-point restraints for 2 showing signs of being calm.  B/16/16 revealed Client #2's behavior and decrease aggression, self-property destruction and attion. Methods included use of 4-point are directed staff to conduct visual utes and to release Client #2 from a rand 15 minutes, if Client #2 was a restraint. According to Client #2's and History, 4-point restraints were avior program on 5/9/11. The length traints was increased to 2 hours on led Client #2 had eight restraint logs between 6/07/16 to 8/08/16. On six logs, the 15 minute checks indicated and during the majority of the checks, en calm after the 1 hour and 15. Two of the restraint logs revealed and during the 1 hour and 15 minute not released.			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	Coordinator/Qualified (PC/QIDP) stated Clifor 1 hour and 15 min calmed and/or ceases.  2. See W291 and Was. Observation of the p.m. revealed the Cawindow or peep hole not possible to see in room was approximate plaster or sheet rock of fluorescent lights was coverings. An uncountry of the waster of the second in the wall. Statincident.  Record review on 8/2 program for emotional require help to the qualification of the calm room the calm room staff of (Client #48) attempts will be reminded to fill (He/she) may remain	d Intellectual Disability Professional and Intellectual Calm Room and Information.  The Calm Room on 8/17/16 at 12:10 and Room had a wooden door with no and Intellectual Calm Room. The size of the attely 14 feet by 9 feet. The walls were are not the ceiling with thin plastic are on the ceiling with thin plastic and Intellectual Calman and In			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	: Date er 7, 2016
Facility Name Harmony Hou Center	ise Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Addres 2950 West Sha			Survey		
City Waterloo, IA 50	701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	consent for Client #4 According to the conto the room so others (Client #48) less to swill be a minimum of If (Client #48) attempminutes is up, staff will remmovements, statements When interviewed or shut the door when Cand then staff stood When interviewed or Prevocational Coord shut the door and precalm Room until the	17/18 revealed a written informed 8's emotional control program. sent, "Staff will keep the door closed is do not see the behavior and to give timulate from. Time in the calm room 15 minutes with a ceiling of 2 hours. Its to leave the room before 15 will remind (him/her) to remain a bit ain outside the door, listening for ents, etc."  18/17/16 at 12:00 p.m. DA B said staff Client #48 went into the Calm Room			
	need removed from a program directed if C behaviors (including others, biting, scratch attempted to aggress injurious behavior, pinazard, he/she would program directed stawith moving the client	an area of the center. Methods of the client #2 engaged in maladaptive but not limited to: hitting or kicking ning others, and throwing objects), as towards others, engaged in self-roperty destruction, or environmental do be moved to another room. The ff to utilize a mat as a barrier to assist at to the room. After 1 hour and 15 ould leave the room if calm and no			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	: Date er 7, 2016
Facility Name		Fine amount reduced to \$3,250.00	Survey D		
Center Hou	ise Health Care	On December 6, 2016 pursuant to lowa Code Section 135C.43A	August 1: 2016	5-22, 2016 8	September 6,
Facility Addres			Survey		
2950 West Sha	ulis Road				
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
		of maladaptive behavior. The client			
	=	m no longer than two hours. The			
		ff to leave the room one by one and or, which should be closed.			
	FACILITY RESPONS	DE:			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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Number FC 6284				Repor Octob	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1 2016		& September 6,
Facility Addres 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
64.60	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as paregulations is avairacilities Division, Appeals, Lucas Stato 50319.  Classification of violety the division using the second	Federal regulations adopted - ipation. Regulations in 42 CFR Part nd Sections 410 to 480 effective are adopted by reference and rt of these rules. A copy of these ilable on request from the Health Department of Inspections and the Office Building, Des Moines, Iowa plations is I, II, and III, determined the provision in 481-Chapter 56, s," to enforce a fine to cite a	=	\$500.00	Upon Receipt
W125	Therefore, the facilii individual clients to the facility, and as concluding the right due process.  DESCRIPTION: Based on observation facility failed to ensure evidenced by failure informed consents of reduction plans in plant and removal from residenced for the facility failed to ensure the facility failed to ensure evidenced by failure informed consents of the facility failed to ensure the	exercise their rights as clients of citizens of the United States, to file complaints, and the right to elient rights were protected as to consistently ensure current written obtained for restrictive measures, acce for restrictive measures utilized, straints as soon as possible. This le clients with restrictive measures			
	the sample (Client #	#41, and #48) and 2 clients added to 12 and #14). Findings follow: 8/18/16 revealed Client #14's			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & September 6 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 5	0701	HL/CC			
Rule or Code Section	N	ature of Violation		Fine Amount	Correction Date
	(decrease target bermethods included the programs stated, "Ar above, or attempted causing harm to othe immediate application wrists and ankles for authorization." The put 14 every 15 minute conclusion of the restremoved from (Clien provide (him/her) with The program and the indicated Client #14 2 hour time period, we could be released so revealed 4-point restrement behavior program or Review of Client #14 had been in 4-point restrement. Client #14 was in 4 put 15 time. Many restraint even asleep during 16 included, but were not a. On 7/18/16, Client the 4 point restraint. Identified staff rediresidents special drip both Client #14's fee swinging his/her left	estraint forms revealed the client restraints 31 times since 6/15/16. Soint restraints for two hours each logs noted Client #14 as calm or 5 minute checks. Examples			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & September 6		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	the first behavior che eyes open, on his/hidentified Client #14' minute check as eyes check, Client #14 ha fifth check, Client # opened when the dod At the 6th check, the client la his/her eyes. When s staff documented "so shower."  b. On 7/11/16, Clies wing at staff) whi restraint. The client's he/she had been get and wanted a belt. Savailable and staff a The restraint form sl from 8:48 a.m. to minute checks during behavior at the first on the left side. At asked "when are you calm with his/her ey minute checks, Clien open. At the 6th check the 7th check, the cl these things."  c. On 7/14/16, Clie placed in 4-point resprior to the behavior to stay awake so he	ined from 11:30 a.m. to 1:30 p.m., and eck documented the client had his/her er left side incontinent of urine. Staff is behavior at the second and third 15 is open and quiet. The fourth 15 minute id been quiet, and falling asleep. At the 14 had been calm and his/her eyes or first opened. Client had been calm and quiet. At the aid on his/her side and briefly opened taff removed the restraint at 1:30 p.m., illed (him/herself), got clothes and took ent #14 attempted to hit staff (took a ch required the use of the 4-point activity prior to the behavior identified ting ready [dressed] to go to breakfast asked Client #14 to go eat breakfast. Howed Client #14 remained restrained 10:48 a.m., with staff completing 15 in this time. Staff identified Client #14 is 15 minute check as resting, calm lying the 2 <sup>nd</sup> 15 minute check, Client #14 u going to get me [up]" and had been es open. At the third, fourth, fifth 15 it #14 remained calm with his/her eyes ck, the client stated, "I need help." At leent stated "I need help getting out of the was prompted by staff (she slept at night. The restraint form the staff and was traints as a result. The client's activity dentified he/she was prompted by staff (she slept at night. The restraint form the staff and by 4-points from 10:00			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	: Date er 7, 2016
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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	on his/her side, quie checks noted Client and waking when the 4th check, Client #14 to take a shower. Client #14 laid on his restraints were checklaid on his/her side quied. On 8/1/16, Client placed in 4-point restraints documented prior to walk in the courtyard Client #14 saw anoth building and found on he/she walked a little want you to leave." coming out with a mand walked away, but with a mat around his complied with 4-poin Client #14 remained until 7:47 p.m. All sequietly with his/her eclient #14 told staff, check, Client #14 ga vocalization.  e. On 8/9/16, Client Client #14 to go to be them, resulting in apclient complied with check, the document During the 2nd check.	The first check noted Client #14 lying et with eyes open. The 2nd and 3rd #14 lying quietly on his/her side asleep erestraints were checked. During the lay quietly with eyes open and asked The 5th and 6th checks documented s/her side and slept, waking when the ked. The 7th check noted Client #14 uietly with eyes open.  #14 attempted to hit staff and was traints as a result. The form the behavior, Client #14 asked staff to with him/her and was calm. When her group going to the Life Skills ut it wasn't his/her group's turn, way from life skills, then told staff, "I The form indicated staff responded by at and Client #14 went out the gate at eventually walked into the building m/her. Initially reluctant, Client #14 trestraint application.  in 4-point restraints from 5:47 p.m. even checks noted Client #14 laid yes open. During the 2nd check, "I'm ready to get out." During the 4th even a brief, quiet, high-pitched  #14 slept in bed. Staff prompted reakfast, and he/she attempted to hit polication of 4-point restraints. The restraint application. During the first a noted, "on left side, calm, quiet." k, Client #14 told staff, "I don't want to bring." The 3rd, 4th, 5th, 6th, and 7th #14 laid quietly.			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	Date er 7, 2016
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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	behavior plan to reduincluded the use of 4 Client #48 would remmaximum of 2 hours. The program did not when or if Client #48 to the 2 hour maximum added to the program. Review of Client #48 revealed Client #48 29 logs indicated Clifor two hours. Many or asleep during 15 rwere not limited to:  a. On 7/13/16, Client 4:05 p.m. The restrator which the restraint also failed to identify in 4-point restraints. second, third, fourth, During the sixth chimself/herself from check, he/she was refused by the could only he because dinner was a during this time. Accepted the could of the could of the could of the could of the could only he during this time. Accepted the could of the cou	I's restraint forms from 6/2/16 - 8/14/16 utilized 4-point restraints 29 times. All ent #48 remained in 4-point restraints restraint logs noted Client #48 as calm ninute checks. Examples included, but #48 was placed in 4-point restraints at aint form failed to identify the behavior that application was necessary. The form the client's activity prior to placement. The form documented during the first, and fifth checks Client #48 laid quietly. The restraints. During the seventh directed back to the restraint.  Sident asked for dinner and was told ave a Breeze (nutritional supplement),			

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Number FC 6284				Repor Octob	t Date er 7, 2016
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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section		ature of Violation	Class	Fine Amount	Correction Date
	stated, "let me out, pasked "what are you blood on the clientingernail. Staff notific Client#48 sat up in bon me." During the s #48 laid on his/her based removal of the restrait snack and sat at the fact. Also on 6/2/16, medications and den and offered a drink pulled staff's stethos staff. The restraint fo 9:05 p.m. to 11:05 pchecks during this tim 15 minute checks, staffth, sixth and 7th 15 #48 asleep.  When interviewed or acknowledged Client aggression did not possible 4-point restraint prior Client #48 was alway hours, regardless of 3. Record review on program to problem sinjurious behaviors, penvironmental disrup	her back. At the 4 <sup>th</sup> check, Client #48 blease" and lifted his/her head up and doing?" There was a small amount of ht's left finger from picking his/her ed the nursing staff. At the fifth check, ed and said, "It's not nice to put these ixth behavior check at 8:10 p.m., Client ack, appeared calm, and also quiet. At eck, the client remained quiet except staff what they were doing. Upon into at 8:40 p.m., Client #48 asked for a hable.  at 9:05 p.m., Client #48 took his/her handed a snack. Staff told him/her no has snack time was over. Client #48 cope around staff's neck and then hit is showed Client #48 restrained from point, with staff completing 15 minute he. At the first, second, third and fourth haff documented Client #48 quiet. At the minute check, staff documented Client #48 reviole. At the minute check, staff documented Client #48 puiet. At the minute check, staff documented Client #48 sput into the 4-point restraint for two showing signs of being calm.  8/16/16 revealed Client #2's behavior solve and decrease aggression, self-property destruction and hiton. Methods included use of 4-point ram directed staff to conduct visual			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	restraints after 1 hou calm and not struggli maximum of 2 hour in Behavior Intervention added to his/her beh of time in 4-point res 4/17/13.  Review of Client #2's restrained via 4-point 8/8/16. During that it during the 1 hour and released.  a) Record review on use form dated 7/25/Client #2 in 4-point revisual checks every Documentation of Cl "Quiet, not pulling at 9:00 p.m. read "Quiet indicated.  b) Review of a restrated staff placed Client #2 Staff documentation his/her side, quiet and "had nails clipped" and and final documentation Coordinator/Qualified	utes and to release Client #2 from r and 15 minutes if Client #2 was ing against the restraints, with a estraint. According to Client #2's in History, the 4-point restraint was avior program on 5/9/11. The length traints was increased to 2 hours on a restraint logs revealed Client #2 is on eight times between 6/7/16 - ime, Client #2 twice appeared calm ind 15 minute time period, but was not in the form noted staff placed estraints at 7:45 p.m. and conducted its minutes until 9:00 p.m. itent #2's behavior at 8:45 p.m. noted restraints." Further documentation at it and finally "Released" with no time int use form dated 7/28/16 revealed in 4-point restraints at 4:45 p.m. noted: 5:30 p.m. he/she rested on in d "playing" with straps; 5:45 p.m. and apologized to staff; 6:00 p.m. calm, ition stated "out" with no time noted.  18/18/17 at 9:55 a.m., the Program of Intellectual Disability Professional in the restraints in 4-point restraints.			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	4. Observation on 8, #12 received two 1 in Record review on 8/2 Lorazepam to be give dental/medical/derma. Further record review Nursing Care Plan. experienced anxiety medical procedures. specific behaviors as failed to include posi #12 to decrease his/reduce the need for the When interviewed or Nurse (RN)/Director Intellectual Disability care plan lacked the 5. Record review on guardian written infor Self-Injurious Behavion 3/17/16 and writter consent identified prediscontinued; the correstrictive measures behavior program to Additional record reviews on self-Injurious and writter consent identified prediscontinued; the correstrictive measures behavior program to Additional record reviews on self-Injurious program to the program to the self-Injurious program to the self-Inju	atology procedures.  y on 8/17/16 revealed Client #12's The plan noted Client #12 and resultant behaviors related to The care plan failed to identify sociated with Client #12's anxiety, tive teaching strategies to teach Client her anxiety, and lacked any criteria to use of Lorazepam.  1 8/18/16 at 1:00 p.m. the Registered of Nursing (DON)/Qualified Professional (QIDP) confirmed the information noted.  8/17/16 revealed Client #31's rmed consent for the reduction of or (SIB); verbal consent was obtained en consent obtained on 7/20/16. The in (as needed) medication was insent failed to identify any other utilized in conjunction with his/her			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date
	an increase in his/he on his/her chin incre declined increasing to psychiatrist would be behaviors." A fax to reported, "res. had at The area on (his/her (he/she) is on Vistari we have an order to doctor responded to times per day. Another on 7/29/16 informing increase in SIB, wou Client #31 was seen Vistaril was increase Review of Client #31 reason for the use of rash/picking; on 8/15 behaviors.  When interviewed or acknowledged Vistar guardian written info as being utilized in corpogram. The PC/QI facility policy regardistated they follow the informed consent for 6. Record review on Individual Program F	ary Care Physician (PCP) requesting or Vistaril due to the size of the wound asing; the PCP returned the fax and the dose. It was noted Client #31's econtacted because "its related to the psychiatrist on 5/4/16 (increase) in SIB (picking at chin). It chin is quite big and deep. Currently I 50 mg (milligrams) qd (daily). May (increase) dosage?" On 5/12/16, the increase the dosage to 100 mg three there fax was sent to his/her psychiatrist the doctor Client #31 had an and size, and aggression. On 8/15/16, by his/her psychiatrist and his/her d to 100 mg four times daily.  Is medication history documented the Evistaril on 5/12/16 was due to 6/16 it was noted it was due to 6/16 it was noted in Client #31's remed consent and was not identified onjunction with Client #31's behavior DP stated she was not aware of a ng obtaining Informed Consents but the regulations regarding written of the use of restrictive measures.  8/17/16 revealed Client #35's Plan (IPP) to sleep at night without the ram noted use of the medication			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	form signed by the grevealed an agency guardian gave verba 2/29/16. No docume Trazodone since 2/1 When interviewed or confirmed a consent failed to sign and ret consent had been se on 8/17/16.  7. Record review on Medication Intervent of Ativan (Lorazepana a Nursing Care Planas evidenced by rest frequent urination, di The care plan failed #41 coping skills to plan further lacked context.  When interviewed or the RN/DON/QIDP of strategies and criterian Record review on 9/6 "Enhancing and Main included, "Staff will for respect in full recognicarry out activities the	review revealed an informed consent uardian on 2/16/15. Additional review form which indicated Client #35's I consent for the use of Trazodone on entation of written consent for use of 6/15 could be located.  8/17/16 at 1:45 p.m., PC/QIDP form was mailed to the guardian who urn the form. She noted a new ent to the guardian for her signature  8/17/16 revealed Client #41's fon consent. The consent noted use n) for anxiety. Further review revealed noted Client #41 experienced anxiety lessness, jumpiness, inability to relax, efficulty concentrating and impatience. To include strategies to teach Client lecrease his/her anxiety. The care riteria to reduce the need for use of 8/18/16 at approximately 1:03 p.m., confirmed the program lacked teaching a for medication reduction.  6/16 revealed the facility's policy titled, intaining Quality of Life." The policy ocus on treating each with dignity and ition of his or her individuality as they at assist the resident to maintain or resteem and self-worth The facility			

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Number FC 6284				Report Octobe	: Date er 7, 2016
Facility Name		Fine amount reduced to \$3,250.00	Survey D	ates	
Center	use Health Care	On December 6, 2016 pursuant to lowa Code Section 135C.43A	August 1 2016	5-22, 2016 8	September 6,
Facility Addres 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	will provide reasonab	ole accommodations of residents'			
	individual needs and	preferences related to their care and			
		d toward assisting the resident to			
		eve their highest practicable level of			
		g dignity and well-being, except when			
	would be endangered	of the individual or other residents			
	would be endangered	u.			
	FACILITY RESONS	Ē			

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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
64.60	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as paregulations is avairacilities Division, Appeals, Lucas State 50319.  Classification of violety the division using the second	Federal regulations adopted - ipation. Regulations in 42 CFR Part and Sections 410 to 480 effective are adopted by reference and rt of these rules. A copy of these ilable on request from the Health Department of Inspections and the Office Building, Des Moines, Iowa plations is I, II, and III, determined the provision in 481-Chapter 56, s," to enforce a fine to cite a	=	\$500.00	UPON RECEIPT
	facility.  This rule is intended to implement lowa Code Section 135C.2(3).				
W274	CLIENT BEHAVIOR The facility must dev and procedures that inappropriate client b	elop and implement written policies govern the management of			
	DESCRIPTION:				
	ensure behavior mar implemented in acco This affected 1 of 1 of	and record review, the facility failed to nagement interventions were rdance with approved facility policy. dient whose individual program plan me-out room (Client #48). Finding			
	behavior program for the program indicate	8/17/18 revealed Client #48 had a remotional control. The objective of d the client would not need to be rea more than five times for two			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284						Report Octobe	Date er 7, 2016
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Facility Address 2950 West Sha				Survey			
City Waterloo, IA 50	0701		HL/CC				
Rule or Code Section		N	ature of Violation	Class	Fin Am	e ount	Correction Date
	became aggress #48 to the calm in the calm room (Client #48) atte will be reminded (He/she) may re hours, but may d as (he/she) is ca  Record review of consent for Clien consent stated, room so others of #48) less to stim a minimum of 18 (Client #48) atte is up, staff will re staff will remain statements, etc.  When interviewe shut the door wh and then staff st  When interviewe Prevocational C shut the door an Calm Room unti  2. Record revie plan to address need removed fi program directed	sive, room a staff mpts I to fi main some salm a son 8/" "Staff do no sold mpts eminor outs " "ed or ood of ed or ood ood ood of ed or ood ood ood ood ood ood ood ood ood	The program stated if Client #48 staff should use mats to assist Client in. The program further stated, "Once if may exit and sit outside the room. If it to leave before 15 minutes, (he/she) mish (his/her) calm down time. In the calm area no more than 2 in the calm area no more than 2 in the calm area no more than 2 in out after fifteen minutes or as soon if the second to the first of the control program. The if will keep the door closed to the first of the second the control program. The interest of the control program will be set the behavior and to give (Client in the calm room will be set to leave the room before 15 minutes of (him/her) to remain a bit longer. Indied the door, listening for movements, in 8/17/16 at 12:00 p.m. DA B said staff client #48 went into the Calm Room outside of the door.  In 8/17/16 at 12:05 p.m. the sinator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.  In 8/17/16 at 12:05 p.m. the sinator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.  In 8/17/16 at 12:05 p.m. the sinator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.  In 8/17/16 at 12:05 p.m. the sinator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.  In 8/17/16 at 12:05 p.m. the sinator (PVC)/QIDP confirmed staff evented Client #2's individual program ocational behavior, with an goal to not an area of the center. Methods of the client #2 engaged in maladaptive but not limited to: hitting or kicking				

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Hor Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section		ature of Violation	Class	Fine Amount	Correction Date
	attempted to aggress injurious behavior, pur hazard, he/she would program directed state with moving the client minutes, Client #2 colonger showing signs could stay in the root program directed state stand outside the dollar record review of fact Interventions," last record identified as an autilized. The policy in Separation which was their room or other cotime. Staff must remarked.	ning others, and throwing objects), a towards others, engaged in self-roperty destruction, or environmental dibe moved to another room. The ff to utilize a mat as a barrier to assist at to the room. After 1 hour and 15 build leave the room if calm and no so of maladaptive behavior. The client of molonger than two hours. The ff to leave the room one by one and for, which should be closed.  All the policy "Hierarchy of Behavioral evised 6/17/16, revealed time-out was approved intervention the facility lentified the use of Supervised Room as defined as "Individual is taken to alm area for a supervised calm down ain present, and time in room must wed time, with calm criteria listed."  SE:			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Repor Octob	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & September 6, 2016		
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
64.60 W 285	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as pa regulations is avail Facilities Division, Appeals, Lucas Stat 50319.  Classification of vide by the division usin Fining and Citation facility. This rule is intended 135C.2(3).  483.450(b)(2) MANA CLIENT BEHAVIOR Interventions to ma must be employed supervision to ensure the substantial sub	Federal regulations adopted - ipation. Regulations in 42 CFR Part nd Sections 410 to 480 effective are adopted by reference and rt of these rules. A copy of these lable on request from the Health Department of Inspections and the Office Building, Des Moines, Iowa colations is I, II, and III, determined the provision in 481-Chapter 56, s," to enforce a fine to cite a d to implement Iowa Code Section age inappropriate client behavior with sufficient safeguards and are that the safety, welfare and civil of clients are adequately protected.	II	\$500.00	Upon Receipt
	facility failed to ensure behaviors were imple protect client welfare affected 3 of 3 clients time-out rooms were #48). Findings follow 1. See W302 for additional to the second				

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & September 6, 2016		
Facility Addres 2950 West Sha			Survey		
City Waterloo, IA 50		HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	following:				
	in 4-point restraints for 2 hours, regardles being calm. Client # he/she would be "corapplication of 4 pt. refor a 2 hr. period follows. Client #48's behaving and the placed in 4-point restraining of two hours signs of being calm.  c. Client #2's behaving Client #2 placed in 4-minimum of 1 hour and Client #2 calmed down 2. See W291 and W2 a. Record review on #48's behavior progressaff could assist the further directed, once and staff sat outside allowed to leave the	293 for additional details.  8/17/16 and 8/18/16 revealed Client am for emotional control indicated client to the calm room. The program in the calm room, the door was shut of the door. Client #48 was not calm room until calm for 15 minutes.			
	meet the criteria for a include required safe constant visual supe	7/16 revealed the calm room failed to a time-out room. The door failed to guards, including: methods to ensure rvision of the client and protection ditions. The room included uncovered			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1: 2016		k September 6,
Facility Addres 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	light fixtures with a the When interviewed or Prevocational Coord shut the door and pre Calm Room until the b. Record review revenued removed from a program directed if Cobehaviors (including others, biting, scratch attempted to aggress injurious behavior, program directed stawith moving the clien minutes, Client #2 colonger showing signs could stay in the roor program directed stastand outside the document of the criteria for a include required safe constant visual superfrom hazardous conditions.	in 8/17/16 at 12:05 p.m. the inator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.  Vealed Client #2's individual program ocational behavior, with an goal to not an area of the center. Methods of the client #2 engaged in maladaptive but not limited to: hitting or kicking ning others, and throwing objects), at towards others, engaged in self-roperty destruction, or environmental dibe moved to another room. The ff to utilize a mat as a barrier to assist at to the room. After 1 hour and 15 ould leave the room if calm and no so of maladaptive behavior. The client in no longer than two hours. The ff to leave the room one by one and for, which should be closed.  7/16 revealed the calm room failed to a time-out room. The door failed to a time-out room. The door failed to a time-out room. The door failed to a time-out room. The room included uncovered ster or sheet rock walls, and overhead an plastic covering.			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	t Date er 7, 2016
Facility Name		Fine amount reduced to \$3,250.00	Survey D	ates	
	use Health Care	On December 6, 2016 pursuant to			September 6,
Center		Iowa Code Section 135C.43A	2016		
Facility Addres	ss		Survey		
2950 West Sha	ulis Road				
City		111 /00			
City Waterloo, IA 50	0701	HL/CC			
Rule or Code	N	ature of Violation	Class	Fine	Correction
Section		ature or violation	Oluss	Amount	Date
00011011				7 illiount	Duto

If, within thirty (30) days of the receipt of the citati your request for formal hearing; and (3) pay the p	• • • • • • • • • • • • • • • • • • • •	
percent (35%) pursuant to lowa Code section 1356		Page 45 of 65
Administrator	Data	

Number FC 6284					rt Date per 7, 2016
Facility Name Harmony Ho Center Facility Addres	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & September 2016		& September 6,
2950 West Sha			Survey		
City Waterloo, IA 50701		HL/CC			
Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date
64.40	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as paregulations is ava Facilities Division Appeals, Lucas Sta 50319.  Classification of view the division usin Fining and Citation facility.	Federal regulations adopted - cipation. Regulations in 42 CFR Part and Sections 410 to 480 effective are adopted by reference and art of these rules. A copy of these ilable on request from the Health Department of Inspections and te Office Building, Des Moines, Iowa  colations is I, II, and III, determined and the provision in 481-Chapter 56, s," to enforce a fine to cite a  ed to implement Iowa Code Section	II	\$500.00	Upon Receipt
W291	prevented only if the (i) The placement is time-out program a section. (Thus, ema a time-out room is (ii) The client is un supervision of desi (iii) The door to the mechanism requirifrom a staff member DESCRIPTION:  Based on interviews to ensure intervention time out room maintagefected 2 of 2 samples.	ced in a room from which egress is ne following conditions are met: s a part of an approved systematic is required by paragraph (b) of this ergency placement of a client into not allowed.)  der the direct constant visual			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey Danger 1: 2016		& September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	need removed from a program directed if C behaviors (including others, biting, scratch attempted to aggress injurious behavior, pr hazard, he/she would	cocational behavior, with an goal to not an area of the center. Methods of the client #2 engaged in maladaptive but not limited to: hitting or kicking ning others, and throwing objects), as towards others, engaged in self-coperty destruction, or environmental d be moved to another room. The			
	with moving the clien minutes, Client #2 co longer showing signs could stay in the roor program directed sta	ff to utilize a mat as a barrier to assist at to the room. After 1 hour and 15 ould leave the room if calm and no sof maladaptive behavior. The client on no longer than two hours. The ff to leave the room one by one and or, which should be closed.			
	behavior program for the program indicate helped to the quiet a consecutive months. became aggressive, #48 to the calm room in the calm room stat (Client #48) attempts will be reminded to fi (He/she) may remain hours, but may come as (he/she) is calm a	8/17/18 revealed Client #48's remotional control. The objective of d the client would not need to be rea more than five times for two. The program stated if Client #48 staff should use mats to assist Client a. The program further stated, "Once if may exit and sit outside the room. If to leave before 15 minutes, (he/she) mish (his/her) calm down time. In the calm area no more than 2 to out after fifteen minutes or as soon fiter 15 minutes."			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey D August 1: 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	consent stated, "State room so others do not #48) less to stimulate a minimum of 15 min (Client #48) attempts is up, staff will remain outs staff will remain outs statements, etc."  When interviewed or shut the door when the door when the and then staff stood. When interviewed or Prevocational Coord shut the door and proceed the Calm Room until the Calm Room until the Observation of the Corevealed the Calm Room until the not possible to see in additional information. Record review on 8/logs for time in the Coshowed Client #48 h from 6/23/16 to 8/11, #48 was released frot to be calm during on amount of time spen	n 8/17/16 at 12:05 p.m. the inator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.  alm Room on 8/17/16 at 12:10 p.m. oom had a wooden door with no when the door was closed it was not the Calm Room. (See W293 for			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Repor Octob	t Date er 7, 2016
Facility Name		Fine amount reduced to \$3,250.00	Survey D	ates	
Harmony Hou Center	use Health Care	On December 6, 2016 pursuant to lowa Code Section 135C.43A	August 1 2016	5-22, 2016 8	& September 6,
Facility Addres	SS ulis Poad		Survey		
2330 West Sha	ulis Noau				
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	amount of time of 30	minutes.			
	FACILITY RESOPN	SE:			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015). Page 49 of 65 Administrator

Date

Number FC 6284				Repor Octob	t Date er 7, 2016
Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey Da August 15 2016		& September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	)701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
64.60	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as paregulations is available Facilities Division, Appeals, Lucas Stato 50319.  Classification of violable the division usin Fining and Citation facility.	Federal regulations adopted - ipation. Regulations in 42 CFR Part nd Sections 410 to 480 effective are adopted by reference and rt of these rules. A copy of these ilable on request from the Health Department of Inspections and the Office Building, Des Moines, Iowa colations is I, II, and III, determined the provision in 481-Chapter 56, s," to enforce a fine to cite a displayment to the color of the color	II	\$500.00	Upon Reciept
W 293	from hazardous conto, presence of shalight fixtures, unproduction of the presence of shalight fixtures, unproduction of the presence of the production of th	ne-out rooms must be protected inditions including, but not limited rp corners and objects, uncovered otected electrical outlets.  Ins, the facility failed to ensure areas interventions meeting the definition of ed adequate conditions to ensure fected 2 of 2 sample clients whose an included the use of time-out room			
	plan to address prev need removed from a	ocational behavior, with an goal to not an area of the center. Methods of the client #2 engaged in maladaptive			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Ho Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 5	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	others, biting, scratch attempted to aggress injurious behavior, polazard, he/she would program directed stawith moving the clier minutes, Client #2 colonger showing signs could stay in the root program directed stastand outside the document of the program was than helped to the quiet a consecutive months. became aggressive, #48 to the calm room in the calm room state (Client #48) attempts will be reminded to fi (He/she) may remain hours, but may come as (he/she) is calm at Record review on 8/sconsent for Client #4 consent stated, "State room so others do not #48) less to stimulate	but not limited to: hitting or kicking ning others, and throwing objects), a towards others, engaged in self-roperty destruction, or environmental dibe moved to another room. The ff to utilize a mat as a barrier to assist at to the room. After 1 hour and 15 ould leave the room if calm and no sof maladaptive behavior. The client of molonger than two hours. The ff to leave the room one by one and for, which should be closed.  8/17/18 revealed Client #48 had a remotional control. The objective of at the client would not need to be rea more than five times for two. The program stated if Client #48 staff should use mats to assist Client in. The program further stated, "Once if may exit and sit outside the room. If it to leave before 15 minutes, (he/she) nish (his/her) calm down time. In the calm area no more than 2 in the c			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey Da August 15 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section		ature of Violation	Class	Fine Amount	Correction Date
	is up, staff will remine Staff will remain outs statements, etc."  When interviewed or shut the door when Cand then staff stood  When interviewed or Prevocational Coord shut the door and precall the Calm Room until the Calm Room until the Calm Room until the coverable to see in room was approximately plaster or sheet rock of fluorescent lights we coverings. An uncover Two small tables and There was a hole in the fist, and one of the statements."	in 8/17/16 at 12:05 p.m. the inator (PVC)/QIDP confirmed staff evented Client #48 from leaving the client was calm for 15 minutes.  alm Room on 8/17/16 at 12:10 p.m. oom had a wooden door with no . When the door was closed it was not the Calm Room. The size of the stely 14 feet by 9 feet. The walls were . The floor had a thin carpet. Two sets were on the ceiling with thin plastic vered electrical outlet was on one wall. If three chairs were also in the room. One of the walls, about the size of a staff stated Client #48 had punched the if was present at the time of the			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	t Date er 7, 2016
Facility Name		Fine amount reduced to \$3,250.00	Survey D	ates	
	use Health Care	On December 6, 2016 pursuant to			September 6,
Center		Iowa Code Section 135C.43A	2016		
Facility Addres	ss		Survey		
2950 West Sha	ulis Road				
City		111 /00			
City Waterloo, IA 50	0701	HL/CC			
Rule or Code	N	ature of Violation	Class	Fine	Correction
Section		ature or violation	Oluss	Amount	Date
00011011				7 illiount	Duto

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to Iowa Code section 135C.43A (2015). Page 53 of 65

Number FC 6284					rt Date per 7, 2016
Facility Name Harmony Ho Center Facility Addres	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey Dates August 15-22, 2016 & Septembe 2016		& September 6,
2950 West Sha			Survey		
City Waterloo, IA 5	0701	HL/CC			
Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date
64.60 W 295	conditions of partic 483, Subpart D, a October 3, 1988, incorporated as paregulations is ava Facilities Division, Appeals, Lucas Sta 50319.  Classification of view the division usin Fining and Citation facility. This rule is intended 135C.2(3).	Federal regulations adopted - sipation. Regulations in 42 CFR Part and Sections 410 to 480 effective are adopted by reference and art of these rules. A copy of these ilable on request from the Health Department of Inspections and te Office Building, Des Moines, Iowa  colations is I, II, and III, determined and the provision in 481-Chapter 56, s," to enforce a fine to cite a  and to implement Iowa Code Section  (SICAL RESTRAINS)	II	\$500.00	Upon Receipt
W 293	The facility may em integral part of an i intended to lead to	aploy physical restraint only as an individual program plan that is less restrictive means of managing behavior for which the restraint is			
	Based on record revimplementation of reinto the individual preensure individual prebehavior manageme application of physic failed to identify and client is calm and cathe IPP failed to providually to clients to retaught to clients to retail the IPP failed to providually to clients to retail the IPP failed to providually to clients to retail the IPP failed to providually to clients to retail the IPP failed to grow the IPP failed to g	iew, the facility failed to ensure the strictive measures wholly integrated ogram plan (IPP). The facility failed to ogram plans included a hierarchy of ent measures utilized prior to the all restraints. Additionally, the facility include client behavior to indicate the n be released from restraints. Finally, yide appropriate replacement behavior educe the need for future restraints. clients (Client #2, #14, and #48) the use of 4 point restraints.			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Repor	t Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	Findings follow:				
	program to address in 7/8/16. The program kicking, pushing other throwing objects town aggressive acts with others. Attempted at to engage in any act that without staff interest without staff interest. According to the progress, they should attempt to correct it, offered a break.  Additional record reforms since 6/15/16 following incidents:  a. On 7/11/16, Clies wing at staff) and result. The client's she/she had been get and wanted a belt. Savailable and staff as The restraint form she	8/18/16 revealed Client #14's reduction of aggression, initiated adefined aggression as hitting, ers, hitting others with objects, and others, pulling hair, biting or other the intention of causing harm to ggression was defined as: attempting or combination of acts of aggression rvention, aggression would occur.  Gram, if staff observed Client #14 at aggressing and/or attempting to ask what bothers him/her and if possible. Client #14 should be eview revealed Client #14's restraint included, but were not limited to the ent #14 attempted to hit staff (took a was placed in 4-point restraints as a activity prior to the behavior identified ting ready [dressed] to go to breakfast Staff told the client there wasn't a belt ked Client #14 to go eat breakfast. Howed Client #14 remained restrained 10:48 a.m., with staff completing 15 this time.			
	placed in 4-point respiror to the behavior to stay awake so he	nt #14 attempted to hit staff and was traints as a result. The client's activity dentified he/she was prompted by staff/she slept at night. The restraint form £14 restrained by 4-points from 10:00			

If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by thirty–five percent (35%) pursuant to lowa Code section 135C.43A (2015).

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Number FC 6284				Report Octobe	: Date er 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to lowa Code Section 135C.43A	Survey D August 1 2016		September 6,
Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	a.m. until 12:00 p.m.				
	placed in 4-point residocumented prior to walk in the courtyard Client #14 saw anoth building and found on he/she walked a little want you to leave." coming out with a mand walked away, buwith a mat around hit complied with 4-point remained 4-point resident #14 to go to be them, resulting in apper #14 remained in rest g. On 7/8/16, staff p Client #14 was incomplied to hit point restraints, and a.m.  Further review of the Client #14 engaged in aggression, staff sho correct it if possible. any further aggression and/or blocking boar which time staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff would be want to the court was a staff was a s	#14 attempted to hit staff and was traints as a result. The form the behavior, Client #14 asked staff to with him/her and was calm. When her group going to the Life Skills ut it wasn't his/her group's turn, a way from life skills, then told staff, "I The form indicated staff responded by at and Client #14 went out the gate at eventually walked into the building m/her. Initially reluctant, Client #14 trestraint application. Client #14 traints from 5:47 p.m. until 7:47 p.m.  #14 slept in bed. Staff prompted reakfast, and he/she attempted to hit polication of 4-point restraints. Client raints from 8:35 a.m. to 10:35 a.m.  #14 was prompted to change Client #14 was prompted to change ted to keep the same shirt on. Client staff. Client #14 was placed in 4-remained from 10:33 a.m. to 12:33  #15 program revealed on third shift, when a gression and/or attempted and ask him/her what was wrong and The program directed staff to block on/attempted aggression with mats designated and mats are available.			

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Number FC 6284					ort Date ber 7, 2016
Facility Name Harmony Hou Center	use Health Care	Fine amount reduced to \$3,250.00 On December 6, 2016 pursuant to Iowa Code Section 135C.43A	Survey Da August 15 2016		& September 6,
Facility Addres 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	Client #14 aggressed would be "consequent 4-point restraints." Thierarchy of least resapplication of restraints. Additional review revenue Client #14 would remprogram failed to ide was calm and could Further review of the exclusively provided aggressive/attempted lacked teaching of arfor aggression.  2. Record review on program to discuss program to dis	realed once in 4-point restraints, nain for a two hour period. The ntify client behavior to indicate he/she be released from the restraint.  It program revealed the program methods to address Client #14's daggressive behavior. The program appropriate replacement behavior  8/17/16 revealed Client #48's problems with staff and peers, initiated m served to address aggression and or. The program defined self-injurious at self, scratching self, and hitting with hand. Aggression was defined as more of the following: hitting, kicking, ching, hair pulling, throwing objects, others or grabbing others. Attempted and as attempts to engage in defined out staff intervention would result in			

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your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced	by thirty-five
percent (35%) pursuant to Iowa Code section 135C.43A (2015).	
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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section		ature of Violation	Class	Fine Amount	Correction Date
		et limits, and attempt to problem xhibited precursor behaviors.			
	upon first incident of The program failed to restrictive measures restraints.  Additional review of the restraints, Client #48 program failed to ide	d the application of 4-point restraints aggression or attempted aggression. o provide a hierarchy of least to be used prior to application of the program revealed once in 4-point would remain for two hours. The ntify behavior to indicate he/she was eleased from restraint.			
	Further review of the exclusively provided inappropriate behavian appropriate replaces.  3. Record review on program to address in 7/13/16. Behaviors is limited to: hitting, kick objects, hitting with opulling others' hair, goin any way to force haviors, program also incompared in any way to force haviors behavior, program also incompared in the program also incompared	program revealed the program methods to address Client #48's or. The program lacked teaching of cement behavior for Client #48.  8/16/16 revealed Client #2's behavior maladaptive behaviors, initiated dentified included, but were not king, biting, scratching, throwing objects, swinging objects at others, rabbing others, or using his/her body imself/herself past staff or injure staff. cluded attempted aggression, self-toperty destruction, and tition. The program also identified which included: talking rapidly while ng words, closing/covering ears, s, fist or fists clenched while shaking all expression, and picking skin on exhibiting precursor behaviors, the ff to talk to Client #2 and correct what			
	bothered him/her if p other activities to cal	ossible, offer a break, or engage in modown. Calm was defined as no wo or more precursor behaviors.			

your request for formal hearing; and (3) pay th	ie penalty, the assessed penalty will be redi	uced by thirty-five
percent (35%) pursuant to Iowa Code section 1	135C.43A (2015).	-
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If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw

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Facility Address 2950 West Sha			Survey		
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	restraints upon first in coping methods. The hierarchy of least restapplication of restraints. Additional review of exclusively provided aggressive/attempte	the program revealed the program methods to address Client #2's d aggressive behavior. The program appropriate replacement behavior			

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your request for formal hearing; and (3) pay the penalty, the assessed penalty will be reduced by t	hirty–five
percent (35%) pursuant to Iowa Code section 135C.43A (2015).	
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Facility Addres 2950 West Sha		Survey			
City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
50.(7) 4	481-50.7(10A,135C) Additional notification. The director or the director's designee shall be notified within 24 hours, or the next business day, by the most expeditious means available:		II	\$500.00	Upon Receipt
	purposes of this su resident who has in	dent elopes from a facility. For the brule, "elopes" means when a npaired decision-making ability rithout the knowledge or ff.			
	DESCRIPTION				
	to report all incidents with state rules. This	and record reviews, the facility failed of client elopement, in accordance affected 1 of 1 sample client with a lient #48). Finding follows:			
		15/16 of facility incident reports from /16 revealed no incident reports			
	Intellectual Disability #48's WanderGuard late July 2016, but ha after three incidents the exit doors. QIDP documentation regar follow-up interview of during two of the inci #48 leave and staff phack to the facility.	Professional (QIDP) A stated Client bracelet had been discontinued in ad been re-implemented on 8/08/16 within a week of Client #48 going out A could not locate additional ding these three incidents. During a n 8/17/16 at 2:35 p.m. QIDP A stated dents she thought staff saw Client cursued the client and brought him/her QIDP A stated on one occasion Client knowledge and was found outside,			

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Number FC 6284				Report Octobe	: Date er 7, 2016
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Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date
	near another agency	building.			
	Coordinator/QIDP (Pbuilding without staff PC/QIDP said she con had the incident reposurveyor. The PC/QI gone from the facility approximately 15 min was reported to the period of the Department of the facility Assurance Investigation occurred 8/07/16 at a sin no injuries. Develop saw Client #48 at application in the loung said she was driving 4:00 p.m. when she sidewalk in front of the from the main Harmon to get into the vehicle with RA A.  Observation on 8/18, the agency property Harmony House build outside of the fenced	n 8/17/16 at 3:15 p.m. the Program (C/QIDP) confirmed Client #48 left the knowledge on 8/07/16. The conducted an investigation and she cort, which she shared with the DP said she estimated Client #48 without staff supervision for nutes. The PC/QIDP said the incident agency administrator. It was not rement of Inspections and Appeals at #48 had not left facility property.  Investigation revealed a Quality tion form, which stated the incident approximately 4:00 p.m. and resulted copmental Assistant (DA) A said she proximately 3:45 p.m. when the client ge area. Recreation Assistant (RA) A back to the facility from at outing at saw Client #48 walking on the ne Danbury building, walking away ony House building. Client #48 agreed and rode back to the main building. The Danbury building sat in area of Harmony House, with a road a few feet from the sidewalk in			

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City Waterloo, IA 50	0701	HL/CC			
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date
	years old with a diag intellectual disability, hearing loss and visic and eye glasses presthem. Client #48 amb functional verbal comprogram in place to F Supervised. The program the safety of othe present behaviors of aggression toward of #48) that staff are aw #48) has also been stherefore putting (him supervised." The pronot leave the unit wit staff should always band off the unit.  According to the state conditions in the Watwere 77 degrees Fabruary The facility administred dated 8/07/16, which p.m. that Client #48 I (parental home). The found on facility proposals warm and sunny warm and sunny the state of the state o	nosis including moderate to severe Down Syndrome, mood disorder, on loss. Client #48 had hearing aids scribed, but typically refused to wear culated independently and had amunication. Client #48 had a Remain in Authorized Area Unless gram stated, "For (Client #48's) safety ers due to (his/her) history and SIB (self-injurious behavior) and thers it is in the best interest of (Client transpling to make safe choices in/her) at risk if (he/she) is not properly orgam further stated Client #48 should thout staff accompanying him/her and he aware of Client #48's location on the climatologist, the weather terloo area on 8/07/16 at 3:54 p.m. In the building, wanting to go home the administrator stated Client #48 was erty, it was daylight and the weather of Client #48 dressed appropriately facility reinstated Client #48's his incident.			

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Number FC 6284				Repor	t Date er 7, 2016
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Facility Address 2950 West Sha	ss ulis Road		Survey		
City Waterloo, IA 50	)701	HL/CC			
Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date
	Administrator confirm	n 8/18/16 at 11:30 a.m., the ned she had not reported the Client #48 did not leave facility  SE:			

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Rule or Code Section	N	lature of Violation	Class	Fine Amount	Correction Date

your request for formal hearing; and (3) pay the	e penalty, the assessed penalty will be	reduced by thirty-five
percent (35%) pursuant to Iowa Code section 1	35C.43A (2015).	
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If, within thirty (30) days of the receipt of the citation, you: (1) do not request a formal hearing or; (2) withdraw

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City Waterloo, IA 50701		HL/CC				
Rule or Code Section	N	ature of Violation	Class	Fine Amount	Correction Date	

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percent (35%) pursuant to Iowa Code section 135C.43A (2015).	-
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