

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

| | | | | |
|-------------------------|--|---|--------------------------------------|-----------------|
| FC#6293 | | Date: September 30, 2016 | | |
| Karen Acres Care Center | | Survey Dates: September 6-8,12,14,2016 | | |
| 3605 Elm Drive | | | | |
| Urbandale, Iowa 50332 | | Ds/ss/kk | | |
| | | Class | Fine Amount | Correction date |
| 56.12 | 481-56.12 (135C) Class I violation as a result of multiple lesser violations. The director of the department of inspections and appeals may issue a citation for a class I violation when a physical condition or one or more practices exist in a facility which are a result of multiple lesser violations of the statutes or rules, but which taken as a whole constitute an imminent danger or a substantial probability of resultant death or physical harm to the residents of the facility. | I | \$2,000 Held in Suspension | Upon Receipt |
| + | | | | |
| 58.45 | 481-58.45(135C) Dignity preserved. The resident shall be treated with consideration, respect and full recognition of dignity and individuality, including privacy in treatment and in care for personal needs. (II) | | | |
| + | | | | |
| 58.43 | 481-58.43(135C) Resident abuse prohibited. Each resident shall receive kind and considerate care at all times and shall be free from mental, physical, sexual, and verbal abuse, exploitation, neglect, and physical injury. Each resident shall be free from chemical and physical restraints except as follows; When authorized in writing by a physician for a specific period of time; when necessary in an emergency to protect the resident from injury to the resident or to others, in which case restraints may be authorized by designated professional personnel who promptly report the action taken to the physician; and in the case of an intellectually disabled individual when ordered in writing by a physician and authorized by a designated qualified intellectual disabilities professional for use during | | | |

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| | <p>behavior modification sessions. Mechanical supports used in normative situations to achieve proper body position and balance shall not be considered to be a restraint. (II)</p> <p>DESCRIPTION:</p> <p>Based on record review and staff and resident interviews, the facility failed to ensure 2 of 3 residents (Residents #1, & #3) were treated with dignity and respect and free from physical abuse from another resident. The facility reported a census of 42 residents.</p> <p>Based on record review and staff and resident interviews, the facility failed to ensure 2 of 3 residents (Residents #1, & #3) were treated with dignity and respect and failed to keep the residents free from abuse. Resident to resident altercations occurred and residents were injured. The facility reported a census of 42 residents.</p> <p>Findings:</p> <p>1. Resident #2 had a MDS with an assessment date of 5/9//16. The MDS identified the resident had diagnoses of non-Alzheimer's dementia, anxiety and psychotic disorder. The MDS indicated physical and verbal behavioral symptoms toward others. The MDS indicated the resident needed extensive assistance of one staff person for transfers and used a walker and wheelchair for mobility. The MDS indicated the resident had a BIMS score of 4. A BIMS of 4 represented the</p> | | | |

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| | <p>resident had a severe cognitive impairment.</p> <p>The Care Plan with a focus area dated 1/27/15 identified the resident had a history of being combative with cares, needed assistance at times with transfers, and walked independently with a wheeled walker. An intervention dated 2/23/16 directed staff to assist the resident to and from meals. An intervention dated 4/4/16 directed the staff to redirect the resident when the resident hit. A focus area dated 4/28/16 identified a problem with the resident having inappropriate interactions with another resident in the past but no longer an issue. An intervention dated 1/28/15 directed staff to have the resident return to his/her room when the resident had episodes of increased anger or behavior (not defined).</p> <p>A Nursing Event Report dated 7/25/16 at 9:40 a.m. documented Resident #2 came into Resident #1's room and scratched Resident #1's hand causing a skin tear measuring a 5 inch long by 1 inch wide. The report directed staff to keep Resident #2 away from the 300 Hall.</p> <p>A Nursing Event Report dated 8/15/16 at 9:00 a.m. documented Resident #2 attempted to come into Resident #3's room. When Resident #3 attempted to stop her/him from entering, Resident #2 pinched Resident #3's left upper arm.</p> <p>2. Resident #1 had an MDS (Minimum Data Set) with an (ARD) of 6/15/16. The MDS indicated the resident had diagnoses of non-Alzheimer's</p> | | | |

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| | <p>dementia, blindness in one eye and depression. The resident had a BIMS score of 15 which indicated no cognitive impairment. The resident used a wheeled walker with extensive assistance of one staff with ambulation and mobility.</p> <p>The Care Plan with a focus area dated 4/16/16 identified the resident needed assistance with cares and blind in the right eye and little vision in the left eye. The interventions included and directed the staff to assist with positioning, transfers and mobility.</p> <p>The Nurse's Notes dated 7/25/16 at 9:40 a.m. indicated staff went to the resident's room and found the resident's right hand bleeding. The resident reported another resident (Resident #2) had entered his/her room and attempted to take a notebook. The resident pulled the notebook from Resident #2 and Resident #2 scratched him/her.</p> <p>A form titled Employee Witness Statement; dated 7/25/16 at 9:40 a.m. identified a nursing assistant and physical therapist called Staff A to Resident #1's room. Staff A found Resident #2 present. Staff A noted the resident's right hand bleeding with a skin tear 5 inches long by 1 inch wide from three "claw marks." Staff removed Resident #2 from the room and Staff A cleansed the wound and applied steri-strips. Staff A reported Resident #1 told her Resident #2 came into his/her room and tried to take a notebook and Resident #2 scratched him/her.</p> | | | |

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| | <p>3. Resident #3 had a MDS with an assessment reference date of 7/7/16. The MDS did not list any medical diagnoses but the admission record indicated the resident had diagnoses of dementia without behavioral disturbances, weakness and adult failure to thrive. A BIMS indicated a score of 8 which moderate cognitive impairment. The MDS indicated the resident needed extensive assistance of one staff with ambulation, limited assistance of one staff with transfers and used a wheelchair for mobility.</p> <p>The Care Plan with a focus area dated 6/25/16 identified impaired cognitive function, difficulty making decisions and a risk for falls.</p> <p>The Nurse's Notes dated 8/15/16 at 3:10 p.m. identified the staff documented Resident #2 attempted to enter the resident's room and when the resident wouldn't let Resident #2 into the room, Resident #2 pinched the resident leaving a red bruise 3 centimeters (cm) by 3 cm to the left upper arm. The resident's physician ordered Bactrim DS (antibiotic) twice daily for 10 days.</p> <p>An <u>Employee Witness Statement</u> dated 8/15/16 at 9:00 a.m. indicated Resident #3 called the nurse into his/her room and stated Resident #2 attempted to enter his/her room and when not allowed in, Resident #2 pinch her/his left arm. The left upper arm had a bruise which measured 3 by 3 centimeters. The nurse immediately separated and Resident #2 received checks every 15 minutes.</p> | | | |

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| 58.28(3)e | <p>481—58.28 (135C) Safety. The licensee of a nursing facility shall be responsible for the provision and maintenance of a safe environment for residents and personnel. (III) 58.28(3) Resident safety. e. Each resident shall receive adequate supervision to ensure against hazard from self, others, or elements in the environment. (I,II, III)</p> <p>DESCRIPTION:</p> <p>Based on record review, observation and staff interviews, the facility failed to ensure Resident #2 received adequate supervision to prevent hazards from self. The sample consisted of 4 residents and the facility reported a census of 34 residents. Resident #2 sustained a hip fracture when she/he attempted to stand while sitting in the dining room. The resident required extensive staff assistance for transfers and ambulated with a walker. The facility reported a census of 34 residents.</p> <p>Findings include:</p> <p>1. Resident #2 had a MDS (Minimum Data Set) assessment with an assessment reference date (ARD) of 5/9//16. The MDS indicated diagnoses of non- Alzheimer's dementia, anxiety and psychotic disorder. The MDS identified the resident had physical and verbal behavioral symptoms toward others. The MDS indicated the resident needed extensive assistance of one staff with transfers and used a walker and wheelchair for mobility. The MDS indicated the resident had a BIMS (Brief</p> | I | \$3,000 Held in suspension | Upon Receipt |

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| | <p>Interview for Mental Status) score of 4. A score of 4 represented the resident had a severely cognitive impairment.</p> <p>The Care Plan with a focus area dated 1/27/15 identified the resident with a history of being combative with cares, needed assistance at times with transfers, and walked independently with a wheeled walker. An intervention dated 2/23/16 directed the staff to assist the resident to and from meals. An intervention dated 4/4/16 directed staff to redirect the resident with behaviors of hitting.</p> <p>The Nurse's Notes dated 6/4/16 at 9:00 p.m. documented a nurse passing medications close to the assisted eating table in the dining room; saw the resident on the floor by a table. The resident ate prior to the fall with the resident's walker on side. It had been noted the resident wouldn't lie still when staff attempted to assist the resident up from the floor. The note indicated the resident as combative, confused and easily agitated. The Nurse's Notes dated 6/5/16 at 8:00 p.m. indicated the staff observed a purple bruise on the left hip. A physician's order for a portable x-ray of the resident left hip and pelvis.</p> <p>Nurse's notes dated 6/5/16 at 8:00 p.m. documented the x-ray taken of the left hip and pelvis identified a left femoral neck fracture. Local emergency personnel transported the resident by ambulance to a local hospital for an evaluation and possible admission. The Nurse's Notes dated 6/8/16 at 2:30 p.m. indicated the resident remained</p> | | | |

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| | <p>in the hospital.</p> <p>The Nurse's Notes dated 6/9/16 at 6:00 p.m. documented the resident returned to the facility by ambulance. The resident had an incision to the left hip with staples intact. The resident now skilled (receiving skills services) and would receive physical, occupational and speech therapy. An assessment indicated diminished lung sounds in the base, swelling and bruising to the left upper leg and thigh. The resident needed 2 staff to assist with transfers. The Nurse's Notes dated 6/10/16 at 10:25 a.m. documented the resident's left leg swollen and bruised and needed 2 staff persons with transfers.</p> <p>During an interview dated 9/8/16 at 11:29 a.m., Staff B, a licensed practical nurse (LPN) stated the resident refused assistance with ambulation and transfer. The resident falls were caused from his/her being non-compliant. The resident needed assistance with transfer from a seated position had an unsteady gait; as he/she leaned too far forward and looking down instead of looking forward. The resident also wandered throughout the facility.</p> <p>During an interview dated 9/8/16 at 11:57 a.m. Staff C, a certified nursing assistant, reported the resident needed assistance with ambulation after each fall but had been combative with staff who attempted to assist him/her. The resident had a history of sitting on the side of an arm rest. Staff stopped providing assistance with transfer and ambulation because he/she wouldn't accept staff</p> | | | |

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| | <p>assistance.</p> <p>During an interview dated 9/8/16 at 2:10 p.m. Staff D, CNA, stated she provided continuous stand by assistance when the resident ambulated or self-transferred.</p> <p>During an interview dated 9/8/16 at 12:30 p.m. the Director Of Nursing (DON) described the resident as independent with ambulation despite the need for staff to provide stand by assistance with transfer and continuous stand by assistance with ambulation prior to the fall of 6/4/16.</p> <p>FACILITY RESPONSE:</p> | | | |

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| 50.7(1)a(2) | <p>481-50.7(10A, 135C) Additional notification. The director or the director's designee shall be notified within 24 hours, or the next business day, by the most expeditious means available (I, II, III).</p> <p>50.7(1) Of any accident causing major injury.</p> <p>a. "Major injury" shall be defined as any injury which:</p> <p>(2) Requires admission to a higher level of care for treatment, other than for observation.</p> <p>DESCRIPTION:</p> <p>Based on record review and staff interviews, the facility failed to notify the Iowa Department of Inspections and Appeals of a major injury which required admission into the hospital and surgical repair of the fractured hip (Resident #2). The sample consisted of 4 residents reviewed and the facility reported a census of 34 residents.</p> <p>Findings include:</p> <p>1. Resident #2 had a MDS (Minimum Data Set) assessment with a reference date of 5/9/16. The MDS identified the resident had diagnoses including: non Alzheimer's dementia, anxiety and psychotic disorder. The MDS indicated the resident had physical and verbal behavioral symptoms toward others. The MDS indicated the resident needed extensive assistance of one staff with transfers and used a walker and wheelchair for mobility. A BIMS (Brief Interview for Mental Status) determined a score of 4. A score of 4 identified the resident with severely impaired cognitive</p> | II | \$500 | Upon Receipt |

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| | <p>impairment.</p> <p>The Nurse's Notes dated 6/5/16 at 8:00 p.m. documented the x-ray taken of the left hip and pelvis revealed a left femoral neck fracture. Local emergency personnel transported the resident by ambulance to a local hospital for an evaluation and possible admission. The Nurse's Notes dated 6/8/16 at 2:30 p.m. identified the resident remained in the hospital.</p> <p>The Nurse's Notes dated 6/9/16 at 6:00 p.m. documented the resident returned to the facility by ambulance.</p> <p>A Major Injury Determination Form dated 6/6/16 indicated the resident's physician designee documented that after reviewing the circumstances of the incident causing the injury, the previous functional ability of the resident and the resident's prognosis, she believed the injury sustained is not a major injury.</p> <p>During interview on 9/8/16 at 12:30 p.m. the Director of Nursing reported the resident's physician designee determined the injury sustained had not been a major injury and it did not need to be reported the Department.</p> <p>FACILITY RESPONSE:</p> | | | |

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