

PRINTED: 12/18/2013
FORM APPROVED

DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 220378	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____		(X3) DATE SURVEY COMPLETED 11/27/2013
NAME OF PROVIDER OR SUPPLIER SCENIC ACRES		STREET ADDRESS, CITY, STATE, ZIP CODE 23105 GRANITE AVENUE SAINT OLAF, IA 52072			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETE DATE
C 000	01 Initial Comments The following deficiencies were cited during a survey conducted to determine compliance with licensing rules for a Residential Care Facility.	C 000			
C 206	01-50.8(3)c Background Checks 481-50.8(135C) Criminal, dependent adult abuse, and child abuse record checks. 50.8(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state. c. If a person being considered for employment has been convicted of a crime. If a person being considered for employment in a facility has been convicted of a crime under a law of any state, the department of public safety shall notify the facility that upon the request of the facility the department of human services will perform an evaluation to determine whether the crime warrants prohibition of the person's employment in the facility. This REQUIREMENT is not met as evidenced by: Based on interview and record review the facility failed to have the Department of Human Services (DHS) evaluate a prospective employee's criminal record prior to hire for 1 of 7 employees reviewed (Staff D). Findings follow:	C 206	Scenic Acres contacted DCI and DHS on the proper procedure when a criminal history check has a hit on a potential employee prior to employing that individual. Scenic Acres also asked for clarification on the form where it states a waiver is on file. Scenic Acres retrained the Assistant Office Manager on the proper procedure for conducting background checks. Scenic Acres will be writing a policy and procedure on background checks to ensure the process for background checks is completed as the Iowa Code requires. Scenic Acres corrected the error on Employee D background check in August, 2013 with DHS approving Employee D to work at Scenic Acres.		

DIVISION OF HEALTH FACILITIES - STATE OF IOWA

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

Shirley Robinson

TITLE Administrator

(X6) DATE 12/24/13

STATE FORM

2006

J9Y811

If continuation sheet 1 of 3

Per email correspondence, the administrator will ensure compliance

-DHS 12/24/13

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C 208	Continued From page 1 Record review on 11/28/13 at 3:30 p.m. revealed Staff D had a hire date of 10/20/12. The criminal background completed on 10/4/12 revealed a possible hit. The Department of Criminal Investigation (DCI) was going to send additional information. The information received from DCI on 10/11/12 included a history of criminal charges. The employee record lacked a DHS approval letter for Staff D to work prior to his/her hire date of 10/20/12. The DHS letter approving Staff D to work at the facility was dated 8/13/13. Interview with the Administrator on 11/28/13 at 3:50 p.m. confirmed this finding. The Administrator stated the DCI form received on 10/11/12 regarding Staff D indicated there was a waiver on file which was interpreted as DHS approving the employee to work at the facility. Therefore the facility did not contact DHS for approval to hire Staff D. The Administrator stated this error was discovered during an audit in August 2013 and was corrected.	C 208			
R 147	57.12(3) Personnel 481-57.12(135C) Personnel. 57.12(3) Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse. The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481-60.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse.	R 147	All employee files were reviewed to verify that all the necessary background checks were completed as required by the Iowa Code. All potential employees will have the required background checks completed and any follow ups will be completed if there is a hit on criminal history or an abuse history before an offer of employment.		

DIVISION OF HEALTH FACILITIES - STATE OF IOWA
STATE FORM

8000

JBY511

If continuation sheet 2 of 3

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DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 220376	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____		(X3) DATE SURVEY COMPLETED 11/27/2013
NAME OF PROVIDER OR SUPPLIER SCENIC ACRES		STREET ADDRESS, CITY, STATE, ZIP CODE 23105 GRANITE AVENUE SAINT OLAF, IA 52072			
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R 147	Continued From page 2 This REQUIREMENT is not met as evidenced by: Based on interview and record review, the facility failed to comply with requirements related to employee background checks found in Iowa Administrative Code 481 - chapter 50. Findings include: A review of employee files revealed the facility failed to have the Department of Human Services evaluate a prospective employee's criminal record prior to hire as required by Iowa Administrative Code rule 481-50.9(3)c.		R 147		